

# ISAS - INTERNATIONAL SCHOOL FOR ADVANCED STUDIES

LCR-structures and LCR-algebras

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Thesis submitted for the degree of "Doctor Philosophiæ" Academic Year 1995/96

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TRIESTE

## LCR-structures and LCR-algebras

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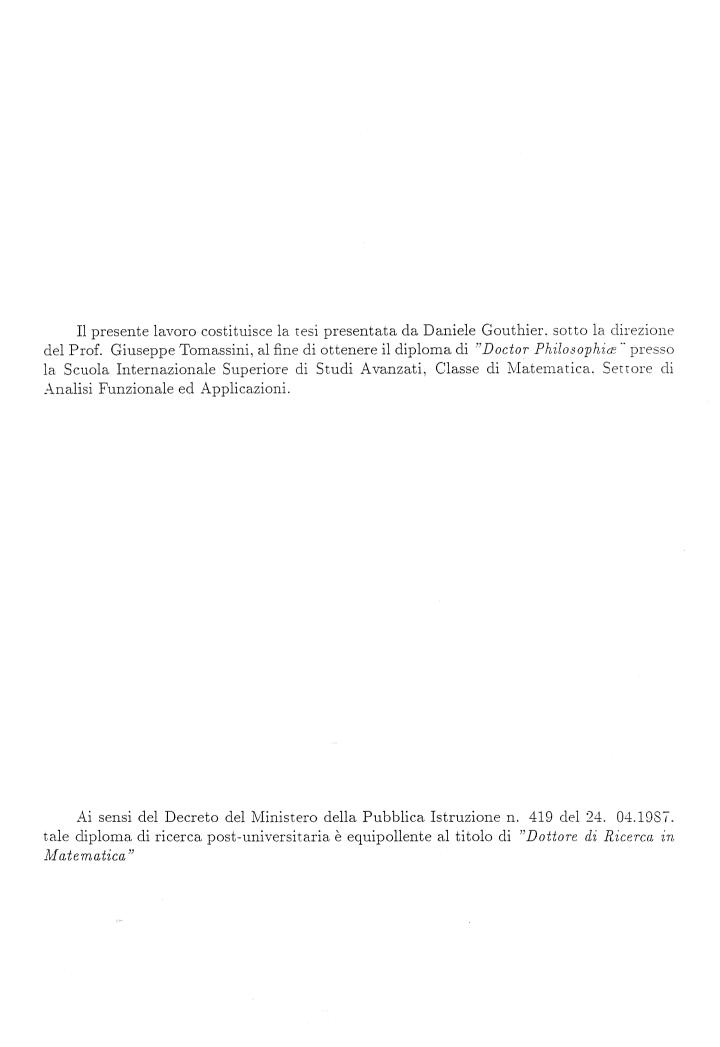
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A Marilena. Nel giorno della sua partenza. Buon viaggio.



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#### Preface.

Let  $g_0$  be a real Lie-algebra. A complex structure on  $g_0$  is an endomorphism  $J \in GL(g_0)$  such that  $J^2 = -id$  and [JX, JY] = [X, Y] + J[X, JY] + J[JX, Y], for all  $X, Y \in g_0$ , [JA]. If g denotes the complexification of  $g_0$ ,  $g \doteq g_0 \otimes_{\mathbb{R}} \mathbb{C}$ , then  $q \doteq \{X - iJX : X \in g_0\}$  is a complex subalgebra and there is the vector space decomposition  $g = q \oplus \overline{q}$ . Conversely, any such splitting  $q \oplus \overline{q}$  defines a complex structure on  $g_0$  setting JX = -Y, if  $X + iY \in q$ .

A complex structure on  $g_0$  induces a complex structure on  $G_0$ , the Lie-group associated to  $g_0$ , for which left translations are holomorphic.

The study of complex structure on even dimensional real Lie-algebras goes back to Morimoto, who showed that every reductive real Lie-algebra has infinitely many complex structures, [MO]. In [SN]. D.Snow gave a complete classification of those complex structures on a reductive Lie-algebra, which are "regular" (see Introduction to Chapter 2).

A natural generalization of these complex structures is the notion of CR-structure which has been introduced in [GT] (see also [AHR]). A CR-structure on a real Lie-algebra  $g_0$  is the datum of a pair (p, J),

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where p is a real subspace of  $g_0$  and  $J \in GL(p)$  satisfies

- 1.  $J^2 = -id;$
- 2.  $[JX, JY] = [X, Y] + J[X, JY] + J[JX, Y], \forall X, Y \in p;$
- 3.  $[JX, JY] [X, Y] \in p, \forall X, Y \in p$ .

Even in the present case, the complex subspace  $\mathbf{q} = \{X - iJX : X \in \mathbf{p}\}$  is a subalgebra of  $\mathbf{g}$  such that  $\mathbf{q} \cap \overline{\mathbf{q}} = \{0\}$ , in such a way that  $\mathbf{g} = \mathbf{q} \oplus \overline{\mathbf{q}} \oplus V$ , where V is a linear space spanned by real vectors. Both the notations,  $(\mathbf{p}, J)$  and  $\mathbf{q}$ , are employed to indicate a CR-structure.

Consider now a real Lie-group  $G_0$ , whose Lie-algebra  $Lie(G_0)$  is  $g_0$ , endowed with a CR-structure. Then, the group  $G_0$  inherits a structure of CR-manifold for which the left translations are CR-maps, [BOG], [WE], [AHR]. Moreover, if the CR-structure is such that  $\mathbf{p}$  is a real subalgebra (and consequentely  $\mathbf{q} \oplus \overline{\mathbf{q}}$  is a complex subalgebra of  $\mathbf{g}$ ), the Lie-group  $G_0$  is a Levi-flat manifold: i.e. foliated by complex submanifolds ([BOG]). In such a situation the CR-structure ( $\mathbf{p}$ , J) is said to be Levi-flat. An interesting class of such CR-structures is given by the ones whose leaf through the unit of  $G_0$  is a subgroup. A direct consequence of this fact is that both right and left translations are CR-maps. In particular,  $\mathbf{p}$  is a real ideal of  $\mathbf{g}_0$ ,  $ad_X$  is a CR-map, for every  $X \in \mathbf{g}_0$ , and the corresponding complex subalgebra  $\mathbf{q}$  is an ideal. These CR-structures are said to be CR-structure of Lie. They are shortly called LCR-structures.

Via the knowledge of the LCR-structures is possible to study the Levi-flat ones. Indeed, consider the bilinear skewsymmetric form  $\Gamma$ :  $\mathbf{p} \times \mathbf{p} \to \mathbf{p} : (X,Y) \mapsto [X,Y] - [JX,JY]$ . The pair  $(\mathbf{p},\Gamma)$  is a Lie-

algebra and the map J is invariant under  $\Gamma_X$ . Thus, any CR-structure  $(\mathbf{p}, J)$  on  $\mathbf{g}_0$  is a biinvariant structure on  $(\mathbf{p}, \Gamma)$ , (see Chapter 2).

The content of this thesis is a general treatment of LCR-structures  $(\mathbf{p}, J)$  on a real Lie-algebra  $\mathbf{g}_0$ . For our study, we adopt two points of view. According to the first one, the central role is taken by the pair  $(\mathbf{p}, J)$ . We investigate the structure of the ideal  $\mathbf{p}$  and all the possible J's on it. Some limitations are found (semisimple compact Lie-algebras do not admit any LCR-structure) and a constructive method is developed (the LCR-structures of a solvable Lie-algebra are given on the even-dimensional ideals by the "multiplication by i"). The main result is a structure theorem for  $(\mathbf{p}, J)$ , (Theorem 2.4.3):

let  $g_0 = \mathbf{r} \oplus_{ad} \mathbf{s}$  be a real Lie-algebra. Suppose  $(\mathbf{p}, J)$  is a LCR-structure on  $g_0$ ; then  $(\mathbf{p_r}, J_\mathbf{r})$  and  $(\mathbf{p_s}, J_\mathbf{s})$  are LCR-structures on  $\mathbf{r}$  and  $\mathbf{s}$ , respectively; and  $(\mathbf{p}, J)$  is their semidirect sum by the adjoint derivation. Vice versa, if one considers two LCR-structures  $(\mathbf{p_r}, A)$  and  $(\mathbf{p_s}, D)$  which verify

- 1)  $[p_s, r] \subset p_r$
- 2)  $[\mathbf{p_r}, \mathbf{s}] \subset \mathbf{p_r}$
- 3) A[X, V] = [X, AV]
- 4) A[U,Y] = [U,DY]

their semidirect sum by ad is a LCR-structure on  $g_0$ .

For the second approach we study the "CR-properties" of  $\mathbf{g}_0$  depending on a fixed LCR-structure  $(\mathbf{p}, J)$ . As in the classical case, we introduce the fundamental notions of CR-nilpotence, CR-solvability, CR-semisemplicity. The characterization of these properties for a LCR-

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algebra are expressed, in terms of  $g = g_0 \otimes_R C$  by the following table

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nilpotent : $C^k g = 0$	CR-nilpotent : $\mathbf{q} \cap \mathcal{C}^k \mathbf{g} = 0$
solvable : $\mathcal{D}^k \mathbf{g} = 0$	CR-solvable : $\mathbf{q} \cap \mathcal{D}^k \mathbf{g} = 0$
semisimple : $B \neq 0$	CR-semisimple: $B_{\mathbf{q}} \neq 0$

(here, as usual  $\mathcal{C}^k$  denotes the  $k^{th}$ -central element,  $\mathcal{D}^k$  the  $k^{th}$ -derived and B the Killing form).

Furthermore, for a LCR-algebra a Levi-Mal'cev CR-decomposition is proved (Theorem 3.8.6): g is the semidirect sum by ad of a CR-solvable LCR-ideal and of a CR-semisimple sub-LCR-algebra.

As it is well known, reductive Lie-algebras have a central position in the theory of complex and CR-structures, [MO], [SN], [GT]. Indeed, Morimoto showed that they are always endowed with a complex structure, whenever they are even-dimensional and Snow classified their "regular" complex structures. In Snow's paper the regularity is given demanding the invariance of  $\mathbf{q}$  under  $ad_{\mathbf{h}}$ , where  $\mathbf{h}$  is a suitable Cartan subalgebra. In that situation, if  $\Delta$  is the corresponding root set, then the complex structure  $\mathbf{q}$  is given by

$$q=q\cap h\oplus \oplus_{\alpha\in\Pi}g^\alpha,$$

where  $\Pi$  is a suitable subset of  $\Delta$ . An analogous decomposition of  $\mathbf{q}$  works when  $\mathbf{q}$  is a CR-structure of codimension 1 and  $\mathbf{g}$  is a reductive Lie-algebra of the first category as proved by Gigante and

Tomassini, [GT]. We exhibite a class of Levi-flat CR-structures on a reductive Lie-algebra which are not LCR.

Our investigation of CR-semisimple LCR-algebras concludes by proving that on any noncompact reductive Lie-algebra a semisimple LCR-structure exists. Moreover, the only reductive Lie-algebra without LCR-structure are the compact ones which have a one-dimensional centre (or which don't have centre), Theorem 2.2.3. The other compact ones are endowed with an abelian LCR-structure.

Finally, in the spirit of the classical root space decomposition of semisimple Lie-algebras, a decomposition theorem is given in terms of Cartan sub-LCR-algebras and CR-roots for CR-semisimple LCR-algebras (Theorem 4.3.1). An interesting consequence is that a CR-semisimple LCR-algebra g with LCR-structure q admits a real form  $g_0^*$  whose an ideal  $p^*$  is a compact real form of q. This is the CR-analogous of the classical theorem: every complex semisimple Lie-algebra has a compact real form, [HE].



#### CR-structures.

#### 1.1 Introduction to Chapter 1.

This Chapter is devoted to the definition of main concepts about CR-structures on a Lie-algebra  $g_0$ . A CR-structure is a complex structure given on a subspace p of  $g_0$ . So, the complex structures may be viewed as the CR-structures on the whole  $g_0$ . As CR-structures, they are Levi-flat; where the Levi-flatness will assume the meaning specified in the following Section. The study of CR-structures has a complex counterpart: each CR-structure may be read in the terms of a complex subalgebra q of the complexified  $g = g_0 \otimes_R C$ , such that  $q \cap \overline{q} = \{0\}$ . Remark that the overlined objects are the conjugated ones, with respect of the conjugation  $\tau$  induced by the complexification  $g = g_0 \otimes_R C$ . We shall often say that q is a CR-structure on  $g_0$ . Via this complex subalgebra, we define two subclasses of the set of CR-structures  $CR(g_0)$ . The class  $LfCR(g_0)$  whose elements are characterised by the fact that the subspace  $q \oplus \overline{q}$  is a complex subalgebra. They are said Levi-flat. And the class  $LCR(g_0)$  for which q is a complex ideal. Of course, the

following inclusions are given

$$CR(g_0) \supseteq LfCR(g_0) \supseteq LCR(g_0).$$

The description of these particular classes will be the aim of Chapter 2.

A Lie-algebra  $g_0$  on which is given the CR-structure (p, J) is said to be a CR-algebra. In Section 1.3, we study and the subalgebras which admits a CR-structure induced by (p, J); and the Lie-homomorphisms with respect of which p is invariant and which commute with J. These subalgebras are said sub-CR-algebras, while the Lie-homomorphisms are the CR-homomorphisms. Notice that a sub-CR-algebra is a real subalgebra  $h_0$  of  $g_0$  on which (p, J) induces the CR-structure  $(p \cap h_0, J_{p \cap h_0})$ . For simplicity, we often say that a complex subalgebra h of the complexified g is a sub-CR-algebra when h is the complexified of a sub-CR-algebra, in the sense that it is endowed with a CR-structure (p, J). In the terms of sub-CR-algebras, the concepts of CR-nilpotence, CR-solvability and CR-semisimplicity will be introduced in Chapter 3.

In Section 1.4, we consider the semidirect sums of two Lie-algebras. On them, we describe the CR-structures splitted in the "natural" way: i.e., the ones for which the underling subspace  $\mathbf{p}$  is the sum of  $\mathbf{p}_1$  and  $\mathbf{p}_2$ , which are subspaces of the two Lie-algebras. Furthermore, we construct some CR-structures even when the two factors do not admit CR-structures. The particular case of reductive Lie-algebras is studied.

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On reductive Lie-algebras a family of Levi-flat CR-structure which are not Lie-s is exhibited.

In the Appendix, we give three examples of real Lie-algebras  $g_i$ . i = 1, 2, 3 which show that the inclusions of the CR-classes are proper. Precisely, we shall compute that

$$CR(\mathbf{g}_1) = Gr(2,3) \supset LfCR(\mathbf{g}_1) = \emptyset$$

$$CR(g_2) = Gr(2,3) \supset LfCR(g_2) = \{L(X,Y) : Y^1 = (Y^2)^2 + (Y^3)^2.$$
  
$$(X^1)^2 + 1 = (X^2)^2 + (X^3)^2\} \supset LCR(g_2) = \emptyset$$

$$CR(\mathbf{g}_3) = Gr(2,4) \supset LfCR(\mathbf{g}_3) = LCR(\mathbf{g}_3) =$$
  
=  $\{\mathbf{p} \in Gr(2,4) : \mathbf{p} \text{ contains a fixed vector } E_4\}.$ 

#### 1.2 Basic definitions.

Let  $g_0$  be a real Lie algebra. In the sequel, g is its complexification  $g_0 \otimes_{\mathbf{R}} \mathbf{C}$ . The conjugation with respect to  $g_0$  is the real Lie-isomorphism  $\tau$ . The conjugated element of X is also denoted as  $\overline{X}$ . Moreover, we shall write with [,] and the real and the complex Lie bracket. Just by

definition of real Lie-isomorphism it is  $[\overline{X}, \overline{Z}] = [\overline{X}, \overline{Z}]$ , which is translated, in terms of adjoint transformations, as  $ad_{\overline{Z}} = \tau ad_{Z}\tau$ . Obviously, if **a** is a complex subalgebra, too. The object of this thesis may be seen as the complex subalgebras which do not intersect their conjugated ones.

**Definition 1.2.1** A CR-structure on  $g_0$  is a pair (p, J) composed by a linear subspace p of  $g_0$  and an endomorphism  $J: p \to p$  such that

1) 
$$J^2 = -id$$

2) 
$$[X, Y] - [JX, JY] \in \mathbf{p}, \forall X, Y \in \mathbf{p}$$

3) 
$$[JX, JY] = [X, Y] + J[JX, Y] + J[X, JY], \forall X, Y \in p.$$

In this case,  $g_0$  is said to be a CR-algebra.

Lemma 1.2.2 If (p, J) is a CR-structure on  $g_0$ , then the complex subspace  $q \doteq \{X - iJX | X \in p\}$  is a subalgebra of g which does not intersect  $\overline{q}$ .

Such a Lemma suggests a "complex" equivalent definition of a CRstructure which is more useful in view of the approach of this thesis.

Definition 1.2.3 A CR-structure  $\mathbf{q}$  on  $\mathbf{g}_0$  is a complex subalgebra  $\mathbf{q}$  of  $\mathbf{g}$ , such that  $\mathbf{q} \cap \overline{\mathbf{q}} = \{0\}$ .

Proposition 1.2.4 Given a CR-structure  $\mathbf{q}$  on  $\mathbf{g}_0$ , there exist r real vectors  $X_i \in \mathbf{g}_0$  such that  $\mathbf{g} = \mathbf{q} \oplus \overline{\mathbf{q}} \oplus \mathbf{v}$ , where  $\mathbf{v} = \bigoplus_{i=1}^r \mathbf{C} X_i$ . The complex vector space  $\mathbf{v}$  is  $\tau$ -stable. The integer  $r = \dim_{\mathbf{C}} \mathbf{v}$  is said the real codimension of  $\mathbf{q}$ . Whenever r = 0,  $\mathbf{q}$  is a complex structure.

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*Proof:* any basis  $(X_i)$  which completes in  $\mathbf{g_0}$  a basis of  $\mathbf{p} = \Re \mathbf{q}$  satisfies the proposition.

The datum of a CR-structure  $\mathbf{q}$  is equivalent to the pair  $(\mathbf{p}, J)$  given in the Definition 1.2.1.

Lemma 1.2.5 Let p be the real part of q, Req, the CR-structure q determines a linear endomorphism  $J: p \to p$  such that X - iJX stays in q, for any  $X \in p$ . Moreover all the elements of q assumes the form X - iJX.

Proof: the firs part is a trivial consequence of the fact that  $\mathbf{q} \cap \overline{\mathbf{q}} = \{0\}$ . Consider now  $Z \in \mathbf{q}$ ; obviously ReZ stays in  $\mathbf{p}$ , and, consequently. ReZ - iJReZ is in  $\mathbf{q}$ . The element  $W_Z = Z - (ReZ - iJReZ)$  stays in  $\mathbf{q}$ . A trivial computation says that  $W_Z = -\overline{W}_Z$ , so  $W_Z$  vanishes and ImZ = -JReZ.

The above Lemma depends only on the fact that  $\mathbf{q}$  is a linear subspace which does not intersect  $\overline{\mathbf{q}}$ . The fact that  $\mathbf{q}$  is a subalgebra links J and the real Lie-product [,].

Lemma 1.2.6 The endomorphism J verifies the conditions

- $1) J^2 = -id$
- 2)  $[X, Y] [JX, JY] \in \mathbf{p}, \forall X, Y \in \mathbf{p}$
- 3)  $[JX,JY] = [X,Y] + J[JX,Y] + J[X,JY], \forall X,Y \in \mathbf{p}.$

This means that J is a integrable complex structure on  $\mathbf{p}$ .

Thus, we have completely proved the equivalence between the real and the complex definition. In the following, we shall denote both with

 $(\mathbf{p}, J)$  and with  $\mathbf{q}$  the CR-structure. In each context the notation will be evident.

A particular interest is taken by those CR-structures which have more algebraic structure. In the sense that **p** is either a subalgebra or an ideal.

**Definition 1.2.7** A CR-structure  $\mathbf{q}$  is said to be Levi-flat if  $\tilde{\mathbf{q}} \doteq \mathbf{q} \oplus \overline{\mathbf{q}}$  is a complex subalgebra. When  $\mathbf{q}$  is a complex ideal,  $\mathbf{q}$  is said a Lie-CR-structure, or a LCR-structure. In the first case  $\mathbf{g}_0$  and  $\mathbf{g}$  are said Levi-flat CR-algebras. In the last, LCR-algebras.

The following examples prove that there are CR-structures which are not Levi-flat; and Levi-flat ones which are not LCR-structures. Some example of the existence of each kind of CR-structures are given in the Appendix.

Example 1 Let us consider the complex three-dimensional linear space  $\mathbb{C}^3$ . Let  $X_1, X_2$  be two vectors such that  $\tau X_1 \neq \pm X_1, X_2 = -\tau X_2$  and let  $(X_1, \tau X_1, X_2)$  be a basis of  $\mathbb{C}^3$ . If we define

$$[X_1, X_2] = 0$$
  
 $[X_1, \tau X_1] = X_2$ 

 $g = (C^3, [,])$  is a solvable Lie-algebra. Taken  $q_1 \doteq CX_1$ , we have that  $q_1 \cap \overline{q}_1 = \{0\}$  and  $[q_1, \overline{q}_1] = CX_2$ . So,  $q_1$  is a CR-structure which is not Levi-flat.

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Example 2 Let  $g_0$  be a real semisimple Lie-algebra and  $h_0$  be an its Cartan subalgebra. Then, g and h are their complexifications. Since h is abelian, any nonvanishing subspace q of h such that  $q \cap \overline{q} = \{0\}$  defines a Levi-flat CR-structure on  $g_0$  and a LCR-structure on  $h_0$ . Moreover q can not be an ideal of g. So it is not a LCR-structure.

Let us conclude this Section with two results about the algebraic properties of  $\mathbf{p}$ . Thus, we give the "real" definitions of Levi-flat and Lie's CR-structure. In the sequel we denote with  $\mathbf{u} = \bigoplus_{j=1}^r \mathbf{R} X_j$  and  $\mathbf{p}$  the real part of  $\mathbf{v}$  and  $\mathbf{q}$ , respectively. We shall write  $\tilde{\mathbf{q}}$  for the direct sum  $\mathbf{q} \oplus \overline{\mathbf{q}}$ . As we have already remarked (Proposition 1.2.4), we have the decompositions  $\mathbf{g}_0 = \mathbf{p} \oplus \mathbf{u}$  and  $\mathbf{g} = \tilde{\mathbf{q}} \oplus \mathbf{v}$ .

Proposition 1.2.8 The linear subspace p is a real subalgebra if and only if  $\tilde{q}$  is a complex one. This means that a CR-structure is Levi-flat if and only if p is a real subalgebra.

Let us give the proof. In particular, we shall show that  $[\mathbf{p}, \mathbf{p}]$  is included in  $\mathbf{p}$  if and only if  $[\mathbf{q}, \overline{\mathbf{q}}]$  is contained in  $\mathbf{q} \oplus \overline{\mathbf{q}}$ . If  $\mathbf{p}$  is a subalgebra, consider X, Y in  $\mathbf{p}$ , and the elements

$$[X - iJX, Y + iJY] = [X, Y] + [JX, JY] + i([X, JY] - [JX, Y])$$

$$2Z \doteq [X,Y] + [JX,JY] + J([X,JY] - [JX,Y])$$

$$2W \doteq [X, Y] + [JX, JY] - J([X, JY] - [JX, Y]).$$

Trivially it is  $Z, W \in \mathbf{p}$  and  $[X - iJX, Y + iJY] = Z + W + iJ(W - Z) \in \mathbf{q} \oplus \overline{\mathbf{q}}$ .

Vice versa if there are  $Z, W \in \mathbf{p}$  such that [X - iJX, Y + iJY] = Z + iJW, then  $[X,Y] + [JX,JY] = Z \in \mathbf{p}$ . Since, by definition,  $[X,Y] - [JX,JY] \in \mathbf{p}$ , it follows that [X,Y] is in  $\mathbf{p}$ .

An analogous result follows about LCR-structures.

**Proposition 1.2.9** A CR-structure  $\mathbf{q}$  is a LCR-structure if and only if  $\mathbf{p}$  is a real ideal and J is  $ad_X$ -invariant. Obviously, a LCR-structure is Levi-flat.

Remark 1.2.10 Of course, even in this case, the more geometrical definitions are those given in the real terms. That is, the CR-structure (p, J) is Levi-flat, whenever p is a real subalgebra; it is a LCR-structure, whenever p is a real ideal and J is invariant under all the adjoint derivations  $ad_X$ . The complex definitions have been introduced, since they have an easier application in the direct computations.

#### 1.3 Sub-CR-algebras.

In the family of all the real subalgebras  $h_0$ , we are interested in those on which  $(\mathbf{p}, J)$  induces a CR-structure. Let  $\mathbf{h}$  denote the complexification

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of  $h_0$ . In the general case, the subalgebras  $h \cap q$  and  $h \cap \overline{q}$  are not conjugated. Moreover, they may have not the same dimension. So we give the following

Definition 1.3.1 The complex subalgebra h is a sub-CR-algebra if it is  $\tau$ -stable and it admits the CR-structure  $h \cap q$  induced by q. When  $h \cap q$  is a Levi-flat CR-structure, h is said a Levi-flat sub-CR-algebra. When  $h \cap q$  is a LCR-structure, h is said a Lie-sub-CR-algebra. Let h be an ideal. Then we speak, respectively, of a CR-ideal, a Levi-flat CR-ideal and a CR-ideal of Lie. Moreover, in the case that q is a LCR-structure, h is said a sub-LCR-algebra or a LCR-ideal. When h is a sub-CR-algebra and  $h \cap q$  vanishes, h is said trivial. If  $\mathcal{D}h \cap q$  vanishes. h is said CR-abelian.

Example 3 Let g be the Lie-algebra of real  $2n \times 2n$ -matrices, gl(2n) and p be the subspace of diagonal ones. When A is in p, define the CR-structure J as

$$(JA)_i = -A_{n+i}$$
 and  $(JA)_{n+i} = A_i$ , where  $i \le n$ .

Consider, now, the ideal sl(2n) whose elements have trace vanishing. Such an ideal is not a CR-ideal. In fact, there are elements of  $p \cap sl(2n)$  whose image via J has not null trace:  $J\begin{pmatrix} I_n & 0 \\ 0 & -I_n \end{pmatrix} = I_{2n}$ . Examples of sub-CR-algebra are provided by the space of upper triangular matrices and by  $sl(n) \oplus sl(n)$ .

**Proposition 1.3.2** The subalgebra h is a nontrivial sub-CR-algebra if and only if  $\tau(h \cap q) = h \cap \overline{q} \neq \{0\}$ . The same result is true in all the other cases.

Of course, the complex definition 1.3.1 means that  $(\mathbf{h}_0 \cap \mathbf{p}, J_{\mathbf{h}_0 \cap \mathbf{p}})$  is a CR-structure on  $\mathbf{h}_0$ . The equivalence between these facts is given by the

**Proposition 1.3.3** The restriction of J to  $h_0 \cap p$  is an integrable complex structure. Vice versa, if J is an integrable complex structure on  $h_0 \cap p$ ,  $h \cap q$  is a sub-CR-algebra.

Corollary 1.3.4 The intersection  $h \cap q$  vanishes if and only if  $h_0 \cap p$  vanishes.

*Proof:* the above Proposition may be written as

$$h \cap q = \{0\} \Leftrightarrow \begin{cases} h_0 \cap p = \{0\} \\ h_0 \cap p \neq \{0\} \end{cases}$$
  $J$  does not map  $h_0 \cap p$  in itself

Let us prove that the second case can not occur. Take the subalgebra  $h'_0 \doteq h_0 \cap p + J(h_0 \cap p)$ . Then  $h'_0$  is invariant under  $J$  and intersects  $p$ . Thus, its complexified  $h'$  intersects  $q$  and it is contained in  $h$ : a contradiction.

Hence, the sub-CR-algebras  $h_0$  are characterised by the condition

$$J(h_0 \cap p) \subseteq h_0 \cap p \neq \{0\}.$$

Let us return to the complex situation. Since  $\tau$  is a real Lie-isomorphism, when h is  $\tau$ -stable its derived and its central series are composed by  $\tau$ -stable elements. Moreover, there is the

CR-structures.

Proposition 1.3.5 Let h be a sub-CR-algebra. Then either h is CR-abelian or  $\mathcal{D}$ h is a sub-CR-algebra.

Proof:  $\tau(\mathcal{D}\mathbf{h} \cap \mathbf{q}) = \mathcal{D}\overline{\mathbf{h}} \cap \overline{\mathbf{q}} = \mathcal{D}\mathbf{h} \cap \overline{\mathbf{q}}$ . A similar result is true even for  $\mathcal{D}^k\mathbf{h}$  and  $\mathcal{C}^k\mathbf{h}$ .

Theorem 1.3.6 Let h be a CR-ideal of g which does not contain q, then  $q/h \cap q$  is a CR-structure of g/h. Hence g/h is a CR-algebra, said the CR-quotient.

Proof: since  $\mathbf{q} \cap \mathbf{h}$  is an ideal of  $\mathbf{q}$ ,  $\mathbf{q}/\mathbf{q} \cap \mathbf{h}$  is a Lie-subalgebra of  $\mathbf{g}/\mathbf{h}$ . On  $\mathbf{g}/\mathbf{h}$  consider the conjugation  $\tau$  defined as  $\tau[X] = \overline{X} + \overline{\mathbf{h}} = \overline{X} + \mathbf{h} = [\overline{X}]$ . Take a real element  $[Q] = [\overline{Q}]$  of  $(\mathbf{q}/\mathbf{q} \cap \mathbf{h}) \cap (\overline{\mathbf{q}}/\overline{\mathbf{q}} \cap \mathbf{h})$ . By definition, there is  $H \in \mathbf{h}$  such that  $Q + H = \overline{Q}$ : then it is  $Q - \overline{Q} \in \mathbf{h} \cap (\mathbf{q} \oplus \overline{\mathbf{q}})$ . Since,  $\mathbf{h} = \mathbf{h} \cap \mathbf{q} \oplus \mathbf{h} \cap \overline{\mathbf{q}} \oplus \mathbf{h}_1$ ,  $\mathbf{h} \cap \mathbf{q} \oplus \mathbf{h} \cap \overline{\mathbf{q}} = \mathbf{h} \cap (\mathbf{q} \oplus \overline{\mathbf{q}})$ . So,  $Q \in \mathbf{h} \cap \mathbf{q}$ , and hence [Q] vanishes.

The Lie-homomorphisms which send a CR-structure in another one, are said *CR-homomorphisms*. More precisely,

Definition 1.3.7 Consider two CR-algebras g and g'. A Lie-homomorphism (resp. a derivation)  $\alpha : g \to g'$  is said a CR-homomorphism (resp. a CR-derivation) if  $\alpha$  intertwines  $\tau$  and  $\tau'$  and it maps q in q'. The set of all the CR-homomorphisms is denoted with  $Hom^*(g, g')$ .

The restriction of  $\alpha$  to the linear subspace  $\mathbf{p}$  defines an homomorphism  $\alpha: \mathbf{p} \to \mathbf{p}'$  which intertwines J and J'. Vice versa, an homomorphism  $\alpha: \mathbf{g}_0 \to \mathbf{g}_0'$  which maps  $\mathbf{p}$  into  $\mathbf{p}'$  and intertwines J and J', defines a CR-homomorphism.

Example 4 Let us return to Example 3, consider the matrix  $e_{ij}$  whose entries are  $\delta_{ik}\delta_{jh}$ , which has 1 in position (i,j) and 0 elsewhere. Define the real subspaces  $E_1 = \bigoplus_{i \leq n} \mathbf{R}e_{ii}$  and  $E_2 = \bigoplus_{i \geq n} \mathbf{R}e_{ii}$ . The CR-homomorphisms are the Liehomomorphisms which let both  $E_1$  and  $E_2$  invariant.

**Proposition 1.3.8** Let  $\alpha$  be an element of  $Hom^*(\mathbf{g}, \mathbf{g}')$ , then  $Im\alpha$  is a sub-CR-algebra of  $\mathbf{g}'$  and  $\ker \alpha$  is a CR-ideal of  $\mathbf{g}$  (when  $\alpha|_{\mathbf{q}}$  is not invertible). Moreover,  $\alpha \mathbf{q}$  is a CR-structure of  $\alpha \mathbf{g}$ .

Whenever,  $\alpha$  is an isomorphism, then the two CR-algebras are said to be CR-isomorphic and the corresponding CR-structures are said to be equivalent.

#### 1.4 Semidirect sums of CR-structures.

Take two Lie-algebras  $\mathbf{g}_0$  and  $\mathbf{g}'_0$ , and consider the CR-structures  $(\mathbf{p}, J)$  on  $\mathbf{g}_0$  and  $(\mathbf{p}', J')$  on  $\mathbf{g}'_0$ . If  $\delta$  is a Lie-homomorphism between  $\mathbf{g}_0$  and  $Der(\mathbf{g}'_0)$ , a classical construction gives the semidirect sum of  $\mathbf{g}'_0$  and  $\mathbf{g}_0$  by  $\delta$ . Since the direct sum  $\mathbf{g}'_0 \oplus_{\delta} \mathbf{g}_0$  is defined on the linear space  $\mathbf{g}'_0 \oplus \mathbf{g}_0$ , we would like to know when the pair  $(\mathbf{p}_{\oplus} = \mathbf{p}' \oplus \mathbf{p}, J_{\oplus} = J' \oplus J)$  is a CR-structure, too. In this case, it is called the semidirect sum of the CR-structures  $(\mathbf{p}, J)$  and  $(\mathbf{p}, J')$ . A direct computation proves the

Proposition 1.4.1 The pair  $(\mathbf{p}_{\oplus}, J_{\oplus})$  is a CR-structure on  $\mathbf{g}'_0 \oplus_{\delta} \mathbf{g}_0$  if and only if  $D_J(X) \doteq \delta(JX) + \delta(X)J'$  is a CR-linear map, for all X in  $\mathbf{p}$ .

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Corollary 1.4.2 When  $(\mathbf{p}, J)$  is a CR-structure on  $\mathbf{g}_0$ ,  $(\{0\} \oplus_{\delta} \mathbf{p}, J)$  is a CR-structure, for all  $\mathbf{g}'_0$  and for all  $\delta$ .

Remind that when  $g'_0$  is semisimple, any derivation is inner. So every  $\delta: g_0 \to Der(g'_0)$  takes the form  $\delta_B$ , for a suitable  $B \in Hom(g_0, g'_0)$ . If  $g_0$  and  $g'_0$  are endowed with CR-structures and B is a CR-homomorphism, the corresponding semidirect sum supports as CR-structure the semidirect sum of the two CR-structures.

Proposition 1.4.3 Let  $g_0'$  be a semisimple Lie-algebra, then the pair  $(p_{\oplus}, J_{\oplus})$  is a CR-structure of any  $g_0' \oplus_{\delta_B} g_0$ , with  $B \in Hom^*(g_0, g_0')$ : where  $\delta_B(X) \doteq ad_{BX}$ .

In the general case, notice that  $(\mathbf{p}_{\oplus}, J_{\oplus})$  is a Levi-flat CR-structure if and only if [(U, X), (V, Y)] + [J(U, X), J((V, Y)] is in  $\mathbf{p}_{\oplus}$ , with U, V in  $\mathbf{p}'$  and X, Y in  $\mathbf{p}$ . This fact implies that  $(\mathbf{p}', J')$  and  $(\mathbf{p}, J)$  have to be Levi-flat CR-structures and that  $\delta(X) + \delta(JX)J' \in \mathbf{gl}^*(\mathbf{p})$ .

By Proposition 1.4.1,  $D_J(JX) = \delta(JX)J' - \delta(X)$  is an element of  $gl^*(p')$ . So the further condition implies that the homomorphism  $\delta$  maps p in  $gl^*(p')$ . Let us summarise the result in the following

Proposition 1.4.4 The pair  $(\mathbf{p}_{\oplus}, J_{\oplus})$  is a Levi-flat CR-structure on  $\mathbf{g}'_0 \oplus_{\delta} \mathbf{g}_0$  if and only if

- 1. (p', J') is a Levi-flat CR-structure on  $g'_0$ ;
- 2.  $(\mathbf{p}, J)$  is a Levi-flat CR-structure of  $\mathbf{g}_0$ ;
- $\beta. \ \delta(\mathbf{p}) \subseteq \mathbf{gl}^*(\mathbf{p}'). \blacksquare$

Via an analogous computation, it is possible to prove the

**Proposition 1.4.5** The pair  $(\mathbf{p}_{\oplus}, J_{\oplus})$  is a LCR-structure if and only if

- 1. (p', J') is a LCR-structure on  $g'_0$ ;
- 2.  $(\mathbf{p}, J)$  is a LCR-structure on  $\mathbf{g}_0$ ;
- 3.  $\delta(JX) = J'\delta(X), \forall X \in \mathbf{p};$
- 4.  $\delta(X)J' = J'\delta(X), \forall X \in \mathbf{g}_0;$
- 5.  $\delta(X)\mathbf{p}' \subseteq \mathbf{p}', \forall X \in \mathbf{g}_0$ :
- 6.  $\delta(X)g_0' \subseteq p', \forall X \in p$ .

Let us consider a different case involving semidirect sums. Suppose that nor  $\mathbf{g}_0$  neither  $\mathbf{g}_0'$  supports a CR-structure. Even in this case, it is possible that  $\mathbf{g}_0' \oplus_{\delta} \mathbf{g}_0$  is endowed with a CR-structure. In fact, consider a subalgebra  $\mathbf{p}$  in  $\mathbf{g}_0$  and an abelian one  $\mathbf{p}'$  in  $\mathbf{g}_0'$ . Let  $E: \mathbf{p} \to \mathbf{p}'$  be a linear isomorphism such that  $E[X,Y] = \delta(X)EY - \delta(Y)EX$ , for all  $X,Y \in \mathbf{p}$ . Then, the pair  $(\mathbf{p}_{\oplus} = \mathbf{p}' \oplus \mathbf{p}, J_E = \begin{pmatrix} 0 & E \\ -E^{-1} & 0 \end{pmatrix})$  is a CR-structure. The further condition  $\delta(V)EX - \delta(Y)EU \in \mathbf{p}'$  characterises the Levi-flat CR-structures  $(\mathbf{p},J_E)$ . Finally, when  $\mathbf{p}'$  and  $\mathbf{p}$  are ideals and  $\delta(G)EX - \delta(Y)EH \in \mathbf{p}'$ ,  $(\mathbf{p}_{\oplus},J_E)$  is a LCR-structure.

If we focus our mind on LCR-structures, Proposition 1.4.5 assures that, if  $\mathbf{g}'_0$  is endowed with a complex structure and if  $\delta(X)$  is holomorphic,  $\mathbf{g}'_0 \oplus_{\delta} \mathbf{g}_0$  supports a LCR-structure, where  $\mathbf{g}_0$  is a generic real Liealgebra. That will be the case of noncompact semisimple Lie-algebras where  $\mathbf{g}_0$  is the sum of the real factors and  $\mathbf{g}'_0$  is the sum of the Cartan-classified ones, cf. Chapter 2, Section 2. Another example is given by a reductive Lie-algebra. In fact, in that case the algebra is the direct sum

of its centre and of a semisimple Lie-subalgebra. So, a LCR-structure is direct sum of an abelian LCR-structure with a semisimple one. Such a situation is a particular case of Levi-Mal'cev decomposition. Such a decomposition will be the object of the following Chapter.

Let us describe the particular case of a reductive Lie-algebra  $\mathbf{g}_0$ . Such an algebra is given by the direct sum of its centre and of its derived (which is semisimple):  $\mathbf{g}_0 = \zeta(\mathbf{g}_0) \odot \mathcal{D} \mathbf{g}_0$ .

In the following, such a decomposition will take a central position. In fact, we look only for the CR-structures splitted as  $(\mathbf{p} = \mathbf{p_a} \oplus \mathbf{p_s} J = \begin{pmatrix} J_\mathbf{a} & E \\ F & J_\mathbf{s} \end{pmatrix})$ . This choice is, in general, restrictive. While, if we consider just the LCR-structures. it is not. In fact, let  $\mathbf{p}$  be an ideal of  $\mathbf{g_0}$ . Hence,  $\mathbf{p_a} = \mathbf{p} \cap \zeta(\mathbf{g_0})$  is its radical. Take an its Levi-subalgebra  $\mathbf{p_s}$ . Since  $\mathbf{p_s}$  is a semisimple subalgebra, it is included in the Levi-subalgebra  $\mathcal{D}\mathbf{g_0}$ . Thus,  $\mathbf{p}$  takes the desired form.

Now, take a subspace  $\mathbf{p} = \mathbf{p_a} \oplus \mathbf{p_s}$ . Then, impose that  $J = \begin{pmatrix} J_\mathbf{a} & E \\ F & J_\mathbf{s} \end{pmatrix}$  is an integrable complex structure on it. By definition, the following relations have to be satisfied

By a direct computation, it is possible to show that the following relations have to be verified:

$$1. J_{\mathbf{a}}^2 + EF = -id_{\mathbf{pa}}$$

2. 
$$J_{\rm s}^2 + FE = -id_{\rm ps}$$

$$3. J_{\mathbf{a}}E + EJ_{\mathbf{s}} = 0$$

$$4. J_{\mathbf{s}}F + FJ_{\mathbf{a}} = 0$$

5. 
$$[ImF, ImF] = 0$$

6. 
$$[X, Y] - [J_s X, J_s Y] \in p_s$$

7. 
$$[J_sX, J_sY] = [X, Y] + J_s[J_sX, Y] + J_s[X, J_sY]$$

- 8.  $[ImF, p_s] \in KerE$
- 9.  $E[J_{s}X, J_{s}Y] = E[X, Y]$
- 10.  $ad_{FA}J_s = J_s ad_{FA}$ .

Corollary 1.4.6 Any reductive Lie-algebra is endowed with a CR--structure.

Proof: consider, in fact, an abelian subalgebra  $\mathbf{p_s}$ , whose dimension is less or equal to  $\dim \zeta(\mathbf{g_0})$  (such a subalgebra exists. In fact, any linear subspace of the Cartan subalgebra  $\mathbf{h}$  of  $\mathbf{s}$  is abelian); and a linear monomorphism  $E: \mathbf{p_s} \to \zeta(\mathbf{g_0})$ . Then, the pair  $(\mathbf{p} = E\mathbf{p_s} \oplus \mathbf{p_s}, J_E = \begin{pmatrix} 0 & E \\ -E^{-1} & 0 \end{pmatrix})$  is a CR-structure on  $\mathbf{g_0}$ . In particular, since  $\mathbf{p}$  is abelian,  $(\mathbf{p}, J_E)$  is Levi-flat. Obviously,  $(\mathbf{p}, J_E)$  can not be a Lie's one, otherwise  $\mathbf{p_s}$  would be an abelian ideal of  $\mathbf{s}$ . Such a construction provides a "large" family of Levi-flat CR-structures which are not Lie's.

The ten relations provide other interesting families of splitted CR-structures on a reductive Lie-algebra. Suppose that  $(\mathbf{p_a}, J_\mathbf{a})$  and  $(\mathbf{p_s}, J_\mathbf{s})$  are CR-structures on  $\zeta(\mathbf{g_0})$  and  $\mathcal{D}\mathbf{g_0}$ , respectively. Then

- i) the direct sum  $(\mathbf{p} = \mathbf{p_a} \oplus \mathbf{p_s}, J_{\mathbf{a}} \oplus J_{\mathbf{s}})$  is a CR-structure on  $\mathbf{g_0}$ ;
- ii) whenever  $E: \mathbf{p_s} \to \mathbf{p_a}$  satisfies

$$J_{\mathbf{a}}E + EJ_{\mathbf{s}} = 0$$
  
$$E[J_{\mathbf{s}}X, J_{\mathbf{s}}Y] = E[X, Y],$$

the pair  $(\mathbf{p}, J = \begin{pmatrix} J_{\mathbf{a}} & E \\ 0 & J_{\mathbf{s}} \end{pmatrix}$  defines a CR-structure on  $\mathbf{g}_0$ ;

iii) whenever  $F: \mathbf{p_a} \to \mathbf{p_s}$  satisfies  $J_\mathbf{s}F + FJ_\mathbf{a} = 0$  and  $ad_{FX}J_\mathbf{s} = J_\mathbf{s}ad_{FX}$ ,  $\forall X \in \mathbf{p_a}$ ,  $(\mathbf{p}, J = \begin{pmatrix} J_\mathbf{a} & 0 \\ F & J_\mathbf{s} \end{pmatrix}$  is a CR-structure.

In Chapter 2, we shall show that the only LCR-structures of a reductive Lie-algebra take the form  $(\mathbf{p}_{\oplus} = \mathbf{p}_{\mathbf{a}} \oplus \mathbf{p}_{\mathbf{s}}, J_{\oplus} = J_{\mathbf{a}} \oplus J_{\mathbf{s}}).$ 

In conclusion, let us observe that even the Levi-flat CR-structures are given on splitted spaces.

Proposition 1.4.7 A real subalgebra p of a reductive Lie-algebra go is reductive.

Proof: remind that a Lie-algebra is reductive if and only if its adjoint representation is semisimple. Then, take X in  $\mathbf{p}$  and an  $ad_X$ -invariant subspace V of  $\mathbf{p}$ . Since  $\mathbf{g}_0$  is reductive, there exists an  $ad_X$ -invariant subspace W of  $\mathbf{g}_0$  such that  $\mathbf{g}_0 = V \oplus W$ . Let  $\pi_W$  be the projection on W defined by the given decomposition. Since V is included in  $\mathbf{p}$ ,  $\pi_W \mathbf{p}$  is contained in  $\mathbf{p}$  and it coincides with  $\mathbf{p} \cap W$ . Obviously,  $\mathbf{p} = V \oplus \mathbf{p} \cap W$  and  $\mathbf{p} \cap W$  is invariant under  $ad_X$ .

Corollary 1.4.8 Whenever p is a subalgebra, p is decomposed as  $p = \zeta(p) \odot \mathcal{D}p$ . Notice that  $\mathcal{D}p$  is included in  $\mathcal{D}g_0$  while  $\zeta(p)$  is not necessary in  $\zeta(g_0)$ .

In any case, a Levi-flat CR-structure satisfies the above ten equations.

#### 1.5 Appendix.

We study three examples of Lie-algebras of low dimension. On each of them, all the CR-structures are studied. They are interesting because they furnish examples of CR-structures which are not Levi-flat; and of Levi-flat CR-structures which are not Lie's.

Example 5 Let  $S^3$  be the three-dimensional sphere. It is a compact Lie-group, whose Lie-algebra is  $\operatorname{su}(2) = \{A \in \operatorname{gl}(2, \mathbb{C}) : trA = 0, A^t + \overline{A} = 0\}$ . The generic element of  $\operatorname{su}(2)$  is  $\begin{pmatrix} ix & u+iv \\ -u+iv & -ix \end{pmatrix}$ . Hence, a basis is given by  $E_1 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$ ,  $E_2 = \begin{pmatrix} 0 & i \\ i & 0 \end{pmatrix}$ ,  $E_3 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ . Furthermore, the Lie-product is defined by

$$[E_1, E_2] = -2E_3$$
  
 $[E_1, E_3] = 2E_2$   
 $[E_2, E_3] = -2E_1$ .

First of all, remark that the centre of su(2) vanishes. Hence, since it is compact, it is simple. Then, su(2) has no ideals, and, hence, no LCR-structures.

Remind, now, that a CR-structure is given on an even-dimensional subspace  $\mathbf{p}$ . So, we study the planes  $\mathbf{p} \subseteq \mathbf{su}(2)$ . In the case that  $\mathbf{p}$  is a subalgebra, or it is abelian either it is solvable. Since the product of two vectors is given by

$$[X,Y] = 2(X^3Y^2 - X^2Y^3)E_1 + 2(X^1Y^3 - X^3Y^1)E_2 + 2(X^2Y^1 - X^1Y^2)E_3,$$

it vanishes if and only if they are linearly dependents. This means that there are no abelian planes.

Consider now a solvable bidimensional subalgebra p. It is possible to find two vectors  $X, Y \in p$  such that

1. 
$$p = RX \oplus RY$$

$$2. [X, Y] = Y.$$

The second relation implies that

$$(Y^2)^2 + (Y^3)^2 = -(Y^1)^2,$$

where the  $Y^i$ 's are the components of Y with respect of  $E_i$ . Obviously. the only solution is Y=0. Hence, there are nor bidimensional subalgebras, neither Levi-flat CR-structures. Otherwise, any plane  $\mathbf{p}=\mathbf{R}X\oplus\mathbf{R}Y$  admits the complex structure  $JX\doteq Y$ ,  $JY\doteq -X$ .

In conclusion, the Lie-algebra su(2) has no bidimensional subalgebras. Thus, the sphere  $S^3$  does not admit Levi-flat CR-structure.

Example 6 Consider the matrices  $E_1 = \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}$ ,  $E_2 = \begin{pmatrix} 0 & i \\ -i & 0 \end{pmatrix}$ ,  $E_3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and the space  $g_0 = \bigoplus_i \mathbf{R}E_i$ . Since,

$$[E_1, E_2] = -2E_3$$

$$[E_1, E_3] = 2E_2$$

$$[E_2, E_3] = 2E_1,$$

 $\mathbf{g}_0$  is a real Lie-algebra, whose centre vanishes. Let us write the Lie-product of two vectors X and Y

$$[X,Y] = 2(X^2Y^3 - X^3Y^2)E_1 + 2(X^1Y^3 - X^3Y^1)E_2 + 2(X^2Y^1 - X^1Y^2)E_3.$$

The following system defines the eigenvectors of  $ad_X$ :

$$\begin{cases} X^{2}Y^{3} - X^{3}Y^{2} = \lambda Y^{1} \\ X^{1}Y^{3} - X^{3}Y^{1} = \lambda Y^{2} \\ X^{2}Y^{1} - X^{1}Y^{2} = \lambda Y^{3} \end{cases}$$

Since one of the  $Y^i$ 's does not vanishes, let us pose  $Y^1 = 1$ . Then, the system becomes

$$\begin{cases} Y^{3}X^{2} - Y^{2}X^{3} = \lambda \\ X^{3} = Y^{3}X^{1} - \lambda Y^{2} \\ X^{2} = X^{1}Y^{2} + \lambda Y^{3} \end{cases}$$

so 
$$Y^2 = \cos \alpha$$
,  $Y^3 = \sin \alpha$ ,  $Y = (1, \cos \alpha, \sin \alpha)$ 

Let us write the second and the third equations as

$$\begin{cases} Y^2 = \frac{X^1 X^2 - \lambda X^3}{(X^1)^2 + \lambda^2} \\ Y^3 = \frac{X^1 X^3 + \lambda X^2}{(X^1)^2 + \lambda^2} \end{cases}$$

this means that, when  $\lambda$  is a nonvanishing eigenvalue,  $\lambda$  is a zero of

$$(X^{1}X^{3} + \lambda X^{2})^{2} + (X^{1}X^{2} - \lambda X^{3})^{2} = ((X^{1})^{2} + \lambda^{2})^{2},$$

and then of

$$\lambda^2 = (X^2)^2 + (X^3)^2 - (X^1)^2.$$

So,  $tr(ad_X)$  vanishes, for all  $X \in g_0$ , and  $g_0$  is said unimodular. A classical result about unimodular three-dimensional algebras says that

the Killing form is given by  $B(X,Y) = -8(X^1Y^1 - X^2Y^2 - X^3Y^3)$ , cf. the Appendix to Chapter 2. Hence,  $g_0$  is simple. In particular, it does not admit LCR-structures and it is isomorphic to sl(2,R).

Since a CR-structure of  $g_0$  is supported by a plane, let us study the planes and the bidimensional subalgebras. When  $p = RX \oplus RY$  is a subalgebra, p has to be solvable. In fact, X and Y commutes if and only if they are linear dependents. Let us consider X and Y in p such that [X,Y]=Y. Imposing this condition, we obtain the linearly independents vectors

$$Y_{\alpha} = (1, \cos \alpha, \sin \alpha)$$
  
$$X_{a,\alpha} = (a, \sin \alpha + a \cos \alpha, a \sin \alpha - \cos \alpha).$$

Then,  $\forall a, \alpha \in \mathbf{R}$ ,  $\mathbf{p}_{a,\alpha} = \mathbf{R}Y_{\alpha} \oplus \mathbf{R}X_{a,\alpha}$  is a solvable subalgebra. Its endomorphism  $J_{a,\alpha}$ , which sends  $Y_{\alpha}$  in  $X_{a,\alpha}$  and  $X_{a,\alpha}$  in  $Y_{\alpha}$ , defines a Levi-flat CR-structure on  $\mathbf{g}_0$ .

Remind that  $\mathbf{p}_{a,\alpha}$  does not depend on a. In fact, we may write  $X_{b,\alpha}$  as  $X_{a,\alpha} + (b-a)Y_{\alpha}$ .

Finally, observe that the generic CR-structures are more than the Levi-flat ones. In fact, the vectors  $Y_{\alpha}$  belong to the cone  $\Gamma$  of equation  $X^1 = (X^2)^2 + (X^3)^2$ , while the vectors  $X_{a,\alpha}$  are on the hyperboloid H of equation  $(X^1)^2 + 1 = (X^2)^2 + (X^3)^2$ . A plane, which does not intersect the above cone, supports a CR-structure but it is not a subalgebra.

So,  $g_0$  has no LCR-structure. Any its plane defines a CR-structure. While the Levi-flat ones are generated by a suitable

pair of vectors taken in  $\Gamma$  and in H.

Example 7 Consider the real linear space g<sub>0</sub> of complex matrices

$$\begin{pmatrix}
0 & z & w \\
0 & 0 & \overline{z} \\
0 & 0 & 0
\end{pmatrix},$$

and the matrices  $e_{ij}$  which have 1 in the position (i,j) and 0 elsewhere. A basis of  $g_0$  is given by  $E_1 = e_{12} + e_{23}$ ,  $E_2 = i(e_{12} - e_{23})$ ,  $E_3 = e_{13}$ ,  $E_4 = ie_{13}$ . A trivial computation shows that the only noncommuting matrices are  $E_1$  and  $E_2$ , whose product is

$$[E_1, E_2] = -2E_4.$$

Hence,  $\mathcal{D}g_0 = \mathbf{R}E_4$  and  $\mathcal{D}^2g_0 = 0$ . So,  $g_0$  is a solvable Lie-algebra. By definition, the vector  $E_4$  stays in all the subalgebras with dimension greater than 2. Moreover,  $p_X \doteq \mathbf{R}X \oplus \mathbf{R}E_4$  is the generic bidimensional ideal. So, we may conclude that the Levi-flat CR-structures of  $g_0$  are LCR-structures and are given by  $(\mathbf{p}_X, J_X)$ , where  $J_X X = E_4$  and  $J_X E_4 = -X$ .

In conclusion, the Levi-flat CR-structures are defined by the planes containing  $E_4$ . Each of them is a LCR-structure.

# LCR-structures.

# 2.1 Introduction to Chapter 2.

In [SN], the author studies the left-invariant complex structures on reductive Lie-algebras. He considers a real reductive Lie-algebra  $g_0$  endowed with an invariant complex structure. Hence, the complexification of  $g_0$ ,  $g = g_0 \otimes_R C$ , may be decomposed as  $g = q \oplus \overline{q}$ , where q is a complex subalgebra. Snow studies the regular complex structures, where regular means that there exists a Cartan subalgebra h of g such that  $h = \overline{h}$  and  $[h,q] \subseteq q$ .

A regular q can be written as

$$q=q\cap h\oplus \oplus_{\alpha\in\Pi}g^{\alpha},$$

where  $\Pi$  is a suitable subset of the root set  $\Delta$ . Finally, Snow shows that every complex structure is regular, when it is given on a reductive Lie-algebra of the first category. Remind that in these algebras the involution determined by a Cartan decomposition is an inner automorphism. Such results have been translated by [GT] in terms of

CR-structures on reductive Lie-algebras of the first category: the authors study the case of real codimension 1. With this further hypothesis, they prove that there exists a compact Cartan subalgebra  $h_0$  of  $g_0$ on which the CR-structure q induces a CR-structure. Moreover, they find a subset  $\Delta^+ \subseteq \Delta$  which determines a decomposition similar to the Snow's one. Two cases are possible: either  $q = q \cap h \oplus \bigoplus_{\alpha>0} g^{\alpha}$ , or  $\mathbf{q} = \mathbf{q} \cap \mathbf{h} \oplus \bigoplus_{\alpha > 0, \alpha \neq \mu} \mathbf{g}^{\alpha} \oplus \mathbf{C}(H + X^{\mu}), \text{ where } H = \overline{H} \in \mathbf{h}.$  In this Chapter we explore and classify all the LCR-structures on a Lie-algebra. With respect of [GT] we study a case in which the Lie-algebra is more generic (in fact, it has not to be reductive of the first category), while the CR-structure is more particular, since it is a Lie's one. Moreover, our approach does not use Cartan subalgebras and their corresponding root spaces. Chapter 4 will be devoted to this point of view. In the present Chapter, we consider the Levi-Mal'cev decomposition. Thus, we have to study LCR-structures in the semisimple and in the solvable cases (Sections 2.2 and 2.3): in the first one the LCR-structures are sums (in the sense of Proposition 2.2.5) of simple ideals endowed with a complex structure (described by Cartan in the classical classification, [HE]); in the second one they are given on even-dimensional ideals p. decomposed as  $\mathbf{p} = \mathbf{u} \oplus A\mathbf{u}$ , by the endomorphism  $J_A \doteq \begin{pmatrix} 0 & A \\ -A^{-1} & 0 \end{pmatrix}$ . Finally, Section 2.4 concludes with Theorem 2.4.3: let g<sub>0</sub> be decomposed following Levi-Mal'cev decomposition; then  $(\mathbf{p}, J)$  is a LCRstructure if and only if its factors are LCR-structures whose semidirect sum by ad is  $(\mathbf{p}, J)$  itself. Obviously this result describes all the LCRstructures. The only indetermination is due to the knowledge of the

ideals of solvable Lie-algebras.

Hence, in Section 2.5 the problem of the existence of Levi-flat CR-structure is solved; and their description is given in the terms of a new Lie-product  $\Gamma$  on  $\mathbf{p}$ .

# 2.2 Semisimple LCR-structures.

In this Section we denote by  $g_0$  a real Lie-algebra and by B its Killing form. The existence and the description of semisimple LCR-structures depend on the compactness of the Lie-algebra. Thus, we study, separately, the compact and the noncompact case. Remind that a Lie-algebra  $g_0$  is compact if there exists a compact Lie-group whose Lie-algebra is  $g_0$ . That is equivalent to giving the decomposition  $g_0 = \zeta(g_0) \odot [g_0, g_0]$ , where  $\zeta(g_0)$  is the center of  $g_0$  and  $[g_0, g_0]$  is semisimple and compact.

It is a classical fact that the existence of a complex structure on a compact Lie-algebra implies the abelianity of the algebra itself. Moreover, a CR-structure  $(\mathbf{p}, J)$  such that  $\mathbf{p}$  is in the center of  $\mathbf{g}_0$ , is trivially a LCR-structure, so we can hopefully expect a CR analogous of the complex result. Such an analogous result is based on the

Lemma 2.2.1 Given a LCR-structure (p, J) on  $g_0$ , p admits a biinvariant metric if and only if p is abelian.

*Proof:* a metric g is biinvariant, whenever

$$g([X, Y], Z) = g(X, [Y, Z]),$$

for all X, Y, Z in  $g_0$ . Let p be abelian, then any metric is, certainly, biinvariant. In order to prove the converse, we can impose that J is an isometry with respect to g (otherwise we substitute g with  $g'(X, Y) \doteq g(X, Y) + g(JX, JY)$ ). With this hypothesis the following chain of equivalences is true, for any X, Y, Z in p

$$g([X,Y],Z) = g(J[X,Y],JZ) = g([X,JY],JZ) =$$
  
 $g(X,[JY,JZ]) = -g(X,[Y,Z]) = -g([X,Y],Z),$ 

therefore g([X,Y],Z) vanishes.

Since any compact Lie-algebra admits a biinvariant metric, we have the

Proposition 2.2.2 Let  $g_0$  be a compact Lie-algebra, (p, J) is a LCR-structure on  $g_0$  if and only if p is abelian. Moreover, the same result is true when the only p is compact.

The previous proposition permits us to describe the compact case with the

Theorem 2.2.3 There are no LCR-structures on a compact semisimple Lie-algebra. Furthermore, when  $g_0$  is a compact Lie-algebra, (p, J) is a LCR-structure on  $g_0$  if and only if p is included in the center  $\zeta(g_0)$ . LCR-structures 35

Proof: the non-existence of abelian ideals in a semisimple Lie-algebra concludes the first part of the assertion. About the second one. suppose that a compact Lie-algebra  $g_0$  supports a LCR-structure (p, J). then p takes the form  $p_1 \oplus p_2$  where  $p_2$  is an ideal of the Levi-subalgebra  $\mathcal{D}g_0$  and  $p_1 = p \cap \zeta(g_0)$  is the radical of p. In the case that J maps  $p_2$  in itself, then  $(p_2, J|_{p_2})$  would be a LCR-structure of  $[g_0, g_0]$ , that is impossible. Hence, p coincides with  $p_1$  and stays in  $\zeta(g_0)$ . Let us conclude proving that J maps, really,  $p_2$  in itself. Consider

Let us conclude proving that J maps, really,  $\mathbf{p}_2$  in itself. Consider the complex subalgebras  $\mathbf{q}_j \doteq \{X - iJX : X \in \mathbf{p}_j\}$ . Obviously it is  $\mathbf{q} = \mathbf{q}_1 \oplus \mathbf{q}_2$  and  $\mathbf{q}_2$  is another LCR-structure of  $\mathbf{g}$ . Hence, it is given the endomorphism  $J_2 : \mathbf{p}_2 \to \mathbf{p}_2$ . Take  $X \in \mathbf{p}_2$ , then X - iJX is in  $\mathbf{q}$ , and  $X - iJ_2X$  is in  $\mathbf{q}_2$ . With a direct computation, we show that  $i(J_2X - JX) = (X - iJX) - (X - iJ_2X) = (X + iJ_2X) - (X + iJX) \in \mathbf{q} \cap \overline{\mathbf{q}} = \{0\}$ , which means that J maps  $\mathbf{p}_2$  in itself.

Now we move to the study of LCR-structures on semisimple noncompact Lie-algebras. The simple case is trivial. In fact, since there are no nontrivial ideals, a LCR-structure on a simple Lie-algebra is. really. an ad-invariant complex one, if it exists. Moreover, it is well known that a semisimple Lie-algebra is direct sum of simple ideals. These facts bring us to the

Proposition 2.2.4 A LCR-structure on a semisimple Lie-algebra is completely defined by its simple ideals endowed with a complex structure. Moreover, the same result is true whenever  $\mathbf{g}_0$  is a generic Lie-algebra and  $\mathbf{p}$  is a semisimple ideal.

Proof: since  $\mathbf{q}$  is semisimple,  $\mathbf{p} = Re\mathbf{q}$  is semisimple, too. So,  $\mathbf{p} = \mathbf{p}_1 \odot \dots \mathbf{p}_k$ , where the  $\mathbf{p}_j$  are simple ideals of  $\mathbf{p}$ . Define  $\mathbf{q}_j \doteq \{X - iJX : X \in \mathbf{p}_j\}$ . Then  $\mathbf{q} = \mathbf{q}_1 \odot \dots \odot \mathbf{q}_k$  and  $[\mathbf{q}_j, \mathbf{q}] \subseteq \mathbf{q}_j$ . So  $\mathbf{q}_j$  is a CR-structure of  $\mathbf{g}$  which corresponds to the pair  $(\mathbf{p}_j, J_j)$ . A trivial computation shows that  $J_j = J|_{\mathbf{p}_j}$ . Hence,  $J\mathbf{p}_j \subseteq \mathbf{p}_j$ . This fact concludes the proof.  $\blacksquare$ 

Hence, a LCR-structure on a semisimple Lie-algebra is given by the complex structures on some simple factors. Each of these factors is described in the Cartan's classification of the complex simple Lie-algebras

g	G	U	$\zeta(\mathbf{U}')$	$dim \mathbf{U}$
$a_n (n \ge 1)$	SL(n+1,C)	SU(n+1)	$\mathbf{Z}_{n+1}$	n(n+2)
$b_n (n \ge 2)$	SO $(2n+1, C)$	SO(2n+1)	$\mathbf{Z}_2$	n(2n+1)
$c_n (n \ge 3)$	$\mathbf{Sp}(n,\mathbf{C})$	$\mathbf{Sp}(n)$	${f Z}_2$	n(2n+1)
$d_n(n \ge 4)$	$\mathbf{SO}(2n,\mathbf{C})$	$\mathbf{SO}(2n)$	$\mathbf{Z}_4, n = odd$	n(2n-1)
			$\mathbf{Z}_2 + \mathbf{Z}_2, n = even$	
$e_6$	$E_6^{f C}$	$E_6$	${f Z}_3$	78
$e_7$	$E_7^{f C}$	$E_7$	${f Z}_2$	133
$e_8$	$E_8^{f C}$	$E_8$	${f Z}_1$	248
$f_4$	$F_4^{f C}$	$F_4$	${f Z}_1$	52
$g_2$	$G_2^{f C}$	$G_2$	${f Z}_1$	14

In the Table (cf. [HE]), g is a simple Lie-algebra over C; n the dimension of a Cartan-subalgebra; G a connected Lie-group such that  $Lie(G) = g^R$ , where  $g^R$  is the realification of g; U an analytical subgroup such that Lie(U) is a compact real form of g (i.e. U is a maximal compact subgroup); and U' is the universal covering of U.

Let us summarise the results in the following

**Proposition 2.2.5** Let  $g_0$  be a semisimple and noncompact Lie-algebra. Then we give the decomposition  $g_0 = \mathbf{r}_1 \odot ... \odot \mathbf{r}_j \odot \mathbf{p}_1 \odot ... \odot \mathbf{p}_h$ . where:

- 1. both  $\mathbf{r}_i$  and  $\mathbf{p}_i$  are simple real ideals;
- 2. on the  $\mathbf{r}_i$  there are no complex structures;
- 3. any  $p_i$  takes one of the forms in the Table.

With such a decomposition we may choose any sum  $\mathbf{p} = \bigoplus_{l=1}^k \mathbf{p}_{i_l}$  with the endomorphism  $J = J_{i_1} \oplus \ldots J_{i_k}$ . The pair  $(\mathbf{p}, J)$  is the generic LCR-structure on  $\mathbf{g}_0$ .

### 2.3 Solvable LCR-structures.

A real Lie-algebra  $g_0$  is solvable if one of its derived subalgebras vanishes. Since any ideal of  $g_0$  is solvable, a LCR-structure on  $g_0$  is an ad-invariant complex structure on a solvable ideal.

Lemma 2.3.1 Suppose  $g_0$  is a solvable Lie-algebra and (p, J) is a LCR-structure. Then there exists a subspace u such that  $p = u \oplus Ju$ 

and  $J = \begin{pmatrix} 0 & J'' \\ J' & 0 \end{pmatrix}$ , where J' and J'' are the restrictions of J to  $\mathbf{u}$  and to  $J\mathbf{u}$ , respectively.

Proof: since  $\mathbf{p}$  is solvable there exists an its codimension one ideal  $\mathbf{p}_1$  [VA]. It is easy to show that  $J\mathbf{p}_1 \neq \mathbf{p}_1$ . Then, there exists  $X_1 \in \mathbf{p}_1$  such that  $\mathbf{p} = L(X_1, JX_1) \oplus \mathbf{p}_1 \cap J\mathbf{p}_1$ . Moreover  $(\mathbf{p}_1 \cap J\mathbf{p}_1, J)$  is a LCR-structure of  $\mathbf{p}$ . Now the same fact is true for the pair  $(\mathbf{p}_1 \cap J\mathbf{p}_1, \mathbf{p}_2)$ , where  $\mathbf{p}_2$  is a codimension one ideal in  $\mathbf{p}_1 \cap J\mathbf{p}_1$ . In that way, we find a family  $X_1 \dots X_k$ , such that  $\mathbf{p} = L(X_1 \dots X_k, JX_1 \dots JX_k)$  and the space  $\mathbf{u} = L(X_1 \dots X_k)$  is the desired one.

Let us show the converse: any ideal of a solvable Lie-algebra supports a LCR-structure if and only if it is even dimensional; in that case we write  $\mathbf{p}$  as the sum  $\mathbf{p} = \mathbf{u} \oplus \mathbf{v}$ , where  $\mathbf{u}$  and  $\mathbf{v}$  have the dimension  $\frac{1}{2} \dim \mathbf{p}$ . Chosen a linear monomorphism  $A: \mathbf{v} \to \mathbf{p}$  such that  $\mathbf{u} = A\mathbf{v}$ , the complex structure  $J = J_A \doteq \begin{pmatrix} 0 & A \\ -A^{-1} & 0 \end{pmatrix}$  is generic: so the LCR-structures depend only on the splitting of  $\mathbf{p}$  in equal-dimensional subspaces. Let us proof this fact by induction.

The simplest solvable algebras are the abelian ones, i.e. the ones whose first derived vanishes.

Lemma 2.3.2 Let  $g_0$  be an abelian real Lie-algebra. Then there exists an  $ad_X$ -invariant complex structure J on the ideal p if and only if p is even-dimensional. In that case there exist a linear subspace u and a monomorphism  $A: u \to p$  such that

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1. 
$$\mathbf{p} = A\mathbf{u} \oplus \mathbf{u}$$
  
2.  $J = J_A \doteq \begin{pmatrix} 0 & A \\ -A^{-1} & 0 \end{pmatrix}$ .

Moreover, fixed p, all the LCR-structure  $(p, J_A)$  are equivalent, independently on the subspace u and on the morphism A. Hence, the structure is unique.

Proof: suppose that  $\mathbf{p}$  is endowed with an  $ad_X$ -invariant complex structure J, then Lemma 2.3.1 gives us the pair  $(\mathbf{u}, J')$  desired. Vice versa, let  $\mathbf{p}$  be an even-dimensional ideal. Then, choose  $\mathbf{u}$  and A, such that  $\mathbf{p} = \mathbf{u} \oplus A\mathbf{u}$ . The endomorphism  $J_A$  is trivially an  $ad_X$ -invariant complex structure on  $\mathbf{p}$ . If one considers the automorphism  $\phi_{AB} \doteq \begin{pmatrix} I & 0 \\ 0 & BA^{-1} \end{pmatrix}$ , one has an isomorphism between  $(\mathbf{p}, J_A)$  and  $(\mathbf{p}, J_B)$ , in fact  $J_A \phi_{AB} = \phi_{AB} J_B$ . Hence, the complex structure does not depend on A. Finally, we show that does not depend neither on  $\mathbf{u}$ : let  $(\mathbf{v}, C)$  be a pair such that  $\mathbf{p} = \mathbf{v} \oplus C\mathbf{v}$ . Then we have  $\mathbf{v} = D\mathbf{u}$  and  $\mathbf{p} = D\mathbf{u} \oplus AD\mathbf{u}$ , where we have taken D Lie-isomorphism. It is easy to show that the pairs  $(D\mathbf{u} \oplus AD\mathbf{u}, J_A)$  and  $(\mathbf{u} \oplus D^{-1}AD\mathbf{u}, J_{D^{-1}AD})$  are isomorphic.  $\blacksquare$ 

In Section 2.2, we have shown that, given a compact Lie-algebra  $g_0$ , (p, J) is a LCR-structure if and only if p is contained in the center  $\zeta(g_0)$ . Lemma 2.3.2 permits us to describe in a deeper way these LCR-structures. In fact, suppose (p, J) is a LCR-structure, then p has to take the form  $p = u \oplus Au$ , with  $J = J_A$ . Thus, a LCR-structure on a compact Lie-algebra is equivalent to the choice of an even-dimensional linear subspace of the center.

Theorem 2.3.3 A solvable Lie-algebra  $g_0$  admits a unique LCR-structure supported on each its even-dimensional ideal. Let  $(\mathbf{p}, J)$  be a LCR-structure, then there exist two vector spaces  $\mathbf{u}$  and  $\mathbf{v}$  and an isomorphism A between  $\mathbf{u}$  and  $\mathbf{v}$  such that  $\mathbf{p} = \mathbf{u} \oplus A\mathbf{u}$  and  $J = J_A$ . Moreover, fixed  $\mathbf{p}$  all the LCR-structures  $(\mathbf{p}, J_A)$  are equivalent.

Proof: let k be the minimum integer such that  $\mathcal{D}^k \mathbf{g}_0 = 0$ , then make the proof by induction over k. The base of the induction is given by the abelian case. Now, let  $\mathbf{g}_0$  be a solvable but not abelian real Liealgebra. In any case,  $\mathbf{g}_0' \doteq \mathbf{g}_0/\mathcal{D}\mathbf{g}_0$  is abelian. Furthermore J maps  $\mathcal{D}\mathbf{p}$  on itself, since  $Jad_X = ad_XJ$ . So the induced morphism J' defines a LCR-complex structure. If we apply the previous Lemma, we have that  $\mathbf{p}' = \mathbf{w}' \oplus J'|_{\mathbf{w}'}\mathbf{w}'$  and  $J' = J_{J'|_{\mathbf{w}'}}$ . Choose a subspace  $\mathbf{w}$  in the class  $\mathbf{w}'$ , then we obtain  $\mathbf{g}_0 = \mathbf{w} \oplus J^+\mathbf{w} \oplus \mathcal{D}\mathbf{g}_0$  and  $J = \begin{pmatrix} 0 & J^+ & 0 \\ J^+ & 0 & 0 \\ 0 & 0 & J_1 \end{pmatrix}$ . where  $J^+$  is the restriction to  $\mathbf{w}$  and  $J_1$  the one to  $\mathcal{D}\mathbf{g}_0$ . Finally, we apply the inductive hypothesis on the pair  $(\mathcal{D}\mathbf{g}_0, J_1)$ .

In conclusion, a solvable Lie-algebra  $g_0$  admits one LCR-structure on each even-dimensional ideal (in the hypothesis that it exists) given by an isomorphism  $J_A$ . Hence LCR-structures are essentially given by the choice of even-dimensional ideals. Remark that it is possible to have different LCR-structures of the same dimension.

Example 8 Let  $g_0$  be the real three-dimensional linear space spanned by  $(E_1, E_2, E_3)$  whose Lie-product is given by  $[E_1, E_2] = [E_2, E_3] = 0$ 

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$$[E_1, E_3] = E_3.$$

Consider now the solvable ideals  $\mathbf{p}_1 = L(E_2, E_3)$  and  $\mathbf{p}_2 = L(E_1, E_3)$ . Since  $\mathbf{p}_2$  is not abelian, the LCR-structures defined on them are inequivalent.

Example 9 Let  $g_0(n)$  be the set of upper triangular  $n \times n$  real matrices, and  $n_0$  be the ideal whose elements have 0 on the diagonal. A trivial computation shows that  $n_0$  is nilpotent and it coincides with  $\mathcal{D}g_0(n)$ . Hence,  $g_0(n)$  is solvable. Consider the matrix  $E_{ij}$  which has 1 in (i,j)-position and 0 elsewhere. If  $n_0$  is odd-dimensional, then

$$\mathbf{n}_k = \mathbf{n}_0 \oplus \bigoplus_{j=1}^{2k-1} \mathbf{R} E_{i_j i_j}$$

is an even-dimensional ideal, as well as it is

$$\mathbf{n}_k = \mathbf{n}_0 \oplus \bigoplus_{i=1}^{2k} \mathbf{R} E_{i_i i_i},$$

when  $\mathbf{n}_0$  is even-dimensional. In both the cases,  $\mathbf{g}_0(n)$  admits at least  $2^{n-1}$  LCR-structures, not necessary inequivalent.

## 2.4 The Levi-Mal'cev decomposition.

Let p be an ideal of  $g_0$ . Then its radical  $p_r$  is given by  $p \cap r$ , where r is the radical of  $g_0$ . Furthermore, if  $p_s$  is an its Levi-subalgebra, there

exists a Levi-subalgebra  $\mathbf{s}$  of  $\mathbf{g}_0$  containing  $\mathbf{p}_{\mathbf{s}}$ . Thus, there are the two Levi-Mal'cev decompositions:  $\mathbf{p} = \mathbf{p}_{\mathbf{r}} \oplus_{ad} \mathbf{p}_{\mathbf{s}}$  and  $\mathbf{g}_0 = \mathbf{r} \oplus_{ad} \mathbf{s}$ . Since  $\mathbf{p}_{\mathbf{r}}$  is the radical of  $\mathbf{p}$  it contains both  $[\mathbf{p}_{\mathbf{s}}, \mathbf{r}]$  and  $[\mathbf{p}_{\mathbf{r}}, \mathbf{s}]$ .

Suppose, now, that  $(\mathbf{p}, J)$  is a LCR-structure and that J is denoted by the matrix  $\begin{pmatrix} A & B \\ C & D \end{pmatrix}$ . Moreover, choose the elements U in  $\mathbf{r}$ , V in  $\mathbf{p_r}$ , X in  $\mathbf{s}$  and Y in  $\mathbf{p_s}$ . Then, the condition  $ad_{U+X}J = Jad_{U+X}$  is equivalent to the following 1) A[U, V] = [U, AV] + [U, CV]

- 2) A[X.V] = [X, AV]
- 3) A[U, Y] = [U, BY] + [U, DY]
- 4) B[X, Y] = [X, BY]
- 5) C[U, V] = 0
- 6) C[U, Y] = 0
- 7) C[X, V] = [X, CV]
- 8) D[X, Y] = [X, DY].

A direct computation shows that J is the direct sum of A and D. In fact, there is the

#### Proposition 2.4.1 The matrices B and C vanish.

*Proof:* in consequence of 7), ImC is an ideal of s. Moreover, we have that  $[CV, CV_1] = C[CV, V_1] = 0$ , so ImC is abelian. Thus, it is an abelian ideal of a semisimple Lie-algebra and it has to vanish.

The fourth condition says that ker B is an ideal of  $\mathbf{p_s}$ . Hence, it is semisimple: moreover,  $\mathbf{p_s}/\ker B$  is semisimple, too. Otherwise, every subspace  $\mathbf{t}$  of  $\mathbf{r}$  verifies  $\mathcal{D}^n\mathbf{t}=0$ , for a suitable n. So ImB does. As linear spaces, we have that  $\mathbf{p_s}/\ker B$  and ImB are isomorphic, via the

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isomorphism  $jX^+ \doteq BX$ , where  $X^+ = X + \ker B \in \mathbf{p_s}/\ker B$ . Let us compute the product  $[jX^+, jY^+]$ .

First of all, take X, Y in  $p_s$ , and compute

$$[BX, BY] = A[BX, Y] - [BX, DY] = AB[X, Y] - B[X, DY] =$$
  
=  $-BD[X, Y] - B[X, DY] = -2BD[X, Y].$ 

Furthermore, D sends  $\ker B$  in  $\ker B$ , in fact B intertwines A and -D. Hence,  $[jX^+, jY^+] = -2j(D[X,Y])^+$ . So, we can conclude that  $\mathcal{D}^n(\mathbf{p_s}/\ker B)$  vanishes, since  $\mathbf{p_s}/\ker B$  is semisimple. Thus,  $\mathbf{p_s}$  coincides with  $\ker B$ .

Remark 2.4.2 The vanishing of C does not depend on the fact that the first factor is solvable. So for a semidirect sum  $\mathbf{g}_0 \oplus_{\delta} \mathbf{g}'_0$ , with the second factor  $\mathbf{g}'_0$  semisimple, a splitted LCR-structure takes the form  $(\mathbf{p} \oplus \mathbf{p}', \begin{pmatrix} A & B \\ 0 & D \end{pmatrix})$ .

Proposition 2.4.1 permits us to simplify the list of relations characterising a LCR-structure:

- 1)  $(\mathbf{p_r}, A)$  is a LCR-structure on  $\mathbf{r}$
- 2)  $(p_s, D)$  is a LCR-structure on s
- 3)  $[p_s, r] \subset p_r$
- 4)  $[p_r, s] \subset p_r$ ,
- 5)  $A[X, V] = [X, AV], \forall X \in \mathbf{s}, V \in \mathbf{p_r};$
- 6)  $A[U, Y] = [U, DY], \forall U \in \mathbf{r}, Y \in \mathbf{p_s}.$

Theorem 2.4.3 Let  $g_0$  be a real Lie-algebra. Then, there exists an its Levi-subalgebra s such that  $(p_r, J_r)$  and  $(p_s, J_s)$  are LCR-structures on r and s, respectively; and (p, J) is their semidirect sum by the adjoint derivation. Vice versa, if one considers two LCR-structures  $(p_r, A)$  and  $(p_s, D)$  which verify

- 1)  $[p_s, r] \subset p_r$
- 2)  $[p_r, s] \subset p_r$
- 3) A[X, V] = [X, AV]
- 4) A[U, Y] = [U, DY]

their semidirect sum by ad is a LCR-structure on  $g_0$ .

#### 2.5 Levi-flat CR-structures.

Morimoto showed that there always exist complex structures  $J_{MO}$  on any even dimensional real reductive Lie-algebra, [MO]. Using this result, we prove the existence of Levi-flat CR-structures on every Lie-algebras (except  $\mathfrak{su}(2)$ ). Next, we study their structure. In order to do this, we introduce a new Lie-product  $\Gamma$  on  $\mathfrak{p}$  with respect of which the CR-structure  $(\mathfrak{p}, J)$  is a Lie's one. Then, we apply Theorem 2.4.3. This allows to give a general structure theorem for Levi-flat CR-structures (Theorem 2.5.10).

Theorem 2.5.1 The only Lie-algebra which does not support any Leviflat CR-structure is  $\mathfrak{su}(2)$ . LCR-structures 45

*Proof:* consider a Levi-Mal'cev decomposition  $\mathbf{g}_0 = \mathbf{r} \oplus_{ad} \mathbf{s}$ . When  $\mathbf{s}$  is even-dimensional, Morimoto assures that there exists a complex structure  $J_{MO}$  on it. The pair  $(\mathbf{s}, J_{MO})$  is a Levi-flat CR-structure.

Furthermore, we have seen that, if dim  $r \geq 2$ , there exists a solvable Levi-flat CR-structure  $(p, J_A)$  on r.

So, we have to study the case dims odd and dim  $\mathbf{r} \leq 1$ . When dim  $\mathbf{r} = 1$ ,  $\mathbf{g}_0$  is reductive. In fact, take an element of the center  $R_0 + S_0$ . Thus,  $S_0$  vanishes and  $[R_0, S] = 0$ , for any S in  $\mathbf{s}$ . Hence, if  $\zeta(\mathbf{g}_0) \neq \{0\}$ , then  $[\mathbf{r}, \mathbf{s}] = 0$ ,  $\mathbf{r} = \zeta(\mathbf{g}_0)$  and  $\mathbf{s} = \mathcal{D}\mathbf{g}_0$ . Vice versa, suppose that the center vanishes. Then, since  $\mathbf{r}$  is an abelian ideal.  $[\mathbf{r}, \mathbf{s}]$  is not null and it coincides with  $\mathbf{r}$ . So,  $\mathbf{g}_0 = \mathcal{D}\mathbf{g}_0$ . In both cases,  $\mathbf{g}_0 = \zeta(\mathbf{g}_0) \odot \mathcal{D}\mathbf{g}_0$ . So,  $\mathbf{g}_0$  is an even-dimensional reductive Lie-algebra, and there is a  $J_{MO}$  complex structure on the whole  $\mathbf{g}_0$ .

The last case is given by the odd-dimensional semisimple Lie-algebras  $g_0$ , and it is divided as follows:

- 1. If  $rank\mathbf{g}_0 \geq 2$ , any even-dimensional linear subspace  $\mathbf{p}$  of a Cartan subalgebra supports a Levi-flat CR-structure  $(\mathbf{p}, J_A)$  on  $\mathbf{g}_0$ .
- 2. When  $rankg_0 = 1$ , taken a Cartan subalgebra  $\mathbf{h} = \mathbf{R}H_{\alpha}$ , the only roots are the vanishing one and  $\pm \alpha$ . So, the algebra is of the form  $\mathbf{g}_0 = \mathbf{R}H_{\alpha} \oplus \mathbf{R}X_{\alpha} \oplus \mathbf{R}X_{-\alpha}$ , hence it is three-dimensional. Finally, the only three-dimensional semisimple real Lie-algebras are  $\mathbf{su}(2)$  and  $\mathbf{sl}(s,\mathbf{R})$ . In the Appendix of Chapter 1, we have seen that  $\mathbf{su}(2)$  has no Levi-flat CR-structure; while  $\mathbf{sl}(2,\mathbf{R})$  is endowed with the Levi-flat CR-structures  $(\mathbf{p}_{a,\alpha},J_{a,\alpha})$

Let  $(\mathbf{p}, J)$  be a CR-structure on  $\mathbf{g}_0$ . Define the bilinear skewsymmetric form  $\Gamma : \mathbf{p} \times \mathbf{p} \to \mathbf{p} : (X, Y) \mapsto [X, Y] - [JX, JY]$ .

**Lemma 2.5.2** The bilinear form  $\Gamma$  is a Lie-product on  $\mathbf{p}$ . Moreover, the structure J is a complex one invariant with respect to the  $\Gamma$ -adjoint derivations of  $\mathbf{p}$ .

Consider a CR-structure  $(\mathbf{p}, J)$  such that  $\mathbf{q}$  is a solvable complex subalgebra. Then  $\mathbf{p}$  satisfies the condition  $\mathcal{D}^l \mathbf{p} = \{0\}$ , for a suitable  $l \in \mathbf{N}$ . By definition, an element of  $\mathcal{D}^k_{\Gamma} \mathbf{p}$  is sum of elements of  $\mathcal{D}^k \mathbf{p}$ , hence,  $\mathcal{D}^l_{\Gamma} \mathbf{p}$ vanishes; and therefore  $(\mathbf{p}, \Gamma)$  is a real  $\Gamma$ -solvable Lie-algebra. Applying the results of Section 3 to the  $\Gamma$ -LCR-structure  $(\mathbf{p}, J)$ , we have the

Proposition 2.5.3 Let  $g_0$  be a real Lie-algebra, and (p, J) be a CR-structure, such that q is solvable. Then there exist a linear subspace u of p and a linear monomorphism  $E: u \to p$  such that

1. 
$$p = u \oplus Eu$$

2. 
$$J = J_E$$
.

Moreover, any even-dimensional linear subspace p may be written as  $p = u \oplus Eu$  and admits the complex structure  $J_E$ .

Let us complexify the Lie-algebra  $(\mathbf{p}, \Gamma)$ . Its complexified linear space is  $\mathbf{q}$  itself, on which we may consider the complex product  $\Gamma$ .

Proposition 2.5.4 The pair  $(\mathbf{q}, \Gamma)$  is a Lie-algebra.

In fact, 
$$\Gamma(X - iJX, Y - iJY) = \Gamma(X, Y) - \Gamma(JX, JY) - i\{\Gamma(X, JY) + \Gamma(JX, Y)\} = 2\{\Gamma(X, Y) - iJ\Gamma(X, Y)\}$$
 is an element of  $\mathbf{q}$ .

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We also have that  $\Gamma(X - iJX, Y - iJY) = 2\{[X, Y] - [JX, JY] - iJ([X, Y] - [JX, JY])\} = 2[X - iJX, Y - iJY]$ , and, as a trivial consequence,  $B_{\Gamma} = 4B$ , where B is the Killing form of the Lie-algebra  $(\mathbf{q}, [,])$ . This computation suggests the

Proposition 2.5.5 The complex subalgebra q is  $\Gamma$ -semisimple if and only if it is semisimple.

In the last part of this Section we consider a Levi-flat CR-structure  $(\mathbf{p}, J)$ . Then in view of a classical result, the subalgebra  $\mathbf{p}$  is semisimple if and only if  $\mathbf{q}$  is it. Hence there is the following

Proposition 2.5.6 Let (p, J) be a Levi-flat CR-structure on  $g_0$ . Then p is  $\Gamma$ -semisimple if and only if p is semisimple.

Such correspondence is not true for simple and  $\Gamma$ -simple Levi-flat CR-structures: a semisimple  $\mathbf{p}$  may be a  $\Gamma$ -simple Lie-algebra. In that case,  $\mathbf{p}$  is one of the complex ( $\Gamma$ -)simple algebras of the Cartan's classification [HE]. Otherwise, it is direct sum (with respect of [,] and with respect of  $\Gamma$ ) of  $\Gamma$ -simple  $\Gamma$ -ideals  $\mathbf{s}_i$ .

Proposition 2.5.7 Let (p, J) be a semisimple Levi-flat CR-structure on  $g_0$ . If p is not  $\Gamma$ -simple, there are (not necessary simple) ideals  $s_i$ of p such that

1. 
$$\mathbf{p} = \mathbf{s}_1 \odot \ldots \odot \mathbf{s}_k$$
;

2. each  $s_i$  supports the  $\Gamma_X$ -invariant complex structure  $J_{s_i}$ .

Now, take a Levi-flat CR-structure  $(\mathbf{p}, J)$ . Since  $(\mathbf{p}, \Gamma)$  is a Liealgebra, consider its Levi-Mal'cev decomposition  $\mathbf{p} = \mathbf{r}_{\Gamma} \oplus_{\Gamma} \mathbf{s}_{\Gamma}$ , where  $\mathbf{r}_{\Gamma}$  is the  $\Gamma$ -radical and  $\mathbf{s}$  is a  $\Gamma$ -Levi-subalgebra.

Proposition 2.5.8 The  $\Gamma$ -radical  $\mathbf{r}_{\Gamma}$  and any  $\Gamma$ -Levi-subalgebra  $\mathbf{s}_{\Gamma}$  are invariant under J.

A trivial consequence is the

Corollary 2.5.9 The pairs  $(\mathbf{r}_{\Gamma}, J|_{\mathbf{r}_{\Gamma}})$  and  $(\mathbf{s}_{\Gamma}, J|_{\mathbf{s}_{\Gamma}})$  are Levi-flat CR-structures on  $(\mathbf{p}, \Gamma)$ . The structure  $(\mathbf{p}, J)$  is their semidirect sum by  $\Gamma$ .

The global result can be stated in the following

Theorem 2.5.10 Let  $(\mathbf{p}, J)$  be a Levi-flat CR-structure. Consider the  $\Gamma$ -Levi-Mal'cev decomposition  $\mathbf{p} = \mathbf{r}_{\Gamma} \oplus_{\Gamma} \mathbf{s}_{\Gamma}$ . Then the  $\Gamma$ -radical  $\mathbf{r}_{\Gamma}$  takes the form  $\mathbf{r}_{\Gamma} = \mathbf{u} \oplus E\mathbf{u}$  and the restriction  $J|_{\mathbf{r}_{\Gamma}}$  is equivalent to  $J_E$ . Furthermore, the  $\Gamma$ -Levi-algebra  $\mathbf{s}_{\Gamma}$  is direct sum of J-invariant ideals  $\mathbf{s}_i$  of  $\mathbf{s}$  which support  $\Gamma_X$ -invariant complex structures  $J_i = J|_{\mathbf{s}_i}$ . So the Levi-flat CR-structure is given by the pair  $(\mathbf{p}, J)$  whose elements are

$$\mathbf{p} = (\mathbf{u} \oplus E\mathbf{u}) \oplus_{ad} \mathbf{s}_1 \odot ... \odot \mathbf{s}_k$$

$$J=J_E\oplus J_1\oplus\ldots\oplus J_k.$$

## 2.6 Appendix.

In this Appendix we describe LCR-structures on low dimensional Lie-algebras  $\mathbf{g}_0$ . First of all, remind that there exist just two different bidimensional Lie-algebras: the abelian one and the Lie-algebra  $\mathbf{h}_0$  of the matrices  $\begin{pmatrix} a & b \\ 0 & -a \end{pmatrix}$ , which is solvable. Both of them are endowed with the complex structure given by the "multiplication by i."

So, the case dim  $g_0 = 2$  is solved. Now, let dim  $g_0$  be greater than 3. Let us start with dim  $g_0 = 3$ . Such Lie-algebras are completely classified in [MI]. The classification makes use of the map  $\varphi : g \to \mathbb{R} : X \mapsto tr(ad_X)$ . Since  $tr([ad_X, ad_Y]) = 0$ ,  $\varphi$  is a Lie-homomorphism. The kernel  $\mathbf{u} \doteq \ker \varphi$  is an ideal called *unimodular kernel*;  $g_0$  is said unimodular if  $g_0 = \mathbf{u}$ . An important result is given by the

Lemma 2.6.1 Let  $g_0$  be an unimodular 3-dimensional Lie-algebra endowed with a scalar product. Then there exists an orthonormal base  $(E_1, E_2, E_3)$  such that

1. 
$$[E_2, E_3] = \lambda_1 E_1$$
,  $[E_3, E_1] = \lambda_2 E_2$  and  $[E_1, E_2] = \lambda_3 E_3$ ;

2. 
$$B(X,Y) = -2(\lambda_2 \lambda_3 X^1 Y^1 + \lambda_1 \lambda_3 X^2 Y^2 + \lambda_1 \lambda_2 X^3 Y^3).$$

The 3-dimensional unimodular Lie-algebras are classified by the following relations

$$1. \ \lambda_1 = \lambda_2 = \lambda_3 = 0$$

$$2. \ \lambda_1 \neq 0, \ \lambda_2 = \lambda_3 = 0$$

3. 
$$\lambda_1 \lambda_2 \neq 0$$
,  $\lambda_3 = 0$ 

4. 
$$\lambda_1 \lambda_2 \lambda_3 \neq 0$$
.

Case1:  $g_0$  is abelian and isomorphic to  $\mathbf{R}^3$ . Each plane supports a LCR-structure: in fact, let  $\mathbf{p} = L(X,Y)$  be a fixed plane; a LCR-structure is given by  $J(X,Y) \doteq (-Y,X)$ .

Case2: the Lie-product is described by  $[E_2, E_3] = \lambda_1 E_1$ ,  $[E_3, E_1] = 0$  and  $[E_1, E_2] = 0$ . The planes  $\mathbf{p}_2 = L(E_1, E_3)$ ,  $\mathbf{p}_3 = L(E_1, E_2)$  and  $\mathbf{p}_X = L(E_1, X)$  are abelian ideals endowed with the LCR-structures  $J_2(E_1, E_3) \doteq (-E_3, E_1)$  and  $J_3(E_1, E_2) \doteq (-E_2, E_1)$ . They are all the Levi-flat CR-structures of the algebra.

Case3: let us consider the bidimensional subalgebras:  $L(E_1, E_2)$  is the only abelian one and it is even an ideal. Then, we have to look for the solvable ones: so, we study the equation [X, Y] = Y.

Since  $[X,Y]=\lambda_1(X^2Y^3-X^3Y^2)E_1+\lambda_2(X^3Y^1-X^1Y^3)E_2$ , it must be  $Y^3=0$  and  $Y^1Y^2X^3\neq 0$ . Two subcases are possible: or  $\lambda_1\lambda_2>0$ , and there are no solvable subalgebras; either  $\lambda_1\lambda_2<0$ . Hence a solvable subalgebra p=L(X,Y) is generated by  $Y=(1,\sqrt{-\frac{\lambda_2}{\lambda_1}},0)$  and  $X=(X^1,X^2,\sqrt{-\frac{1}{\lambda_1\lambda_2}})$ . Since,  $[X,E_1]=\sqrt{-\frac{\lambda_2}{\lambda_1}}E_2$ , no L(X,Y) is an ideal. In fact, it would be  $(0,\sqrt{-\frac{\lambda_2}{\lambda_1}},0)=\alpha Y+\beta X$  that implies  $\alpha=\beta=0$ , which is a contradiction.

Case4: B is nonsingular, i.e.  $g_0$  is semisimple. But 3-dimensional semisimple Lie-algebras are simple. Hence  $g_0$  has no nontrivial ideals. So there are no LCR-structures on such a  $g_0$ . A deeper analysis shows that if all the  $\lambda_i$  are positive,  $g_0$  is isomorphic to su(2); while if one of them is negative it is isomorphic to  $sl(2, \mathbf{R})$ . In both the cases  $g_0$  is a real form (compact or not) of  $sl(2, \mathbf{C})$ . A detailed study of these Lie-algebras has been done in the Appendix of Chapter 1.

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The last case is when  $g_0$  is not unimodular. Which means that  $\varphi$  is a nonvanishing real linear form. So its kernel  $\mathbf{u}$  is an abelian 2-dimensional ideal. And at least one LCR-structure exists.

Summarising all the case, one obtains that a 3-dimensional real Liealgebra  $g_0$  either is a (simple) real form of  $sl(2, \mathbb{C})$  either is endowed with (at least) one LCR-structure given on a 2-dimensional abelian ideal.

Remark that, if one considers the 4-dimensional case, the only non-solvable Lie-algebra endowed with a LCR-structure is  $\mathbf{R} \oplus \mathbf{s}_0$ , where  $\mathbf{s}_0$  is a real form of  $\mathbf{sl}(2,\mathbf{C})$ . The study of LCR-structures on 2- and 3-dimensional Lie-algebras, make easy the classification on 5-dimensional ones. Such a study is quite interesting since it makes use of Levi-Mal'cev decomposition. In the sequel, let  $\dim \mathbf{g}_0 = 5$ . Suppose that  $\mathbf{g}_0$  is decomposed as  $\mathbf{g}_0 = \mathbf{r}_0 \oplus_{ad} \mathbf{s}_0$ . Let us consider the dimension of  $\mathbf{r}_0$ . When  $\dim \mathbf{r}_0 = 0$ ,  $\mathbf{g}_0$  is semisimple. Since there are no semisimple algebras of dimension 1 and 2,  $\mathbf{g}_0$  may not have nonvanishing ideals. So  $\mathbf{g}_0$  is simple and it has no LCR-structures.

Let dim  $\mathbf{r}_0 = 1$ . Then  $\mathbf{r}_0$  is the real line and it is abelian; hence  $\mathbf{s}_0$  is simple. So  $\mathbf{g}_0$  has no LCR-structures.

In the case dim  $\mathbf{r}_0 = 2$ ,  $\mathbf{r}_0$  either is abelian or it is the solvable algebra of matrices  $\begin{pmatrix} a & b \\ 0 & -a \end{pmatrix}$ . The corresponding Levi-subalgebra  $\mathbf{s}_0$  is simple and coincides either with  $\mathbf{su}(2)$  or with  $\mathbf{sl}(2,\mathbf{R})$ . Even in this case,  $\mathbf{s}_0$  does not admit LCR-structures. The only one is given by the solvable ideal  $\mathbf{r}_0$  endowed with an endomorphism of the form  $J_A$ .

The cases  $\dim \mathbf{r}_0 = 3,4$  can not occur, since  $\mathbf{s}_0$  should be 2- or 1-

dimensional.

The last case is dim  ${\bf r}_0=5.$  Then  ${\bf g}_0$  is solvable and it admits LCR-structures on all its 2- and 4-dimensional ideals.

# LCR-algebras.

## 3.1 Introduction to Chapter 3.

In this Chapter (as well in the next one), we focus our attention on LCR-algebras. Precisely, we are interested to describe in what extent the properties of an algebra  $\mathbf{g} = \mathbf{g_0} \oplus_{\mathbf{R}} \mathbf{C}$  depend upon the datum of a LCR-structure  $\mathbf{q}$ . This is slightly different from what we did in the first two chapters, where a LCR-structure was studied for itself.

Thus, we develop a structure theory of LCR-algebras. First of all, we introduce some useful classes of such Lie-algebras: the CR-nilpotent. the CR-solvable and the CR-semisimple ones.

To study the CR-nilpotent LCR-algebras, we need to define the LCR-representations, i.e. those representations which preserve the LCR-structure. Via these representations, we are able to show that the CR-nilpotent LCR-algebras are characterised by the vanishing of  $\mathbf{q} \cap \mathcal{C}^k \mathbf{g}$ , for a suitable k. Thus, they are CR-solvable.

Then, in the theory of CR-solvable LCR-algebras the CR-solvable CR-radical  $\mathbf{r}^*$  is studied; of course  $\mathbf{r}^*$  plays the role of the classical

solvable radical. For instance, the property  $\mathbf{r}^* = 0$  determines CRsemisimple LCR-algebras. Moreover, its behaviour is described by the Cartan's criteria for CR-solvability and CR-semisimplicity. In Section 3.7, we give a description of CR-maximal CR-semisimple LCRalgebras g, where CR-maximal means that any nontrivial LCR-ideal of g is contained in q. A CR-maximal CR-semisimple LCR-algebra is a reductive Lie-algebra and it is a fundamental factor of a CR-semisimple LCR-algebra (Theorem 3.7.4). Thus, we give a structure result for CR-semisimple LCR-algebras. In particular, Theorem 3.7.10 assures that a Lie-algebra  ${\bf g}$  admits a semisimple LCR-structure  $\overline{{\bf q}}$  if and only if g is a noncompact reductive Lie-algebra. Finally, we obtain a result concerning any LCR-algebra and we prove the existence of Levi sub-LCR-algebras s\*, , obtaining the Levi-Mal'cev CR-decomposition  $g = r^* \oplus_{ad} s^*$ . Thus, a generic LCR-algebra may be studied as the semidirect sum of a CR-solvable ideal and a CR-semisimple subalgebra.

### 3.2 CR-nilpotent LCR-algebras.

Let  $\mathbf{g}_0$  be a real Lie-algebra on which a LCR-structure is given via an ideal  $\mathbf{q}$  of the complexified  $\mathbf{g} = \mathbf{g}_0 \otimes_{\mathbf{R}} \mathbf{C}$ . The datum of the real Lie-algebra  $\mathbf{g}_0$  corresponds to a fixed conjugation  $\tau$ . Consider now a complex linear space V decomposed as  $V = W \oplus \overline{W} \oplus V_1$ , where the overlined objects are conjugated with respect of its conjugation  $\tau_V$ . LCR-algebras 55

**Definition 3.2.1** A representation  $\rho : g \to gl(V)$  is said to be a LCR-representation if

- i)  $\rho(x)$  commutes with  $\tau_V$ , for all  $x \in g_0$ ;
- ii) the family  $\rho(q)$  maps V into W;
- iii) the subspace W is  $\rho(\mathbf{g})$ -invariant.

A LCR-representation  $\rho$  is said to be trivial, whenever  $\rho(\mathbf{q})$  vanishes.

A LCR-representation intertwines the conjugation of  $\mathbf{g}$  and the one of  $\mathbf{gl}(V)$ :  $\rho(\overline{x}) = \overline{\rho(x)}$ ,  $\forall x \in \mathbf{g}$ . Moreover the family  $\rho(\overline{\mathbf{q}})$  sends V into  $\overline{W}$  This implies that  $\rho$  sends  $\mathbf{q}$  in another LCR-structure,

Proposition 3.2.2 The subalgebra  $\rho(\mathbf{q})$  is a LCR-structure on  $\rho(\mathbf{g}_0)$ . Furthermore, it is a Levi-flat CR-structure on  $\mathbf{gl}(V_0)$ .

*Proof:* since  $\rho$  is a representation,  $\rho(\mathbf{q})$  is an ideal of  $\rho(\mathbf{g})$ . Moreover  $\overline{\rho(\mathbf{q})} = \rho(\overline{\mathbf{q}})$ . In fact, if we take  $\varphi$  in  $\rho(\mathbf{q}) \cap \rho(\overline{\mathbf{q}})$ , its range is included in  $W \cap \overline{W}$ . Then  $\varphi$  vanishes.

A simple computation shows that ad is a LCR-representation.

Definition 3.2.3 A LCR-representation  $\rho$  is said to be CR-nilpotent if and only if, for any  $x \in \mathbf{g}$ , exists k such that  $\rho(x)^k V \cap W = \{0\}$ . A LCR-algebra  $\mathbf{g}$  is said CR-nilpotent, when ad is a CR-nilpotent LCR-representation.

The second part of the definition has the following converse.

Proposition 3.2.4 Let  $\rho$  be a CR-nilpotent LCR-representation, then  $\rho(\mathbf{g})$  is CR-nilpotent.

Proof: take x in g. Since  $\rho(x)$  sends W into W, the map  $\rho(x)|_W$  is nilpotent, as well as  $\rho(Q)$  is nilpotent, for all Q in  $\mathbf{q}$ . So,  $ad_{\rho(x)|_W}:$   $\mathbf{gl}(W) \to \mathbf{gl}(W)$  is a nilpotent map: i.e.  $ad_{\rho(x)|_W}^k = 0$ . If x and y are elements of  $\mathbf{g}$  such that  $ad_{\rho(x)}^k \rho(y) \in \rho(\mathbf{q})$ , for a suitable k, then  $ad_{\rho(x)}^k \rho(y)$  maps V into W. Thus  $ad_{\rho(x)}^{k+h} \rho(y)$  vanishes.

Lemma 3.2.5 Let g be a CR-nilpotent LCR-algebra. Then there exists a CR-ideal of codimension one.

*Proof:* consider the set  $S = \{\mathbf{h} \subseteq \mathbf{g} : [\mathbf{h}, \mathbf{h}] \subseteq \mathbf{h}, 0 < \dim \mathbf{h} < \dim \mathbf{g}, \tau \mathbf{h} = \mathbf{h}, \mathbf{h} \cap \mathbf{q} \neq \{0\}\}$ . S is not empty. In fact, if  $x \in \mathbf{p} = Re\mathbf{q}$ ,  $\mathbf{h}(x) = SA(x, Jx)$  verifies the following relations

- a)  $h(x) \subseteq q \oplus \overline{q} \subseteq g$ ;
- b)  $h(x) \cap q \supseteq C(x iJx)$ ;
- c)  $h(x) = \tau h(x)$ .

Take an element h in S of maximal dimension. Then h is CR-nilpotent. Consider the linear space  $U=\mathbf{g}/\mathbf{h}$ , with the subspace  $T=\mathbf{q}/\mathbf{h}\cap\mathbf{q}$ , then the following decomposition is given  $U=T\oplus\overline{T}\oplus U_1$ . Let  $\pi:\mathbf{g}\to U$  denote the canonical projection. Finally, remark that when x is an element of  $\mathbf{h}$ ,  $ad_x$  induces an endomorphism  $\alpha(x)$  of U. The map  $\alpha:\mathbf{h}\to\mathbf{gl}(U)$  is a CR-nilpotent LCR-representation of  $\mathbf{h}$ : take  $x,y\in\mathbf{g}$ , then  $\alpha(x)^k(y+\mathbf{h})=ad_x^ky+\mathbf{h}$ . Such an element is in T if and only if  $ad_x^ky$  is in  $\mathbf{q}$ . Since  $\mathbf{h}$  is CR-nilpotent, this fact implies that  $\alpha(x)^kU\cap T=\{0\}$ . The corresponding restricted representation  $\tilde{\alpha}:\mathbf{h}\to\mathbf{gl}(T)$  is nilpotent. Take now an element  $t\in T/\{0\}$  such that  $\alpha(\mathbf{h})t=0$ . The condition is equivalent to the choice of an element

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 $Q \in \mathbf{q}/\mathbf{q} \cap \mathbf{h}$  such that  $ad_Q \mathbf{h} \subseteq \mathbf{h}$ . Thus Q is in  $\mathbf{n}(\mathbf{h})/\mathbf{h}$ , and  $\dim \mathbf{n}(\mathbf{h}) > \dim \mathbf{h}$ . Since  $\mathbf{n}(\mathbf{h})$  is in S, it coincides with  $\mathbf{g}$  and  $\mathbf{h}$  is a CR-ideal. For any  $y \in \mathbf{n}(\mathbf{h})/\mathbf{h}$ ,  $\mathbf{h}_y \doteq \mathbf{h} \oplus \mathbf{C}(y + \overline{y})$  is an element of S different of  $\mathbf{h}$ . So,  $\mathbf{h}_y$  coincides with  $\mathbf{g}$  and  $\mathbf{h}$  has codimension one.

Theorem 3.2.6 Given a CR-nilpotent LCR-representation  $\rho$ , the set  $V' \doteq \{v \in V : \rho(g)v \cap W = \{0\}\}$  is not vanishing.

Proof: consider the representation  $\tilde{\rho}: \mathbf{g} \to \mathbf{gl}(W): x \mapsto \rho(x)|_{W}$ . Since  $\rho$  is CR-nilpotent,  $\tilde{\rho}$  is nilpotent. Hence the set  $\{v \in V: \rho(\mathbf{g})v = 0\}$  is nonvanishing. Finally, it is contained in V'.

Proposition 3.2.7 Let T be a  $\tau$ -stable  $\rho$ -invariant linear subspace of V. Define  $\tilde{V} = V/T$  and  $\tilde{\rho} : g \to gl(\tilde{V}) : x \mapsto \widetilde{\rho(x)}, \ \widetilde{\rho(x)}[v] = [\rho(x)v]$ . Then  $\tilde{\rho}$  is a CR-nilpotent LCR-representation.

*Proof:* First of all, remark that  $\tilde{\tau}\tilde{v} = \tilde{\tau}(v+T) = \overline{v} + T = \tilde{\tau v}$ . Moreover, if  $x \in g_0$ ,

$$\widetilde{\rho(x)}\widetilde{\tau} = \widetilde{\rho(x)}\tau = \widetilde{\tau}\widetilde{\rho(x)} = \widetilde{\tau}\widetilde{\rho(x)}.$$

Take, now,  $Q \in \mathbf{q}$ , then  $\widetilde{\rho(Q)}\widetilde{v} = \widetilde{\rho(Q)}v \in \widetilde{W}$  and  $\widetilde{\rho(Q)}\widetilde{V} \subseteq \widetilde{W}$ . Obviously,  $\widetilde{\rho(x)}\widetilde{W} \subseteq \widetilde{W}$ ,  $\forall x \in \mathbf{g}$ . Finally, suppose that  $\widetilde{\rho(x)^k}v \in \widetilde{W}$ . then  $\rho(x)^k v \in W$ , which is false.

Let  $\rho$  be a LCR-representation CR-nilpotent of g on V. Consider a subspace  $V_1 \subseteq V$  such that

- 1.  $\tau V_1 = V_1$
- 2.  $\rho(\mathbf{g})V_1 \subseteq V_1$

Such a  $V_1$  exists. In fact,  $\forall w$  such that  $\rho(\mathbf{g})w = 0$ ,  $W_1 = \mathbf{C}(w + \overline{w}) = \overline{W_1}$  and  $\rho(\mathbf{g})W_1 = 0$ .

Then define the subspaces  $V_i = \{v : \rho(\mathbf{g})v \subseteq V_{i-1}\}$ 

Corollary 3.2.8 The representation  $\rho_i : g \to gl(V) : x \mapsto \rho(\widetilde{x)}|_{V_{i+1}}$  is a CR-nilpotent LCR-representation.

Proposition 3.2.9 Take the subspaces  $V_i$  defined as above. Then there exists an integer s, such that  $V_1 \subseteq V_2 \subseteq \ldots \subseteq V_s = V$ . For each  $i \leq s$ ,  $\tau V_i = V_i$  and  $V_i$  is invariant under  $\rho(\mathbf{g})$ .

Proof: let us prove by induction that  $V_i \subseteq V_{i+1}$ . Since  $\rho(\mathbf{g})V_1 \subseteq V_1$ , then  $V_1 \subseteq V_2$ . Now, by induction hypothesis, let  $V_i \subseteq V_{i+1}$  and take  $v \in V_{i+1}$ , so  $\rho(\mathbf{g})v \subseteq V_i \subseteq V_{i+1}$ , and hence  $v \in V_{i+2}$ . This fact implies that  $\rho(\mathbf{g})V_i \subseteq V_i$ . Then, we prove that  $\tau V_i = V_i$ . In fact  $\tau V_1 = V_1$ ; suppose  $\tau V_i = V_i$  and take v in  $V_{i+1}$ , then  $\rho(x)\tau v = \tau \rho(\overline{x})v \in \tau V_i = V_i$ . By Corollary 3.2.8, there exists an element  $\tilde{v} \in V_{i+1}/V_i$  such that

- 1.  $\tilde{v} \neq 0$
- $2. \ \tilde{\rho}(\mathbf{g})\tilde{v} \cap \widetilde{W} = \{0\},\$

where  $\widetilde{W} = W \cap V_{i+1}/W \cap V_i$ . Hence, there exists  $v \in V$  which does not stay in  $V_i$  and such that  $\rho(g)v \cap W \cap V_{i+1} \subseteq V_i$ . Then  $\rho(g)v \cap W \subseteq V_i$  and  $v \in V_{i+1}$ . So dim  $V_i < \dim V_{i+1}$  and there exists an integer s such that  $V_s = V$ .

If g is CR-nilpotent, then ad is a CR-nilpotent LCR-representation. Let us consider a  $\tau$ -stable ideal  $\mathbf{g}_1 \subseteq \mathbf{g}$  which does not intersect  $\mathbf{q}$  and take the corresponding family of subspaces  $\mathbf{g}_i = \{x : [x, \mathbf{g}] \subseteq \mathbf{g}_{i-1}\}.$  LCR-algebras 59

Then, each  $\mathbf{g}_i$  is a  $\tau$ -stable ideal of  $\mathbf{g}$ ; there exists an integer s such that  $\mathbf{g}_s = \mathbf{g}$ ; and  $\mathbf{g}_i$  is strictly contained in  $\mathbf{g}_{i+1}$ . Moreover, for a suitable j,  $\mathbf{g}_j$  is a LCR-ideal.

At this point, we have all the elements to give a characterisation of CR-nilpotent LCR-algebras in the terms of its central series.

**Theorem 3.2.10** The LCR-algebra  $\mathbf{g}$  is CR-nilpotent if and only if there exists p such that  $C^p\mathbf{g} \cap \mathbf{q} = \{0\}$ .

*Proof:* suppose  $C^p \mathbf{g} \cap \mathbf{q} = \{0\}$ . Since  $ad_x^p$  has range in  $C^p \mathbf{g}$ , the intersection  $ad_x^p \mathbf{g} \cap \mathbf{q}$  vanishes, for all x in  $\mathbf{g}$ . Vice versa, consider the above family  $\mathbf{g}_i$ . It results that  $C^i \mathbf{g} \subseteq \mathbf{g}_{s-i}$ , so  $C^{s-1} \mathbf{g} \cap \mathbf{q} = \{0\}$ .

Corollary 3.2.11 Let g be a n-dimensional CR-nilpotent LCR-algebra, and q have codimension k. Then there exist some ideals  $\mathbf{h}_i$  of g such that

- 1) dim  $\mathbf{h}_i = n i$ ;
- 2)  $h_0 = g \supseteq h_1 \supseteq ... \supseteq h_m = \{0\};$
- 3)  $[g, h_i] \subseteq h_{i+1}$ ;
- 4)  $h_i$  is a LCR-ideal, if  $i \leq k$ .

*Proof:* let  $g_1 \subseteq g_2 \subseteq ... \subseteq g_s = g$  be the elements of the above family. Take a pair of linear subspaces a and b such that  $g_i \subseteq b \subseteq a \subseteq g_{i+1}$ . Then, we have  $[g, a] \subseteq [g, g_{i+1}] \subseteq g_i \subseteq b \subseteq a$ , and we complete the family  $g_i$  with elements whose codimensions have step 1.

### 3.3 CR-solvable LCR-algebras.

A sub-LCR-algebra  $\mathbf{h}$  is said CR-solvable if there exists an integer l > 0 such that  $\mathcal{D}^l \mathbf{h} \cap \mathbf{q} = \{0\}$  and  $\mathcal{D}^{l-1} \mathbf{h} \cap \mathbf{q} \neq \{0\}$ . Thus the LCR-structure  $\mathbf{h} \cap \mathbf{q}$  on  $\mathbf{h}_0$  is solvable. Moreover, if  $\mathbf{h}$  is a solvable sub-LCR-algebra, it is trivially CR-solvable. Thanks to Theorem 3.2.10 a CR-nilpotent LCR-algebra is CR-solvable.

Proposition 3.3.1 The LCR-algebra g is CR-solvable if and only if there exists a family of LCR-ideals  $g_0 = g, g_1, \ldots, g_s$  such that

- 1.  $\mathbf{g}_s \cap \mathbf{q} = \{0\}$
- $2. \mathbf{g}_{i+1} \subseteq \mathbf{g}_i$
- 3.  $g_i/g_{i+1}$  is CR-abelian.

*Proof:* let g be CR-solvable, then the family  $\mathcal{D}^i g$  is as above. Vice versa, let  $\{g_i\}_{i\in I}$  be a family of LCR-ideals which satisfy the three conditions. Since  $g_i/g_{i+1}$  is CR-abelian, then  $\mathcal{D}^j g \cap q \subseteq g_j \cap q$ ; and g is CR-solvable.

Theorem 3.3.2 Let  $\mathbf{g}$  be a CR-solvable LCR-algebra and  $\mathbf{r}$  be its radical. Then  $\mathbf{q}$  is a LCR-structure of  $\mathbf{r}$  and it is given the decomposition  $\mathbf{g} = \tilde{\mathbf{q}} \oplus \mathbf{r}_1 \oplus \mathbf{s}$ , where  $\tilde{\mathbf{q}}$  is the sum  $\mathbf{q} \odot \overline{\mathbf{q}}$ ,  $\mathbf{r} = \tilde{\mathbf{q}} \oplus \mathbf{r}_1$  is the decomposition induced by the LCR-structure  $\mathbf{q}$  on  $\mathbf{r}$  and  $\mathbf{s}$  is a Levi-subalgebra.

Proof: since g is CR-solvable, q is a solvable ideal. Hence,  $q \subseteq r$ .  $\blacksquare$  Moreover, we know, by Theorem 2.4.3, that a LCR-structure q on the radical r is a LCR-structure on the whole g if and only if there exists a Levi-subalgebra s, under which it is invariant. Thus, we give the

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Theorem 3.3.3 The LCR-structures with respect of which g is a CR-solvable LCR-algebra are all the LCR-structures on the solvable radical r which are invariant under a suitable Levi-subalgebra s.

Any subalgebra k of a CR-solvable LCR-algebra g satisfies the condition  $\mathcal{D}^l k \cap q = \{0\}$ . Of course, if it is a sub-LCR-algebra it is CR-solvable. A CR-quotient is CR-solvable, too.

Proposition 3.3.4 Let h be a CR-solvable LCR-ideal and g/h be CR-solvable, then g is CR-solvable.

Proof: since g/h is CR-solvable,  $q/h \cap q$  is solvable; similarly,  $h \cap q$  is solvable. Thus, q is solvable. Let us give the proof by induction on dim g. When g is bidimensional, it is solvable and it is CR-solvable with respect of its unique LCR-structure. Now, suppose that the fact is true for all the LCR-algebras whose dimension is less than dim g. Since g/h is CR-solvable, g/h is different from  $\mathcal{D}(g/h)$ . Thus  $g \neq \mathcal{D}g$ . Take a  $\tau$ -stable subspace of g k containing  $\mathcal{D}g$  such that codimk/h is 1. Then k + h is a LCR-ideal of codimension 1 of g. Moreover, h is a CR-solvable LCR-ideal of k + h such that k + h/h is a CR-solvable LCR-ideal of g/h. Thus, k + h is CR-solvable. Furthermore k + h contains  $\mathcal{D}g$ . Then, either  $\mathcal{D}g \cap q$  vanishes or  $\mathcal{D}g$  is a LCR-ideal. In any case g is CR-solvable.

Proposition 3.3.5 Let g be a CR-solvable LCR-algebra, then there exists a LCR-ideal h such that  $\dim(g/h) = 1$ 

Proof: if g is CR-abelian, any  $\tau$ -stable subspace containing  $\tilde{\mathbf{q}}$  is a LCR-ideal. Otherwise, any  $\tau$ -stable subspace containing  $\mathcal{D}\mathbf{g}$  is it. Such a subspace exists, since, if  $\mathbf{a} \supseteq \mathcal{D}\mathbf{g}$ , then  $\mathbf{a} + \overline{\mathbf{a}} \supseteq \mathcal{D}\mathbf{g}$ .

Proposition 3.3.6 Let g be a CR-solvable LCR-algebra and  $\rho$  an its LCR-representation on the linear space V (dim<sub>C</sub> V = N). Then, there exist some  $\lambda_i \in g^*$  and a basis  $\{v_1 \dots v_N\}$  for V such that, for any  $x \in g$ ,

$$\rho(x) = \begin{pmatrix} \lambda_1(x) & \dots & * \\ \vdots & \ddots & \vdots \\ 0 & \dots & \lambda_N(x) \end{pmatrix}.$$

In particular,  $\forall x \in \mathbf{g}, \rho(x)v_1 = \lambda_1(x)v_1$ .

The proof, by induction on dim g, is based on the following Lemmas 3.3.7 and 3.3.8. The basis of the induction is given by the case dim g = 2, for which g is solvable and the result is classical, [VA].

Lemma 3.3.7 In the above hypothesis, there exists a nonvanishing vector of V which is an eigenvector for any  $\rho(x)$ ,  $x \in g$ .

Proof: let  $\mathbf{h}$  be a LCR-ideal of  $\mathbf{g}$  with  $\dim(\mathbf{g/h}) = 1$ , and  $x_0$  be in  $\mathbf{g}$  such that  $x_0$  is not in  $\mathbf{h}$ . By induction hypothesis, consider a nonvanishing vector  $w \in V$  and a  $\lambda \in \mathbf{h}^*$  such that  $\rho(y)w = \lambda(y)w$ , for any  $y \in \mathbf{h}$ . Define  $w_s \doteq \rho(x_0)^s w$ . Let p be the greatest integer such that  $w, w_1, \ldots, w_s$  are linearly independents. Define  $W_{-1} = \{0\}$  and  $W_r = L(w, \ldots w_r)$ . Hence,  $w_q \in W_p$ , whenever  $q \geq p$ . Moreover,  $\rho(x_0)$  maps  $W_p$  in itself and  $W_r$  into  $W_{r+1}$ , where r < p.

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Lemma 3.3.8 Let  $r \leq p$  and  $y \in h$ , then  $\rho(y)w_r \equiv \lambda(y)w_r$ ,  $modW_{r-1}$ . Moreover,  $\rho(y)W_p \subseteq W_p, \forall y \in h$ .

Proof: when r = 0, we have  $\rho(y)w = \lambda(y)w$ . Let the thesis be true for r < p, then  $\rho(y)w_{r+1} = \rho(y)\rho(x_0)w_r = \rho(x_0)\rho(y)w_r + \rho([y, x_0])w_r$ . Then  $\rho([y, x_0]w_r)$  is in  $W_r$  and  $\rho(y)w_r = \lambda(y)w_r + w'_r$ . Thus,  $\rho(y)w_{r+1}$  coincides with  $\lambda(y)w_{r+1}$  modulo an element of  $W_r$ .

Let us return to the proof of Theorem 3.3.6: we have shown that  $\rho(y)$  and  $\rho(x_0)$  let  $W_p$  invariant, so  $tr(\rho([y,x_0])|_{W_p})$  is null. Otherwise.  $\forall z \in h$ ,  $tr(\rho(z)|_{W_p}) = (1+p)\lambda(z)$ . Hence,  $\lambda([y,x_0]) = 0$ ; so, by induction,  $\rho(y)w_r = \lambda(y)w_r$ , with y in h. Take, now, an eigenvector  $v_1$  of  $\rho(x_0)$  in  $W_p$ :  $\rho(x_0)v_1 = cv_1$ . Define  $\lambda_1$  as  $\lambda$  on h and as c in  $x_0$ . Obviously,  $\lambda_1$  stays in  $g^*$  and  $\rho(x)v_1 = \lambda_1(x)v_1$ ,  $\forall x \in g$ . Considering the LCR-representation  $\rho_1$  induced by  $\rho$ ,  $\rho_1$ :  $g \to gl(V/Cv_1)$ , and using the induction on dim V, we obtain the desired basis  $\{v_j\}$ .

**Proposition 3.3.9** Let g be a CR-solvable LCR-algebra, then there exists a family of sub-LCR-algebras  $g_1 = g, g_2, ..., g_{n+1} = \{0\}$ , (n = dimg), such that  $g_{i+1}$  is a 1-codimensional LCR-ideal of  $g_i$ .

Proof: let us construct the LCR-ideal  $\mathbf{g}_2$ . In the case that  $\mathcal{D}\mathbf{g}$  is a LCR-ideal, a  $\tau$ -stable hyperplane V containing  $\mathcal{D}^1\mathbf{g}$  may be chosen as  $\mathbf{g}_2$ . When  $\mathcal{D}\mathbf{g}$  is not a LCR-ideal,  $\mathbf{g}$  is CR-abelian. Since  $\dim \mathcal{D}\mathbf{g} < n-1$  (otherwise, it would be  $\dim \tilde{\mathbf{q}} = 1$ ), then as  $\mathbf{g}_2$  take a  $\tau$ -stable hyperplane which contains  $\mathcal{D}^1\mathbf{g}$  and which intersects  $\mathbf{q}$ . Finally, by induction, we construct the family required.

Proposition 3.3.10 Let g be a CR-solvable LCR-algebra and  $\rho$  an its LCR-representation on a finite-dimensional space V. Then the set  $\mathbf{a} = \{x \in \mathbf{g} : \rho(x) \text{ is } CR\text{-nilpotent}\}$  is a LCR-ideal containing  $\mathcal{D}\mathbf{g}$ .

Proof: consider the sets  $\mathbf{b} = \{x \in \mathbf{g} : \rho(x) \text{ is nilpotent}\}\$ and  $\mathbf{c}(\mathbf{q}) = \{x \in \mathbf{q} : \rho(x) \text{ is nilpotent}\}.$ 

Then  $a\supseteq b\supseteq c(q);$  and  $a\cap q=c(q)\supseteq \mathcal{D} q\neq \{0\}.$  Obviously,  $\overline{c(q)}=c(\overline{q}) \text{ and } \overline{a\cap q}=a\cap \overline{q}.$ 

Since  $\rho(x)v_j = \lambda_j(x)v_j mod \oplus_{i < j} \mathbf{C}v_i$ , the element x stays in  $\mathbf{b}$  if and only if  $\lambda_i(x) = 0$ , for any i. Hence,  $\mathcal{D}\mathbf{g} \subseteq \mathbf{b} \subseteq \mathbf{a}$ , and  $\mathbf{a}$  is an ideal containing  $\mathcal{D}\mathbf{g}$ . So it is a LCR-ideal.

**Theorem 3.3.11** The CR-algebra g is CR-solvable if and only if  $\mathcal{D}g$  is CR-nilpotent.

*Proof:* suppose  $\mathcal{D}g$  is CR-nilpotent, then  $\mathcal{D}g$  and  $g/\mathcal{D}g$  are CR-solvable. Hence g itself is CR-solvable. Vice versa, let g be CR-solvable, then  $\mathcal{D}g$  is contained in the LCR-ideal a, defined in the above Theorem. Thus,  $\mathcal{D}g$  is CR-nilpotent.

### 3.4 The CR-radical.

Take two CR-solvable LCR-ideals h and k. Then, the sum h + k is a LCR-ideal and  $h + k/h \simeq k/h \cap k$  is CR-solvable. Hence h + k is CR-solvable. So, there exists a unique CR-solvable LCR-ideal  $r^* = r^*(g)$  which contains all the CR-solvable LCR-ideals;  $r^*$  is said the CR-radical of g.

Proposition 3.4.1 The LCR-algebra g is CR-solvable if and only if g coincides with r\*.

Definition 3.4.2 A LCR-algebra g is said CR-semisimple if r\* vanishes.

Since  $\mathbf{q}$  is an ideal, we know that its radical  $\mathbf{r}(\mathbf{q})$  is given by the intersection of  $\mathbf{r}(\mathbf{g})$  with  $\mathbf{q}$ , itself. Furthermore, when  $\mathbf{q}$  is a LCR-structure, we have the

**Lemma 3.4.3** The radical  $\mathbf{r}(\mathbf{q})$  is given by the intersection of  $\mathbf{q}$  with the CR-radical  $\mathbf{r}^*(\mathbf{g})$ .

Proof: the intersection  $\mathbf{r}^*(\mathbf{g}) \cap \mathbf{q}$  is a solvable ideal of  $\mathbf{q}$ , so  $\mathbf{r}^*(\mathbf{g}) \cap \mathbf{q} \subseteq \mathbf{r}(\mathbf{q}) = \mathbf{r}(\mathbf{g}) \cap \mathbf{q}$ . When  $\mathbf{r}(\mathbf{q})$  vanishes,  $\mathbf{r}^*(\mathbf{g}) \cap \mathbf{q}$  vanishes, too. While, when  $\mathbf{r}(\mathbf{q})$  is not zero,  $\mathbf{r}(\mathbf{g})$  is a CR-solvable LCR-ideal. Hence,  $\mathbf{r}(\mathbf{g}) \subseteq \mathbf{r}^*(\mathbf{g})$  and the intersections with  $\mathbf{q}$  coincide.  $\blacksquare$ 

Lemma 3.4.4 The intersection  $\mathbf{r}^* \cap \tilde{\mathbf{q}}$  coincides with  $\mathbf{r}(\tilde{\mathbf{q}})$ . Moreover.  $\mathbf{r}(\tilde{\mathbf{q}})$  is a LCR-ideal.

Proof: since  $\mathbf{r}^* \cap \mathbf{q}$  is solvable,  $\mathbf{r}^* \cap \tilde{\mathbf{q}}$  is solvable, so  $\mathbf{r}^* \cap \tilde{\mathbf{q}} \subseteq \mathbf{r}(\tilde{\mathbf{q}}) = \mathbf{r}(\mathbf{g}) \cap \tilde{\mathbf{q}}$ . Furthermore,  $\mathbf{r}(\tilde{\mathbf{q}}) \cap \mathbf{q}$  does not vanish and  $\mathbf{r}(\tilde{\mathbf{q}})$  is a solvable LCR-ideal. Finally,  $\mathbf{r}(\tilde{\mathbf{q}}) \subseteq \mathbf{r}^*$ . By the above computation,  $\mathbf{r}(\tilde{\mathbf{q}})$  is a  $\tau$ -stable ideal of  $\mathbf{g}$ . Otherwise,  $\mathbf{r}(\tilde{\mathbf{q}}) \cap \mathbf{q} = \mathbf{r}^* \cap \mathbf{q}$  which does not vanish, by definition. So,  $\mathbf{r}(\tilde{\mathbf{q}})$  is a LCR-ideal.

Lemma 3.4.5 When the CR-radical r\*is included in the radical r, they coincide.

Theorem 3.4.6 The LCR-algebra g is CR-semisimple if and only if q is semisimple.

Proof: the radical of q vanishes if and only if the CR-radical of g does. ■

When the ideal  $\mathbf{q}$  is semisimple, the direct sum  $\tilde{\mathbf{q}} = \mathbf{q} \odot \overline{\mathbf{q}}$  is semisimple, too. The vice versa is also true. Hence, the LCR-algebra  $\mathbf{g}$  is CR-semisimple if and only if  $\tilde{\mathbf{q}}$  is semisimple.

Now, we have all the elements to give a result analogous of Theorem 3.3.2. The LCR-structure of a CR-semisimple LCR-algebra may be seen as the LCR-structure of a semisimple subalgebra, as well as, in that case, the LCR-structure of a CR-solvable LCR-algebra was seen as a LCR-structure of the solvable radical.

**Proposition 3.4.7** Let g be a CR-semisimple LCR-algebra. Then, there exists a Levi-subalgebra s which admits q as LCR-structure and it is given the decomposition  $g = r \oplus \tilde{q} \oplus \tilde{q}^{\perp s}$ , where  $\tilde{q}^{\perp s}$  is the orthogonal of  $\tilde{q}$  with respect to the Killing form of s.

Vice versa, by Theorem 2.4.3, a LCR-structure  $\mathbf{q}$  of a Levi subalgebra  $\mathbf{s}$  is a LCR-structure on the whole  $\mathbf{g}$  if  $[\mathbf{q}, \mathbf{r}]$  vanishes.

Theorem 3.4.8 The semisimple LCR-structures  $\mathbf{q}$  are the LCR-structures of a Levi subalgebra  $\mathbf{s}$  which are Levi-flat CR-structures of the centralizer of  $\mathbf{r}$ ,  $c(\mathbf{r})$ .

Proposition 3.4.9 The CR-radical  $\mathbf{r}^*$  is invariant under all the CR-derivations; the CR-quotient  $\mathbf{g}/\mathbf{r}^*$  is CR-semisimple.

*Proof:* a CR-derivation D is an element of  $Der(\mathbf{g}; \mathbf{q})$ , hence exp(tD) is a CR-automorphism and  $exp(tD)\mathbf{r}^* = \mathbf{r}^*$ , so  $D\mathbf{r}^* \subseteq \mathbf{r}^*$ .

The projection  $\pi: \mathbf{g} \to \mathbf{g}/\mathbf{r}^*$  is a CR-epimorphism. Take a CR-solvable LCR-ideal  $\mathbf{h} \subseteq \mathbf{g}/\mathbf{r}^*$ . Then  $\pi^{-1}(\mathbf{h})$  is a CR-solvable LCR-ideal. So  $\mathbf{r}^* \subseteq \pi^{-1}(\mathbf{h}) \subseteq \mathbf{r}^*$ , and  $\mathbf{h} = \{0\}$ , which means that the CR-radical  $\mathbf{r}^*(\mathbf{g}/\mathbf{r}^*(\mathbf{g}))$  vanishes.

Proposition 3.4.10 Let h be a LCR-ideal. Then  $\mathbf{r}^*(\mathbf{h}) = \mathbf{r}^*(\mathbf{g}) \cap \mathbf{h}$ .

*Proof:* let us consider  $[\mathbf{r}^*(\mathbf{h}), \mathbf{g}]$ . We may easily compute that it is a CR-solvable LCR-ideal of  $\mathbf{h}$ . So  $[\mathbf{r}^*(\mathbf{h}), \mathbf{g}]$  is included in  $\mathbf{r}^*(\mathbf{h})$  and  $\mathbf{r}^*(\mathbf{h})$  is a CR-solvable LCR-ideal of  $\mathbf{g}$ . Hence  $\mathbf{r}^*(\mathbf{h})$  is contained in  $\mathbf{r}^*(\mathbf{g})$  and  $\mathbf{r}^*(\mathbf{h}) \subseteq \mathbf{r}^*(\mathbf{g}) \cap \mathbf{h} \subseteq \mathbf{r}^*(\mathbf{h})$ .

Theorem 3.4.11 Let g be a CR-semisimple LCR-algebra, then any LCR-ideal is CR-semisimple. Vice versa, if there exists a LCR-ideal h containing q which, as LCR-algebra, is CR-semisimple, then g is CR-semisimple.

*Proof:* when  $\mathbf{r}^*(\mathbf{g})$  vanishes, by the above Proposition,  $\mathbf{r}^*(\mathbf{h})$  vanishes, too. Consider, now,  $\mathbf{h}$  such that  $\mathbf{q} \subseteq \mathbf{h} \subseteq \mathbf{g}$  and  $\mathbf{h}$  be CR-semisimple. Then  $\mathbf{q}$  is semisimple and  $\mathbf{g}$  is CR-semisimple.

Let  $S^*$  be the set of the LCR-ideals  $\mathbf{n}$  such that  $\rho(x)$  is CR-nilpotent,  $\forall x \in \mathbf{n}$ . In particular, when  $\mathbf{n}$  is in  $S^*$ ,  $\mathbf{n}$  is an ideal such that  $\rho(x)|_W$  is nilpotent.

Take the representation  $\rho_W: \mathbf{g} \to \mathbf{gl}(W): x \mapsto \rho(x)|_W$  with the associated set  $S_W$  of the ideals  $\mathbf{n}$  such that  $\rho_W(x)$  is nilpotent. Then  $S^* \subseteq S_W$  and, by the existence of the nilradical, there exists an elemente  $\mathbf{n}_W \in S_W$  which contains all the elements of  $S_W$ . In particular  $\mathbf{n}_W$  contains all the elements of  $S^*$ . Thus  $\mathbf{n}_W \cap \mathbf{q}$  does not vanish and  $\mathbf{n}_W = \overline{\mathbf{n}_W}$ . So,  $\mathbf{n}_W$  is a LCR-ideal and it is in  $S^*$ . Such a result is exposed in the

**Proposition 3.4.12** Given a LCR-algebra g and an its finite-dimensional LCR-representation  $\rho$ , there exists a unique element  $\mathbf{n}^* \in S^*$  which contains all the elements of  $S^*$ .

Definition 3.4.13 A CR-nilideal m of g is a LCR-ideal such that  $ad_x$  is CR-nilpotent,  $\forall x \in m$ . There exists a unique CR-nilideal  $n^*$  which contains all the CR-nilideal. It is said the CR-nilradical of g.

It is not difficult to show that  $n^*$  is contained in  $r^*$ ; finally any CR-isomorphism of  $r^*$  let  $n^*$  invariant.

Proposition 3.4.14 Let h be a LCR-ideal, then  $n^*(h)$  is a LCR-ideal and coincides with  $n^*(g) \cap h$ .

The CR-nilradical of  ${\bf g}$  and the one of  ${\bf r}^*({\bf g})$  coincide. Moreover, we have the

Proposition 3.4.15 The following equivalences are true:

1. 
$$n^*(g) = n^*(r^*(g));$$

2. 
$$\mathbf{n}^*(\mathbf{g}) = \{x \in \mathbf{r}^* : ad_x \text{ is } CR\text{-nilpotent}\}.$$

Proof: since  $\mathbf{n}^*(\mathbf{g}) \subseteq \mathbf{r}^*(\mathbf{g})$ , then  $\mathbf{n}^*(\mathbf{g}) \subseteq \mathbf{n}^*(\mathbf{r}^*(\mathbf{g}))$ ; while  $\mathbf{n}^*(\mathbf{r}^*(\mathbf{g}))$  is included in  $\mathbf{n}^*(\mathbf{g})$  by definition. The second part of the proof is a consequence of Theorem 3.3.10.

Corollary 3.4.16 If g is a CR-solvable LCR-algebra, the CR-nilradical  $\mathbf{n}^*(\mathbf{g})$  is the set of all the elements x such that  $ad_x$  is CR-nilpotent. Moreover,  $\mathcal{D}\mathbf{g}$  is contained in  $\mathbf{n}^*(\mathbf{g})$ .

Proposition 3.4.17 Any CR-derivation of g maps  $\mathbf{r}^*$  into  $\mathbf{n}^*$ . Hence  $[\mathbf{r}^*, \mathbf{g}] \subseteq \mathbf{n}^*$ .

Proof: let  $A \in Der^*(\mathbf{g})$  and  $\mathbf{g}' = \mathbf{g} \oplus \mathbf{C}$ . Define  $[(x,c),(x',c')]_A = ([x,x']+c'Ax-cAx',0)$ . Then  $(g',[,]_A)$  is a Lie-algebra; the ideal  $\mathbf{q} \oplus \{0\}$  is a LCR-structure of  $\mathbf{g}'$ ; and  $\mathbf{r}' = \mathbf{r}^* \oplus \mathbf{C}$  is a CR-solvable LCR-ideal. Moreover,  $\mathbf{n}'$  is a LCR-ideal of  $\mathbf{r}'$ . Hence  $\mathcal{D}\mathbf{r}' \subseteq \mathbf{n}'$  and  $\mathcal{D}\mathbf{r}' \cap (\mathbf{r}^* \oplus \{0\}) \subseteq \mathbf{n}' \cap (\mathbf{r}^* \oplus \{0\}) = \mathbf{n}^* \oplus \{0\}$ . Of course,  $\mathbf{r}^* \oplus \{0\}$  is an ideal of  $\mathbf{g}'$  and so.  $\forall x \in \mathbf{r}^*, (Ax,0) = [(x,0),(0,1)] \in \mathcal{D}\mathbf{r}' \cap (\mathbf{r}^* \oplus \{0\}) \subseteq \mathbf{n}^* \oplus \{0\}$ ; which means that  $A\mathbf{r}^* \subseteq \mathbf{n}^*$ . ■

## 3.5 Cartan's criteria.

Given a LCR-structure  $\mathbf{q}$ , an associated representation on  $\tilde{\mathbf{q}}$  is introduced, In fact, since  $\tilde{\mathbf{q}}$  is an ideal,  $ad_x$  maps  $\tilde{\mathbf{q}}$  in  $\tilde{\mathbf{q}}$ , for all x in  $\mathbf{g}$ . Thus, we define the representation  $\psi: \mathbf{g} \to \mathbf{gl}(\tilde{\mathbf{q}})$  as  $\psi(x) \doteq ad_x|_{\tilde{\mathbf{q}}}$ .

Hence, there exists a unique maximal ideal  $\mathbf{n}_{\psi}$  such that  $\psi(x)$  is nilpotent,  $\forall x \in \mathbf{n}_{\psi}$ , [VA]. Thanks to Theorem 3.4.12,  $\mathbf{n}^*$  coincides with  $\mathbf{n}_{\psi}$ .

Now, let us consider the symmetric bilinear form

$$B^{\psi}(x,y) = tr(\psi(x), \psi(y)),$$

with the associated ideal

$$g^{\perp_{\psi}} = \{ x \in g : B^{\psi}(x, y) = 0, \forall y \in g \}.$$

By a classical result,  $[g^{\perp_{v}}, g] \subseteq n_{\psi}$ . Then, we have the

Lemma 3.5.1 The CR-nilradical  $n_{\psi}$  is included in  $g^{\perp_{\psi}}$ .

Proof: take x in  $\mathbf{n}_{\psi}$ . Then  $\psi(x)$  is nilpotent, so  $tr(\psi(x)D) = 0$ , where D is a derivation of  $\tilde{\mathbf{q}}$ . In particular,  $tr(\psi(x)\psi(y)) = 0$ , for all  $y \in \mathbf{g}$ .

**Lemma 3.5.2** When Q is an element of  $\tilde{\mathbf{q}}$ , the numbers  $B^{\psi}(x,Q)$  and B(x,Q) coincide, for all x in  $\mathbf{g}$ .

*Proof:* first of all, remark that the map  $ad_x \circ ad_Q$  sends g into  $\tilde{q}$ . Thus, we compute

$$B(x,Q) = tr(ad_x \circ ad_Q) = tr(ad_x \circ ad_Q)|_{\tilde{\mathbf{q}}} =$$

$$= tr(ad_x \circ ad_Q|_{\tilde{\mathbf{q}}}) = tr(ad_Q|_{\tilde{\mathbf{q}}} \circ ad_x) =$$

$$= tr(ad_Q|_{\tilde{\mathbf{q}}} \circ ad_x)|_{\tilde{\mathbf{q}}} = tr(ad_Q|_{\tilde{\mathbf{q}}} \circ ad_x|_{\tilde{\mathbf{q}}}) =$$

$$= B^{\psi}(x,Q). \quad \blacksquare$$

Now, we have all the elements to proof the Cartan's criteria.

**Theorem 3.5.3** The LCR-algebra g is CR-solvable if and only if the expression  $B^{\psi}(x, [y, z])$  vanishes identically.

*Proof:* suppose that **g** is CR-solvable. Then  $\mathcal{D}$ **g** is a subset of the CR-nilradical  $\mathbf{n}^*$ , which is contained in  $\mathbf{g}^{\perp_{\psi}}$ . So  $B^{\psi}(x,[y,z]) = 0, \forall x,y,z \in \mathbf{g}$ .

Vice versa consider the case in which  $B^{\psi}(x,[y,z])$  vanishes identically. Then,  $\mathcal{D}\mathbf{g}$  is contained in  $\mathbf{g}^{\perp_{\psi}}$  and  $\mathcal{C}\mathcal{D}\mathbf{g} = [\mathcal{D}\mathbf{g},\mathcal{D}\mathbf{g}] \subseteq [\mathbf{g}^{\perp},\mathbf{g}] \subseteq \mathbf{n}_{\psi} = \mathbf{n}^{*}$ . So  $\mathcal{C}\mathcal{D}\mathbf{g}$  is a CR-nil-ideal. Thus,  $\mathcal{D}\mathbf{g}$  is a CR-nil-ideal, and  $\mathbf{g}$  is CR-solvable.

Theorem 3.5.4 The LCR-algebra g is CR-semisimple if and only if  $B^{\psi}$  is nonsingular.

*Proof:* in an equivalent way, we shall show that  $\mathbf{r}^* \neq \{0\}$  if and only if  $\mathbf{g}^{\perp_{\psi}} \neq \{0\}$ .

Let  $\mathbf{r}^*$  do not vanish. When  $[\mathbf{r}^*, \mathbf{g}] \neq \{0\}$ , then  $\mathbf{g}^{\perp_{\psi}}$  does not vanish. In fact, it contains  $\mathbf{n}^*$  which contains  $[\mathbf{r}^*, \mathbf{g}]$ ; otherwise  $[\mathbf{r}^*, \mathbf{g}] = \{0\}$  means that  $\mathbf{r}^*$  is contained in the centre of  $\mathbf{g}$ ,  $\zeta(\mathbf{g})$ . In particular,  $\mathbf{r}^*$  coincides with  $\zeta(\mathbf{g})$  and then  $\mathbf{g}^{\perp_{\psi}} \supseteq \mathbf{n}^* = \mathbf{r}^* \neq \{0\}$ .

Vice versa, let  $\mathbf{r}^*$  be vanishing. So,  $\mathbf{r}(\mathbf{q})$  is null and  $\mathbf{Der}(\mathbf{q}) = ad_{\mathbf{q}}$ . A trivial consequence is that

$$\forall x \in \mathbf{g}, \exists ! Q_x \in \tilde{\mathbf{q}} : \psi(x) = \psi(Q_x).$$

Suppose, that x is in  $\mathbf{g}^{\perp_{\psi}}$ . Hence,  $Q_x$  is in  $\mathbf{g}^{\perp_{\psi}}$ , too; which means that  $\mathbf{g}^{\perp_{\psi}}$  is a LCR-ideal. If  $\mathbf{g}^{\perp_{\psi}}$  is not zero, it is a LCR-ideal on which

 $B^{\psi}$  vanishes identically. So  $\mathcal{D}\mathbf{g}^{\perp_{\psi}}$  is CR-nilpotent and  $\mathbf{g}^{\perp_{\psi}}$  is CR-solvable. Thus,  $\mathbf{r}^* \supseteq \mathbf{g}^{\perp_{\psi}}$ , that is a contradiction. So, if  $\mathbf{r}^*$  vanishes,  $\mathbf{g}^{\perp_{\psi}}$  vanishes.

**Proposition 3.5.5** If the only LCR-ideals of g are the trivial ones, (i.e., g,  $\tilde{q} = q \oplus \overline{q}$ , and  $\{0\}$ ), g is CR-semisimple.

Proof: first of all consider the case in which  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  is not a LCR-ideal, then  $\forall Q \in \mathbf{q}$ , there are  $Q_1, Q_2 \in \mathbf{q}$  such that  $B(Q, Q_1 + \overline{Q_2}) \neq 0$ , while  $B(Q, \overline{Q_2}) = 0$ , so  $B(Q, Q_1) \neq 0$  and  $\mathbf{q}^{\perp_{\mathbf{q}}} = \{0\}$ . This means that  $\mathbf{q}$  is semisimple and hence,  $\mathbf{g}$  is CR-semisimple.

In the case that  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  is a LCR-ideal,  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  is or  $\tilde{\mathbf{q}}$  either  $\mathbf{g}$ . In both the cases,  $B|_{\tilde{\mathbf{q}}}$  vanishes identically and  $\tilde{\mathbf{q}}$  is solvable. This implies that  $\tilde{\mathbf{q}} \neq \mathcal{D}\tilde{\mathbf{q}}$  and any  $\tau$ -stable linear subspace  $\mathbf{a}$  such that  $\tilde{\mathbf{q}} \supseteq \mathbf{a} \supseteq \mathcal{D}\tilde{\mathbf{q}}$  is a LCR-ideal. So  $\tilde{\mathbf{q}}$  should be one-dimensional, which false.

**Definition 3.5.6** A LCR-algebra **g** is said to be CR-maximal if all its nontrivial LCR-ideals are contained in  $\tilde{\mathbf{q}}$ . A LCR-algebra **g** is said to be CR-simple if all its nontrivial LCR-ideals contain  $\tilde{\mathbf{q}}$ .

Definition 3.5.7 A chain of LCR-ideals is a family  $\mathcal{H} = \{h_0 \subset h_1 \subset \ldots \subset h_p\}$  such that the first element  $h_1$  is not contained in  $\tilde{q}$ .

All the elements of a chain are endowed of a CR-structure of positive codimension. When the algebra is CR-semisimple, the element  $h_1$  is CR-maximal.

## 3.6 CR-semisimple LCR-algebras.

In this Section we discuss the main properties of CR-semisimple LCR-algebras. Since the form  $B^{\psi}$  is nonsingular, for any linear subspace  $\mathbf{a}$ , dim  $\mathbf{g} = \dim \mathbf{a} + \dim \mathbf{a}^{\perp_{\psi}}$ . This fact is useful in the study of the LCR-ideals of such LCR-algebras.

Lemma 3.6.1 Let g be a CR-semisimple LCR-algebra. If we consider a LCR-ideal h, we have the decompositions  $\mathbf{g} = \mathbf{h} \odot \mathbf{h}^{\perp_{\psi}} = (\mathbf{h} \cap \mathbf{q}) \odot (\mathbf{h} \cap \mathbf{q})^{\perp_{\psi}} =$ . Moreover, since  $B^{\psi}([x,y],z) = B^{\psi}(x,[y,z])$ ,  $\mathbf{h}^{\perp_{\psi}}$  is an ideal, whenever h is an ideal.

Lemma 3.6.2 A LCR-ideal h contains q if and only if  $h^{\perp_{\psi}}$  does not intersect q.

*Proof:* when  $\mathbf{q}$  is included into  $\mathbf{h}$ , then  $\mathbf{h}^{\perp_{\psi}}$  is contained in  $\mathbf{q}^{\perp_{\psi}}$  which does not intersect  $\mathbf{q}$ .

Vice versa, let  $\mathbf{h}^{\perp_{\psi}} \cap \mathbf{q}$  vanish. Consider  $K = Q + Q^{\psi}$  in  $\mathbf{h}^{\perp_{\psi}}$ : where Q is in  $\mathbf{q}$  and  $Q^{\psi}$  is in  $\mathbf{q}^{\perp_{\psi}}$ . For any  $H \in \mathbf{h}$ ,  $[K, H] = [Q, H] + [Q^{\psi}, H]$  vanishes, in fact  $\mathbf{h}$  and  $\mathbf{h}^{\perp_{\psi}}$  are disjoint ideals. Since  $[Q, H] \in \mathbf{q}$  and  $[Q^{\psi}, H] \in \mathbf{q}^{\perp_{\psi}}$ , then  $[Q, H] = [Q^{\psi}, H] = 0$ . In particular Q is in  $\mathbf{h}^{\perp_{\psi}}$ . Thus, Q vanishes. Hence,  $\mathbf{h}^{\perp_{\psi}} \subseteq \mathbf{q}^{\perp_{\psi}}$  and  $\mathbf{q} \subseteq \mathbf{h}$ .

Corollary 3.6.3 If h is a LCR-ideal, then or h contains q either  $h^{\perp_{\psi}}$  is a LCR-ideal.

**Theorem 3.6.4** Let g be a CR-semisimple LCR-algebra and h be a LCR-ideal. Then

- 1.  $\mathbf{h}^{\perp_{\psi}}$  is a  $\tau$ -stable ideal;
- 2. either h contains q or  $h^{\perp_{\psi}}$  is a LCR-ideal;
- $\beta. [h, h^{\perp_{\psi}}] = \{0\};$
- 4. h is CR-semisimple;
- 5. g/h is CR-semisimple, whenever h does not contain q.

*Proof:* for the first assert, take x in  $\mathbf{h}^{\perp_{\psi}}$ . Then  $B^{\psi}(\overline{x}, \mathbf{h}) = B^{\psi}(x, \mathbf{h})$  vanishes, and  $\overline{x} \in \mathbf{h}^{\perp_{\psi}}$ .

The second and the third points are given by the previous lemmas.

Let g be CR-semisimple, then q is semisimple and  $h \cap q$  is a nonzero semisimple ideal of h, which means that h is CR-semisimple. Furthermore,  $q/q \cap h$  is a semisimple LCR-structure of g/h. Thus g/h is CR-semisimple.

Corollary 3.6.5 Let g be a CR-semisimple LCR-algebra and h be an its LCR-ideal. If k is a LCR-ideal (resp. an ideal) of h, then k is a LCR-ideal (resp. an ideal) of g.

Corollary 3.6.6 If g is a CR-semisimple LCR-algebra, then g coincides with  $\mathcal{D}g \odot \zeta(g)$ .

Proof: take x in  $(\mathcal{D}g)^{\perp_{\psi}}$  and y, z in g. Then  $B^{\perp_{\psi}}([x, y], z) = B^{\perp_{\psi}}(x, [y, z]) = 0$ . Thus,  $(\mathcal{D}g)^{\perp_{\psi}}$  is contained in the centre  $\zeta(g)$ . Furthermore, take [x, y] in  $\mathcal{D}g$  and z in  $\zeta(g)$ , hence  $B^{\perp_{\psi}}([x, y], z) = B^{\perp_{\psi}}(x, [y, z]) = 0$ , and  $\mathcal{D}g$  is contained in  $\zeta(g)^{\perp_{\psi}}$ . Since  $\mathcal{D}g$  is a LCR-ideal, the thesis follows.

Theorem 3.6.7 Let g be a LCR-algebra and h a LCR-ideal such that g/h is CR-semisimple, then the CR-radical is contained in h. Let  $\varphi$ :  $g \to g_1$  be a CR-epimorphism, then  $\varphi r^* = r_1^*$ .

*Proof:* consider the canonical projection  $\pi: g \to g/h$  and let  $r^*$  be not a subset of h. Then  $\pi(r^*)$  would be a nonzero CR-solvable LCR-ideal, which is impossible.

Since  $g/r^*$  is CR-semisimple,  $g_1/\varphi(r^*)$  is CR-semisimple. So, by the previous remark,  $\varphi(r^*) \supseteq r_1^*$ . By the other hand,  $\varphi(r^*)$  is a CR-solvable LCR-ideal, so  $\varphi(r^*) \subseteq r_1^*$ .

Theorem 3.6.8 If g is a CR-semisimple LCR-algebra, then the Lie-algebra of its CR-derivations is given by  $\operatorname{Der}^*(g) = ad(g) \odot \operatorname{Der}\zeta(g)$ .

*Proof:* since  $[D, ad_Q] = ad_{DQ}$ ,  $ad(\mathbf{q})$  is an ideal of  $\mathbf{Der}^*(\mathbf{g})$ . Obviously,  $ad(\mathbf{q}) \cap \overline{ad(\mathbf{q})}$  vanishes. So,  $ad(\mathbf{q})$  is a LCR-structure of  $\mathbf{Der}^*(\mathbf{g})$ .

Moreover,  $ad(\mathbf{g})$  is CR-semisimple. In fact  $ad : \mathbf{g} \to ad(\mathbf{g})$  is a CR-epimorphism. Furthermore,  $\mathbf{Der}^*(\mathbf{g})$  is CR-semisimple, too. Hence,  $\mathbf{Der}^*(\mathbf{g})$  coincides with  $ad(\mathbf{g}) \odot (ad(\mathbf{g}))^{\perp_{\psi}}$ . Take, now, D in  $(ad(\mathbf{g}))^{\perp_{\psi}}$ . then  $ad_{DX} = 0$ , which means that  $D\mathbf{g} \subseteq \zeta \mathbf{g}$ . Let us define the subspaces

$$\mathcal{D}_1 \doteq \{D : D\mathbf{g} \subseteq \mathcal{D}\mathbf{g}\}$$

$$\mathcal{D}_2 \doteq \{D : D\mathbf{g} \subseteq \zeta(\mathbf{g})\}.$$

Since,  $ad(\mathbf{g})$  is in  $\mathcal{D}_1$  and  $(ad(\mathbf{g}))^{\perp_{\psi}}$  in  $\mathcal{D}_2$ , then  $\mathbf{Der}^*(\mathbf{g}) = \mathcal{D}_1 + \mathcal{D}_2$ . Moreover  $\mathcal{D}_1 \cap \mathcal{D}_2$  vanishes, so  $\mathcal{D}_1 = ad\mathbf{g}$  and  $\mathcal{D}_2 = (ad\mathbf{g})^{\perp_{\psi}}$ . Take now D in  $\mathcal{D}_2$ , then  $\mathcal{D}\mathbf{g} \subseteq \ker D$ . Thus, we identify  $\mathcal{D}_2$  with  $\mathbf{Der}(\zeta(\mathbf{g}))$ .

## 3.7 CR-maximal LCR-algebras.

In this Section, we study the *CR-maximal* LCR-algebras. We decompose a CR-semisimple LCR-algebra in factors, which are LCR-ideals, and consequently they are CR-semisimple (Theorem 3.7.4). Thus, we conclude with the classification of CR-maximal CR-semisimple LCR-algebras (Theorem 3.7.10).

Theorem 3.7.1 Let g be a CR-maximal LCR-algebra. Then there are the three following cases:

- 1. g admits a complex structure containing q;
- 2. q has codimension 1;
- 3. g is CR-semisimple.

Proof: remind that  $\mathbf{r}^*$  is a LCR-ideal, then if  $\mathbf{r}^*$  vanishes,  $\mathbf{g}$  is CR-semisimple. When  $\mathbf{r}^*$  coincides with  $\mathbf{g}$ , it must be  $\mathbf{g} \neq \mathcal{D}\mathbf{g}$ . When  $\mathcal{D}\mathbf{g}$  is not a LCR-ideal, let us consider the linear subspace  $\tilde{\mathbf{q}} + \mathcal{D}\mathbf{g}$ . If it is all  $\mathbf{g}$ , then  $\mathbf{h}_Q = \mathbf{C}Q + \mathbf{C}\overline{Q} + \mathcal{D}\mathbf{g}$  is a LCR-ideal, then  $\mathbf{h}_Q = \mathbf{g}$  and  $\mathbf{q} \cap \mathcal{D}\mathbf{g} \neq 0$ , which is a contradiction. Otherwise, when  $\tilde{\mathbf{q}} + \mathcal{D}\mathbf{g}$  is a proper subspace, it is a LCR-ideal and it must be  $\mathcal{D}\mathbf{g} \subseteq \tilde{\mathbf{q}}$ . So every  $\tau$ -stable linear subspace containing  $\tilde{\mathbf{q}}$  is a LCR-ideal. In this case, the codimension of  $\mathbf{q}$  or vanishes either is 1. Finally, if  $\mathcal{D}\mathbf{g}$  is a LCR-ideal,  $\tilde{\mathbf{q}} + \mathcal{D}\mathbf{g}$  is it and we argue as above.

Let  $\mathbf{r}^*$  be included in  $\tilde{\mathbf{q}}$ . Moreover,  $\mathbf{r}^*$  is the radical of  $\tilde{\mathbf{q}}$  and of  $\mathbf{g}$  itself. Let us consider the two following case:

1)  $\mathbf{r}^* \neq \tilde{\mathbf{q}}$ ; then there exists a Levi-subalgebra  $\mathbf{s}$  of  $\mathbf{g}$  such that  $\tilde{\mathbf{q}} = \mathbf{r}^* \oplus \tilde{\mathbf{q}} \cap \mathbf{s}$  is the Levi-Mal'cev decomposition of  $\tilde{\mathbf{q}}$ . Let us define  $\mathbf{k}$  as

 $(\tilde{\mathbf{q}} \cap \mathbf{s})^{\perp_{\psi}}$  and  $\mathbf{h} = \mathbf{r}^* \oplus (\mathbf{k} + \overline{\mathbf{k}})$  is a LCR-ideal, which is impossible. So  $\mathbf{q}$  is a complex structure.

2)  $\mathbf{r}^* = \tilde{\mathbf{q}}$ ; consider a Levi-subalgebra  $\mathbf{s}$  of  $\mathbf{g}$ . When  $\mathbf{h}$  is an ideal of  $\mathbf{s}$ .  $\mathbf{r}^* \oplus \mathbf{h} \oplus \overline{\mathbf{h}}$  is a LCR-ideal and hence  $\mathbf{g} = \mathbf{r}^* \oplus \mathbf{h} \oplus \overline{\mathbf{h}}$ . Finally,  $\mathbf{h} \oplus \mathbf{q}$  is a complex structure containing  $\mathbf{q}$ .

If **g** is a CR-semisimple LCR-algebra, **q** is semisimple and we may write **q** as  $\mathbf{q}_1 \oplus \ldots \mathbf{q}_k = \sum_{i \in K} \mathbf{q}_i$ , where the  $\mathbf{q}_i$  are simple ideals of **q**. So we may consider two distinct families of LCR-ideals: the first ones contain **q**, the second ones do not.

Remark that, if g is not CR-maximal, there exist some LCR-ideals containing q. Let h be a LCR-ideal such that  $\mathbf{h} \cap \mathbf{q} = \sum_{i \in J} \mathbf{q}_i$ , then  $\mathbf{h} \oplus \sum_{i \in K-J} \tilde{\mathbf{q}}_i$  is a LCR-ideal including  $\tilde{\mathbf{q}}$ . Via such LCR-ideals, we give the following decomposition for  $\mathbf{g}$ .

Proposition 3.7.2 Let g be a CR-semisimple LCR-algebra, then we may write  $g = +_{i \in I} h_i$ , where the  $h_i$  are CR-maximal LCR-ideals such that  $h_i \cap h_j = \tilde{q}$ .

*Proof:* take a LCR-ideal h of g such that  $h \cap q = q$ . Then h contains  $\tilde{q}$ . By Lemma 3.6.2,  $h^{\perp_{\psi}}$  does not intersect  $\tilde{q}$ . Otherwise,  $h' = \tilde{q} \oplus h^{\perp_{\psi}}$  is a LCR-ideal which verifies the following

$$g = h + h'$$

$$h \cap h' = \tilde{q}$$
.

If one considers a LCR-ideal k of h, one gets the decomposition g=k+k'+h' with the conditions  $k\cap k'=k\cap h'=k'\cap h'=\tilde{q}$ .

Remark that  $\mathbf{k}'$  is the sum of  $\tilde{\mathbf{q}}$  and of the orthogonal of  $\mathbf{k}$  with respect of  $B^{\psi}$  in  $\mathbf{h}$ .

In this way, we construct some chains  $\{\mathcal{H}_i\}_{i\in J}$  such that

$$g = +_{i \in J} h_i$$

$$\mathbf{h}_i \cap \mathbf{h}_j = \tilde{\mathbf{q}},$$

where any  $h_i$  is the last element of its chain. Hence, it is CR-maximal.

Such a construction does not depend on the beginning LCR-ideal h. In fact, if  $h_l$  were a CR-maximal LCR-ideal, with  $l \notin J$ , we have that  $h_i \cap h_l$  is a LCR-ideal of g and there are two possible cases:

- 1.  $\mathbf{h}_i \cap \mathbf{h}_l = \mathbf{h}_i$
- 2.  $\mathbf{h}_i \cap \mathbf{h}_l = \tilde{\mathbf{q}}$ .

When  $\mathbf{q}$  is simple, any LCR-ideal contains  $\tilde{\mathbf{q}}$  and we have the above decomposition.

Now, suppose  $\mathbf{q}$  semisimple and write  $\mathbf{q} = \mathbf{q}_1 \odot \ldots \odot \mathbf{q}_k$ . Let us consider the sets  $S_j = \{\mathbf{h} \text{ is a LCR-ideal } : \mathbf{h} \cap \mathbf{q} = \mathbf{q}_j\}$ . Each  $S_j$  is notempty, since it contains  $(\bigoplus_{i \neq j} \tilde{\mathbf{q}}_i)^{\perp_{\psi}}$ .

Lemma 3.7.3 If h is in  $S_j$ ,  $h^{\perp_{\psi}} \cap q = \bigoplus_{i \neq j} q_i$ 

*Proof:*  $h^{\perp_{\psi}} \cap \mathbf{q}$  is an ideal of  $\mathbf{q}$ , so it is sum of some  $\mathbf{q}_i$ . It is not  $\mathbf{q}$ , otherwise  $\mathbf{h}$  would not be a LCR-ideal. Moreover,  $\mathbf{q} = \mathbf{h} \cap \mathbf{q} \oplus \mathbf{h}^{\perp_{\psi}} \cap \mathbf{q}$ . So, we have the further decomposition, given by the

Theorem 3.7.4 If q is semisimple and it is decomposed as  $q = q_1 \odot \ldots \odot q_k$ , g is decomposed as  $g = g_1 \odot \ldots \odot g_k$ , where

1. each  $g_i$  is a CR-maximal LCR-ideal;

- 2.  $\mathbf{g}_i \cap \mathbf{q} = \mathbf{q}_i$ ;
- 3.  $g_i \cap g_l = \{0\}.$

*Proof:* let  $g_1 \in S_1$  be CR-semisimple, then  $g_1^{\perp_{\psi}}$  admits the LCR-structure  $q_2 \odot \ldots \odot g_k$ . By inductive hypothesis,  $g_1^{\perp_{\psi}} = g_2 \odot \ldots \odot g_k$ . where each  $g_i$  is a LCR-ideal of  $g_1^{\perp_{\psi}}$  (and hence of g) such that  $g_i \cap q = q_i$  and  $g_i \cap g_l = \{0\}$ . Since  $g = g_1 \odot g_1^{\perp_{\psi}}$ , the assert is proved.

Theorem 3.7.4 gives a decomposition of g, CR-semisimple, in CR-maximal LCR-ideals. Since each of them is CR-semisimple, in the last part of this Section, we shall describe the CR-maximal LCR-algebras which are CR-semisimple. Now on, g will be a CR-maximal CR-semisimple LCR-algebra.

Lemma 3.7.5 The ideal  $\tilde{q}^{\perp_{\psi}}$  does not admit  $\tau$ -stable ideals.

Proof: let  $h = \overline{h}$  be an ideal of  $\tilde{q}^{\perp_{\psi}}$ . Then, it is an ideal of g. so  $h^{\perp_{\psi}}$  includes  $\tilde{q}$  and it is a LCR-ideal. Since g is CR-maximal, either  $h^{\perp_{\psi}}$  is g or is  $\tilde{q}$ . Hence, h is or zero either  $\tilde{q}^{\perp_{\psi}}$ .

Lemma 3.7.6 Let h be a nontrivial ideal of  $\tilde{q}^{\perp_{\psi}}$ . Then  $\tilde{q}^{\perp_{\psi}} = h \odot \overline{h}$ .

Proof: the subspaces  $\mathbf{h} \cap \overline{\mathbf{h}}$  and  $\mathbf{h} + \overline{\mathbf{h}}$  are  $\tau$ -stable ideals of  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ . When  $\mathbf{h} \cap \overline{\mathbf{h}}$  is equal to  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ ,  $\mathbf{h}$  coincides with  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ ; when  $\mathbf{h} + \overline{\mathbf{h}}$  vanishes,  $\mathbf{h}$  vanishes, too; in the case that  $\mathbf{h} \cap \overline{\mathbf{h}}$  vanishes and  $\mathbf{h} + \overline{\mathbf{h}} = \tilde{\mathbf{q}}^{\perp_{\psi}}$ ,  $\mathbf{h}$  is a complex structure of  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ .

Proposition 3.7.7 Let g be a CR-maximal CR-semisimple LCR-algebra. Then q is either simple or a complex structure. In the last case, g is semisimple.

*Proof:* the ideal  $\mathbf{q}$  may be written as  $\mathbf{q} = \mathbf{q}_1 \odot \ldots \odot \mathbf{q}_k$ , where the  $\mathbf{q}_i$  are simple. In fact, it is semisimple. Then,  $\mathbf{h}_1 \doteq \mathbf{q}_1 \odot \tilde{\mathbf{q}}^{\perp_{\psi}}$  is a LCR-ideal. If  $\mathbf{h}_1$  is included in  $\tilde{\mathbf{q}}$ ,  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  vanishes and  $\mathbf{g} = \tilde{\mathbf{q}}$  is semisimple. Otherwise,  $\mathbf{h}_1$  coincides with  $\mathbf{g}$ . Thus  $\mathbf{q} = \mathbf{q}_1$  is simple.

Corollary 3.7.8 The only LCR-ideals of a CR-maximal CR-semisimple LCR-algebra g are  $\{0\}$ .  $\tilde{q}$  and g.

Lemma 3.7.9 A nonvanishing ideal h of  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  does not admit ideals. Hence, h is or one-dimensional either simple.

*Proof:* an ideal k of h is an ideal of  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ . Thus,  $\tilde{\mathbf{q}}^{\perp_{\psi}}$  coincides with  $k \odot \overline{k}$  and k is equal to h.

Now, we classify the CR-maximal CR-semisimple LCR-algebra via the "unique" ideal  $\mathbf{h}$  of  $\tilde{\mathbf{q}}^{\perp_{\psi}}$ , which is either simple or abelian.

type	$\tilde{\mathbf{q}}^{\perp_\psi}$	g	$codim {f q}$	g is
I	{0}	$\operatorname{q} \odot \overline{\operatorname{q}}$	0	semisimple
II	$\mathrm{C} H \oplus \mathrm{C} \overline{H}$	$oldsymbol{q}\odot \overline{oldsymbol{q}}\odot CH\odot C\overline{H}$	2	reductive
	$CH = C\overline{H}$	$\mathrm{q}\odot\overline{\mathrm{q}}\odot\mathrm{C}H$	1	reductive
III	$\mathrm{h}\odot\overline{\mathrm{h}}$	$\operatorname{q} \odot \overline{\operatorname{q}} \odot \operatorname{h} \odot \overline{\operatorname{h}}$	$2 \dim \mathbf{h}$	semisimple
	$\mathrm{h}=\overline{\mathrm{h}}$	$\mathrm{q}\odot\overline{\mathrm{q}}\odot\mathrm{h}$	$\dim \mathbf{h}$	semisimple

Let us return to the CR-semisimple (not CR-maximal) case. Consider the decomposition in CR-maximal LCR-ideals given by Theorem 3.7.4:  $\mathbf{g} = \odot_{i \in S} \mathbf{g}_i$ , with  $\mathbf{g}_i \cap \mathbf{q} = \mathbf{q}_i$ . Then, divide S in three subsets  $S_1$ ,  $S_2$ ,  $S_3$ , such that i is in  $S_1$  if and only if  $\mathbf{g}_1$  is of type I, and so on. Define  $\mathbf{g}^I \doteq \odot_{i \in S_I} \mathbf{g}_i$ ,  $\mathbf{g}^{II} \doteq \ldots$ ,  $\mathbf{g}^{III} \doteq \ldots$  In particular, the above Table shows that

$$g^{I} = \bigoplus_{i \in S_{1}} \tilde{\mathbf{q}}_{i}$$

$$g^{II} = \bigoplus_{i \in S_{2}} (\tilde{\mathbf{q}}_{i} \odot \mathbf{C}H_{i} + \mathbf{C}\overline{H_{i}})$$

$$g^{III} = \bigoplus_{i \in S_{3}} (\tilde{\mathbf{q}}_{i} \odot \tilde{\mathbf{h}}_{i}).$$

We derive the following structure theorem for CR-semisimple LCR-algebras.

Theorem 3.7.10 Let g be a CR-semisimple LCR-algebra. Then

- i) q is contained in the semisimple LCR-ideal  $\mathcal{D}g$ ;
- ii) g is reductive.

Moreover, a reductive Lie-algebra admits a LCR-structure with respect of which is CR-semisimple if and only if it is noncompact. Namely. the class of all the reductive Lie-algebras is the disjoint union of two classes: the class of compact Lie-algebras and the one of CR-semisimple LCR-algebras.

*Proof:* since  $\tilde{\mathbf{q}}^{\perp_{\psi}} = \odot_{i \in S_2}(\mathbf{C}H_i \odot \mathbf{C}\overline{H_i}) \odot \odot_{i \in S_3}\tilde{\mathbf{h}}_i$ , we compute

$$\mathcal{D}\tilde{\mathbf{q}}^{\perp_{\psi}} = \odot_{i \in S_3} \tilde{\mathbf{h}}_i$$

$$\zeta(\tilde{\mathbf{q}}^{\perp_w}) = \odot_{i \in S_2}(\mathbf{C}H_i \odot \mathbf{C}\overline{H_i}).$$

Otherwise,  $\mathbf{g} = \mathcal{D}\mathbf{g} \odot \zeta(\mathbf{g})$ . thus  $\mathcal{D}\mathbf{g} = \tilde{\mathbf{q}} \odot \mathcal{D}\tilde{\mathbf{q}}^{\perp_{\psi}}$  is a semisimple LCR-ideal and  $\zeta(\mathbf{g})$  coincides with  $\zeta(\tilde{\mathbf{q}}^{\perp_{\psi}})$ .

Finally, when g is compact  $\mathcal{D}g$  is compact and semisimple, thus  $\mathcal{D}g$  does not admit LCR-structures and g is not CR-semisimple. Vice versa, if g is a reductive noncompact Lie-algebra,  $\mathcal{D}g$  admits LCR-structures. which are semisimple.

#### REDUCTIVE LIE-ALGEBRAS

COMPACT LIE-ALGEBRAS

CR-SEMISIMPLE LCR-ALGEBRAS

## 3.8 The CR-Levi decomposition.

This last Section is devoted to the decomposition of a LCR-algebra g as the semidirect sum by ad of its CR-radical and a CR-semisimple LCR-algebra. In fact, there is a result analogous to Levi-Mal'cev Theorem

(Theorem 3.8.6). In order to prove this Theorem, we have to introduce the CR-cohomology of a CR-semisimple LCR-algebra.

Let  $\mathbf{g}$  denote a CR-semisimple LCR-algebra. The element  $\omega^{\psi}$  of the enveloppong algebra of  $\mathbf{g}$  associated to the CR-polynomial  $\xi^{\psi}(X) = B^{\psi}(X, X)$  is said to be the Casimir CR-element of  $\mathbf{g}$ .

Proposition 3.8.1 The Casimir CR-element  $\omega^{\psi}$  belongs to the centre of the universal enveloping algebra of g. Let  $\{X_i\}$  be a basis for q. whose dual basis is  $\{X^i\}$ , then  $\omega^{\psi} = \sum_i X_i X^i$ .

Proposition 3.8.2 Let  $\rho$  be a representation of  $\mathbf{g}$  and  $\mathbf{k}$  be  $(\ker \rho)^{\perp_{\upsilon}}$ . Then  $\omega^{\rho}$  belongs to the centre of the universal enveloping algebra of  $\mathbf{g}$ . Let  $\{X_i\}$  and  $\{X^i\}$  be two basis of  $\mathbf{k} \cap \mathbf{q}$  such that  $B(X_i, X^j) = \delta_i^j$ , then  $\omega^{\rho} = \sum_i X_i X^i$ . In particular,  $tr \rho(\omega^{\rho}) = \dim \mathbf{k} \cap \mathbf{q}$ .

Remark that, when  $\rho$  is nontrivial, k is a nonvanishing LCR-ideal. More generally, if  $\rho(q) \neq \{0\}$ , then  $k \cap q \neq \{0\}$ .

Corollary 3.8.3 Let  $\rho$  be a representation of the CR-semisimple LCR-algebra  ${\bf g}$  and let

$$V_n = \{ v \in V : \rho(\mathbf{g})v = 0 \}$$
$$V_r = +_{x \in \mathbf{g}} \rho(x)[V].$$

Then V is the direct sum of  $V_n$  and of  $V_r$ .

We now define the CR-cohomology groups: given a LCR-representation  $\rho: \mathbf{g} \to \mathbf{gl}(V)$ , let  $V_{CR}^j(\mathbf{g}, \rho)$  be the set of the skew symmetric j-linear

maps F of  $\mathbf{g} \times \ldots \times \mathbf{g}$  (j factors) in V such that  $F(\mathbf{q} \times \mathbf{g} \times \ldots \times \mathbf{g}) \subseteq W$  and  $F(c(\mathbf{q}) \times \mathbf{g} \times \ldots \times \mathbf{g}) = 0$ . If we introduce the differential operator d, obviously it maps  $V_{CR}^{j}(\mathbf{g}, \rho)$  in  $V_{CR}^{j+1}(\mathbf{g}, \rho)$ . So, we define the CR-cohomology groups  $H_{CR}^{j}(\mathbf{g}, \rho)$  as the quotient  $\ker d/Imd$  and we have the

Theorem 3.8.4 If g is CR-semisimple and  $\rho$  is an its nontrivial LCR-representation, then  $H^1_{CR}(g,\rho)=H^2_{CR}(g,\rho)=\{0\}$ .

The proof is analogous to the one in the semisimple case. In fact it is based on the condition  $\mathbf{g} = \mathcal{D}\mathbf{g} \odot \zeta(\mathbf{g})$  and on the decomposition  $C^j = C_n^j \oplus C_r^j$  (where  $C^j(\mathbf{g}, \rho) = \{\theta \in V^j(\mathbf{g}, \rho) : d\theta = 0\}$ ).

Let, now, g be a generic LCR-algebra and  $r^*$  be its CR-radical. A Levi sub-LCR-algebra  $s^*$  is a sub-LCR-algebra such that  $g = r^* \oplus_{ad} s^*$ . This decomposition is said to be a CR-Levi-Mal'cev decomposition.

Lemma 3.8.5 A Levi sub-LCR-algebra is CR-semisimple. Moreover, its centre  $\zeta(s^*)$  vanishes

Proof: let x be the generic element of  $\mathbf{g}$  decomposed as  $x = R_x + S_x$ , and  $\pi$  be the natural projection on  $\mathbf{s}^*$ . Since, there exists an element  $R_Q \in \mathbf{q} \cap \mathbf{r}$ , consider an element of  $\mathbf{q}$  of the form  $Q = R_Q + S_Q$ . Hence,  $S_Q \in \mathbf{s}^* \cap \mathbf{q}$  which is not empty. So  $\pi$  is a CR-epimorphism, and  $\mathbf{r}^*(\mathbf{s}^*) = \pi(\mathbf{r}^*) = \{0\}$ .

Finally, suppose that  $\mathbf{s}^* = \zeta(\mathbf{s}^*) \odot \mathcal{D}\mathbf{s}^*$ . Thus  $\mathbf{r}^* \odot \zeta(\mathbf{s}^*)$  is a LCR-ideal which is CR-solvable, since  $\mathcal{D}(\mathbf{r}^* \odot \zeta(\mathbf{s}^*)) \subseteq \mathbf{r}^*$ . Hence,  $\mathbf{r}^* = \mathbf{r}^* \odot \zeta(\mathbf{s}^*)$  and  $\zeta(\mathbf{s}^*)$  vanishes.

Theorem 3.8.6 Any LCR-algebra g admits a Levi sub-LCR-algebra  $s^*$ . If  $s^*$  is a Levi sub-LCR-algebra of g, then it is also a Levi sub-LCR-algebra of  $\mathcal{D}g$  and the CR-Levi-Mal'cev decomposition of  $\mathcal{D}g$  is  $\mathcal{D}g = [r^*, g] \oplus_{ad} s^*$ .

*Proof:* we make the proof by induction on  $\dim \mathbf{r}^*$ . If  $\dim \mathbf{r}^* = 0$ . g is a Levi sub-LCR-algebra. Now, let  $\dim \mathbf{r}^* \geq 1$ . There are two cases:

- 1.  $\mathcal{D}\mathbf{r}^*$  is a LCR-ideal. Hence,  $\mathbf{g}' = \mathbf{g}/\mathcal{D}\mathbf{r}^*$  is a LCR-algebra and  $\pi(\mathbf{r}^*)$  is its CR-radical (where  $\pi$  is the natural projection). By the induction hypothesis,  $\mathbf{g}'$  admits a Levi sub-LCR-algebra  $\mathbf{s}'$ . Let us denote  $\pi^{-1}(\mathbf{s}')$  as  $\mathbf{s}_0$ . Then  $\mathbf{g} = \mathbf{r}^* + \mathbf{s}_0$  and  $\mathbf{q} \cap \mathcal{D}\mathbf{r}^* = \mathbf{q} \cap \mathbf{r}^* \cap \mathbf{s}_0$ . Finally  $\mathcal{D}\mathbf{r}^* \cap \mathbf{q}$  is a CR-solvable LCR-ideal of  $\mathbf{s}_0$  and  $\mathbf{s}_0/\mathcal{D}\mathbf{r}^*$  is isomorphic to  $\mathbf{s}'$ . Hence,  $\mathcal{D}\mathbf{r}^*$  is the CR-radical of  $\mathbf{s}_0$ . Since  $\dim \mathcal{D}\mathbf{r}^* < \dim \mathbf{r}^*$ ,  $\mathbf{s}_0$  admits a Levi sub-LCR-algebra  $\mathbf{s}$  such that  $\mathbf{s}_0 = \mathcal{D}\mathbf{r}^* \oplus_{ad} \mathbf{s}$ . Moreover  $\mathbf{g} = \mathbf{r}^* \oplus_{ad} \mathbf{s}$  and  $\mathbf{s}$  is a Levi sub-LCR-algebra of  $\mathbf{g}$ .
- 2.  $\mathcal{D}\mathbf{r}^*$  is not a LCR-ideal. Let us consider the subalgebra  $\tilde{\mathbf{q}}$  and the Lie-epimorphism  $\pi_{\mathbf{q}}$ . Hence,  $\pi_{\mathbf{q}}(\mathbf{r}(\tilde{\mathbf{q}})) = \mathbf{r}(\mathbf{q})$ , so  $\mathbf{r}(\tilde{\mathbf{q}}) = \mathbf{r}(\mathbf{q}) \oplus \mathbf{r}(\overline{\mathbf{q}})$ . Moreover,  $\mathcal{D}\mathbf{r}(\tilde{\mathbf{q}}) = \mathcal{D}\mathbf{r}(q) \oplus \mathcal{D}\mathbf{r}(\overline{\mathbf{q}}) \subseteq \mathcal{D}\mathbf{r}^* \cap \mathbf{q} \oplus \mathcal{D}\mathbf{r}^* \cap \overline{\mathbf{q}}$  and  $\mathbf{r}(\tilde{\mathbf{q}})$  is abelian.

The Lie-algebra  $\mathbf{g}_1 = \mathbf{g}/\mathbf{r}(\tilde{\mathbf{q}})$  admits the LCR-structure  $\mathbf{q}_1 = \mathbf{q}/\mathbf{r}(\mathbf{q})$  which is semisimple. Consider a linear map  $\mu : \mathbf{g}_1 \to \mathbf{g}$  such that  $\pi \circ \mu = id$ ,  $\mu \mathbf{q}_1 \subseteq \mathbf{q}$  and  $\mu \tau_1 = \tau \mu$ . Let us define  $\rho : \mathbf{g}_1 \to \mathbf{gl}(\mathbf{r}(\tilde{\mathbf{q}})) : X_1 \mapsto ad_{\mu(X_1)}|_{\mathbf{r}(\tilde{\mathbf{q}})}$ . Since  $\mathbf{r}(\tilde{\mathbf{q}})$  is abelian  $\rho$  is well defined and it is a LCR-representation.

Now, define  $\theta(x,y) = [\mu x, \mu y] - \mu([x,y])$ . We may easily compute

that  $\theta(x,y)$  belongs to  $\mathbf{r}(\tilde{\mathbf{q}})$ ;  $d\theta$  vanishes;  $\theta(x,y)=0$ , when x is in  $\zeta(\mathbf{g}_1)$ ; and  $\theta(x,Q)$  belongs to  $\mathbf{r}(\mathbf{q})$ . These facts mean that  $\theta$  is in  $H^2_{CR}(\mathbf{g},\rho)$  which vanishes. So, there exists a linear map  $\nu:\mathbf{g}_1\to\mathbf{r}(\tilde{\mathbf{q}})$  which maps  $\mathbf{q}_1$  into  $\mathbf{q}$  and such that  $\theta=d\nu$ . The map  $\lambda=\mu-\nu$  is a CR-homomorphism such that  $\pi\circ\lambda=id$ . Hence  $\mathbf{s}^*=\lambda\mathbf{g}_1$  is a sub-LCR-algebra such that  $\mathbf{g}=\mathbf{r}^*\oplus_{ad}\mathbf{s}^*$ .

Now, let  $\mathbf{p} = [\mathbf{r}^*, \mathbf{g}]$ . Then  $\mathcal{D}\mathbf{g} = \mathbf{p} + [\mathbf{s}^*, \mathbf{s}^*]$ . Since  $\mathbf{s}^*$  is a Levi sub-LCR-algebra it is  $\mathcal{D}\mathbf{g} = \mathbf{p} + \mathbf{s}^*$  and  $\mathbf{p} \cap \mathbf{s}^* \subseteq \mathbf{r}^* \cap \mathbf{s}^* = \{0\}$ . Moreover, since  $\mathcal{D}\mathbf{g}$  is a LCR-ideal,  $\mathbf{r}^*(\mathcal{D}\mathbf{g}) = \mathcal{D}\mathbf{g} \cap \mathbf{r}^*$ .

Finally, the CR-version of Harish-Chandra Theorem may be proved as well as the classical one.

Theorem 3.8.7 Let g be a LCR-algebra and  $\mathbf{r}^*$  be its CR-radical. If  $\mathbf{s}_1^*$  and  $\mathbf{s}_2^*$  are Levi sub-LCR-algebras, there exists a CR-automorphism  $\varphi$  such that  $\varphi \mathbf{s}_1^* = \mathbf{s}_2^*$ .

Corollary 3.8.8 If  $s^*$  is a Levi sub-LCR-algebra and s is a CR-semi-simple sub-LCR-algebra. Then there exists a CR-automorphism  $\varphi$  such that  $\varphi s \subseteq s^*$ .

## 3.9 Appendix.

1. For reasons of simplicity, we developed the structure theory of LCR-algebras in the complex terms. As we have remarked in Chapter 1. the more geometrical approach would be the real one. Thus, we translate the most interesting results about  $(\mathbf{g}, \mathbf{q})$ , involving  $(\mathbf{g}_0, \mathbf{p}, J)$ .

Remind that a real subalgebra  $h_0$  of  $g_0$  is a sub-LCR-algebra if it satisfies the condition

$$J(h_0 \cap p) \subseteq h_0 \cap p \neq \{0\}.$$

Define  $\mathbf{h}$  as the complexified  $\mathbf{h}_0 \otimes_{\mathbf{R}} \mathbf{C}$ . Then,  $\mathbf{h}_0 \cap \mathbf{p}$  vanishes if and only if  $\mathbf{h} \cap \mathbf{q}$  does. Finally, a CR-homomorphism  $\varphi$  between two LCR-algebras  $(\mathbf{g}_0, \mathbf{p}, J)$  and  $(\mathbf{g}_0', \mathbf{p}', J')$  is a Lie-homomorphism which maps  $\mathbf{p}$  into  $\mathbf{p}'$  and which intertwines J and J'.

2. In this terms, we give the

**Proposition.** Let  $h_0$  be a sub-LCR-algebra. Then the following statements are true

- 1.  $h_0$  is CR-nilpotent if and only if  $C^k h_0 \cap p$  vanishes;
- 2.  $h_0$  is CR-solvable if and only if  $\mathcal{D}^k h_0 \cap p$  vanishes.

Let us study, in particular, a CR-solvable LCR-algebra. About its LCR-structure, there is the

Theorem. Let (p, J) be a LCR-structure such that  $g_0$  is a CR-

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solvable LCR-algebra. Then  $\mathbf{p}$  is contained in the radical  $\mathbf{r}(\mathbf{g_0})$ . Vice versa, an evendimensional solvable ideal  $\mathbf{p}$  supports a unique complex structure J such that  $(\mathbf{p},J)$  is a LCR-structure and  $\mathbf{g_0}$  is a CR-solvable LCR-algebra.

A characterisation of CR-solvable LCR-algebras is based on the fact that if  $h_0$  is a CR-solvable LCR-ideal and  $g_0/h_0$  is a CR-solvable LCR-algebra, then  $g_0$  itself is CR-solvable. Two facts follow: the first consequence is that a LCR-algebra  $g_0$  is CR-solvable if and only if its derived  $\mathcal{D}g_0$  is CR-nilpotent. The second one is the existence of a maximal CR-solvable LCR-ideal  $r_0^*$ , said the CR-radical of  $g_0$ . Obviously, a CR-solvable LCR-algebra coincides with its CR-radical. Then, we define CR-semisimple a LCR-algebra with vanishing CR-radical.

Lemma. Let  $g_0$  be a LCR-algebra whose LCR-structure is (p, J). Then, the radical  $\mathbf{r}(p)$  of p is given by the intersection  $\mathbf{r}^* \cap \mathbf{p}$  and it is invariant under J.

Proof: since  $\mathbf{r}^* \cap \mathbf{p}$  is a solvable ideal of  $\mathbf{p}$ , it is contained in the radical  $\mathbf{r}(\mathbf{p}) = \mathbf{r}(\mathbf{g}_0) \cap \mathbf{p}$ . By Proposition 5.8 of Chapter 2,  $\mathbf{r}(\mathbf{p})$  is invariant under J. thus, if  $\mathbf{r}(\mathbf{p})$  is not null,  $\mathbf{r}(\mathbf{g}_0)$  is a CR-solvable LCR-ideal. Hence,  $\mathbf{r}(\mathbf{g}_0)$  is contained in  $\mathbf{r}^*(\mathbf{g}_0)$  and their intersection with  $\mathbf{p}$  coincide.

A direct consequence of the Lemma is that the LCR-algebra  $g_0$  is CR-semisimple if and only if p is semisimple. In particular, a semisimple LCR-structure (p, J) is both a LCR-structure of a suitable Levi-

subalgebra and a Levi-flat CR-structure of the centralizer of r.

3. In order to introduce the Cartan's criteria, define the representation  $\psi_0: \mathbf{g}_0 \to \mathbf{gl}(\mathbf{p}): x \mapsto ad_X|_{\mathbf{p}}$ . Thus,  $B^{\psi_0}(X,Y)$  is equal to  $B^{\psi}(X,Y)$ . for any X,Y in  $\mathbf{g}_0$ . Hence, the criteria for CR-solvability and CR-semisimplicity are the following

- 1. the LCR-algebra  $g_0$  is CR-solvable if and only if  $B^{\psi_0}(X, [Y, Z])$  vanishes identically;
- 2. the LCR-algebra  $\mathbf{g}_0$  is CR-semisimple if and only if  $B^{\psi_0}$  is non-singular.

A direct consequence of the second criterion is that a CR-semisimple LCR-algebra  $g_0$  is decomposed as  $g_0 = \zeta(g_0) \odot \mathcal{D}g_0$ . In particular, we prove that  $g_0$  is a noncompact reductive Lie-algebra. In order to do that, we define a CR-maximal LCR-algebra and we show that a CR-semisimple LCR-algebra is sum of CR-maximal CR-semisimple LCR-algebras.

**Definition.** A LCR-algebra  $(\mathbf{g_0}, \mathbf{p}, J)$  is said to be CR-maximal if any LCR-ideal, different from  $\mathbf{g_0}$  is contained in  $\mathbf{p}$ .

Notice that, if  $\mathbf{p}$  has codimension less then 1,  $\mathbf{g}_0$  is a CR-maximal LCR-algebra. Vice versa, when  $\mathbf{g}_0$  is a CR-maximal LCR-algebra, three cases are possible:

- 1.  $\mathbf{g}_0$  admits a complex structure  $J_0$  such that  $J_0|_{\mathbf{p}} = J$ ;
- 2. p has codimension 1;

3.  $g_0$  is CR-semisimple.

The third class of CR-maximal LCR-algebras takes a great importance in the structure theory of CR-semisimple LCR-algebras: let  $\mathbf{g}_0$  be a CR-semisimple LCR-algebra. Since  $\mathbf{p}$  is a semisimple ideal, there are some simple ideals  $\mathbf{p}_i$  of  $\mathbf{p}$  such that  $\mathbf{p} = \bigoplus_{i \in K} \mathbf{p}_i$ . Moreover, each  $\mathbf{p}_i$  is J-stable. Consider, now, the set  $S_i$  of the LCR-ideal  $\mathbf{g}_i$  such that  $\mathbf{g}_i \cap \mathbf{p} = \mathbf{p}_i$ . Then, it is possible to choice some  $\mathbf{g}_i$  such that

1.  $g_i$  is a CR-maximal LCR-algebra;

2. 
$$\mathbf{g}_i \cap \mathbf{g}_j = \{0\};$$

$$g = \odot_{i \in K} g_i.$$

Thus, via the CR-maximal LCR-ideals, we describe the whole CR-semisimple LCR-algebra. Of course, each of them is CR-semisimple, since it is a LCR-ideal.

Furthermore, the only LCR-ideals of a CR-maximal CR-semisimple LCR-algebra  $(\mathbf{g}_0, \mathbf{p}, J)$  are the trivial ones:  $\{0\}$ ,  $\mathbf{p}$ ,  $\mathbf{g}_0$ . Finally, the ideal  $\mathbf{p}^{\perp_{\psi_0}}$  assumes one of the following forms;

$$\mathbf{p}^{\perp_{\psi_0}} = \left\{ \begin{array}{l} \{0\} \\ \mathbf{R}H \\ \mathbf{h}_0 \end{array} \right.$$

So, a CR-maximal LCR-algebra is reductive and its centre either is one-dimensional or vanishes. Let us return to the generic CR-semisimple LCR-algebra ( $\mathbf{g}_0, \mathbf{p}, J$ ). We conclude showing that  $\mathbf{p}$  is contained in the semisimple LCR-ideal  $\mathcal{D}\mathbf{g}_0$  and that  $\mathbf{g}_0$  is reductive.

In fact, since p is semisimple, it coincides with its derived and so is included in  $\mathcal{D}g_0$ . Otherwise, the CR-maximal CR-semisimple LCR-ideal  $g_i$  (which are factors of  $g_0$ ) may be divided in three families

$$I = \{g_i : g_i = p_i\}$$

$$II = \{g_i : g_i = p_i \oplus RH_i\}$$

$$III = \{g_i : g_i = p_i \oplus h_i\}.$$

Let  $\mathbf{g}_0^I$  denote the direct sum of the elements of I. In a similar way, we define  $\mathbf{g}_0^{II}$  and  $\mathbf{g}_0^{III}$ . By construction,  $\mathbf{g}_0^I$  and  $\mathbf{g}_0^{III}$  are semisimple and  $\mathbf{g}_0^{II}$  is reductive. Thus, the whole CR-semisimple LCR-algebra  $\mathbf{g}_0 = \mathbf{g}_0^I \odot \mathbf{g}_0^{II} \odot \mathbf{g}_0^{III}$  is reductive. Moreover,  $\mathcal{D}\mathbf{g}_0 = \mathbf{p} \oplus \oplus_i \mathbf{h}_i$  is semisimple.



# CR-semisimple LCR-algebras.

## 4.1 Introduction to Chapter 4.

A CR-semisimple LCR-algebra  $\mathbf{g}$  is a LCR-algebra whose Killing CR-form  $B^{\psi}$  is nonsingular. The existence of such a nonsingular bilinear form is the foundation of the Theorem of existence of a Cartan sub-LCR-algebra  $\mathbf{h}$ . Essentially, a Cartam sub-LCR-algebra is a maximal CR-abelian sub-LCR-algebra, whose elements are semisimple. Moreover, the decomposition in CR-root spaces is given (Theorem 4.3.1). Such a decomposition implies that  $\mathbf{h}$  is a Cartan subalgebra (i.e.  $\mathbf{h}$  coincides with its own normalizer  $\mathbf{n}(\mathbf{h})$ ) and it is abelian (Theorem 4.4.1). Thus an  $ad_{\mathbf{h}}$ -stability result is proved. Hence, we give a decomposition of  $\mathbf{g}$  into the semidirect sum by ad of a semisimple ideal and a reductive subalgebra. In particular, when  $\mathbf{g}$  is a CR-semisimple LCR-algebra, then there exist an ideal  $\mathbf{h}$  containing  $\tilde{\mathbf{q}}$  and a subalgebra  $\mathbf{k}$  contained in  $\tilde{\mathbf{q}}$  such that  $\mathbf{g} = \mathbf{h} \oplus_{ad} \mathbf{k}$ . Moreover, if  $\mathbf{h}$  is decomposed as  $\mathbf{h} = \tilde{\mathbf{q}} \odot \mathbf{h}_1 \odot \ldots \mathbf{h}_l$ , then  $\mathbf{q}_0$  coincides with  $\mathbf{h}_1 \odot \ldots \odot \mathbf{h}_l \odot \mathbf{k}$  (Theo-

rem 4.4.9).

Since the roots of  $\tilde{\mathbf{q}}$  and of  $\mathbf{q}_0$  determines completely the LCR-algebra g (Theorem 4.5.1), the Lie-product may be described with respect of the CR-roots. Thus, we have the

$$[H, X_{\alpha}] = \alpha(H)X_{\alpha}$$

$$[X_{\alpha}, X_{\beta}] = \begin{cases} H_{\alpha} & \text{if } \beta = -\alpha \\ 0 & \text{if } \alpha + \beta \notin \Delta \\ N_{\alpha, \beta} X_{\alpha + \beta} & \text{if } \alpha + \beta \in \Delta. \end{cases}$$

Via these relations, the Chapter is concluded with a Theorem of existence of a real form  $\mathbf{g}_0^*$  of  $\mathbf{g}$  which admits, as an ideal, a compact real form  $\mathbf{p}^*$  of  $\tilde{\mathbf{q}}$ . So, we have given a bijection between the set of CR-semisimple Lie-algebras and the one of Lie-algebras which admit an even-dimensional semisimple compact ideal.

## 4.2 Cartan sub-LCR-algebras.

In this Chapter,  $\mathbf{g}$  denotes a CR-semisimple LCR-algebra whose LCR-structure is  $\mathbf{q}$ ;  $\tilde{\mathbf{q}}$  is the direct sum  $\mathbf{q} \odot \overline{\mathbf{q}}$ . For this class of LCR-algebras, the definition of the Cartan sub-LCR-algebras is a direct generalization of the classical one.

Definition 4.2.1 A Cartan sub-LCR-algebra of a CR-semisimple LCR-algebra g is a sub-LCR-algebra h such that

- 1. h is a maximal CR-abelian sub-LCR-algebra in g;
- 2.  $ad_H$  is a semisimple map of g,  $\forall H \in h$ ;
- 3.  $h \cap q$  is a Cartan subalgebra of q.

Proposition 4.2.2 If h is a Cartan sub-LCR-algebra then  $h \cap \tilde{q}$  is a Cartan subalgebra of  $\tilde{q}$ . Vice versa, let h be a maximal CR-abelian sub-LCR-algebra whose elements are semisimple. Then h is a Cartan sub-LCR-algebra, when  $h \cap \tilde{q}$  is a Cartan subalgebra.

Proof: let h be a sub-LCR-algebra. Then,  $h \cap q$  is a Cartan subalgebra of q if and only if  $h \cap \overline{q}$  is Cartan subalgebra of  $\overline{q}$ . Thus,  $h \cap \tilde{q} = h \cap q \odot h \cap \overline{q}$  is an abelian subalgebra of  $\tilde{q}$ . Let k be a Cartan subalgebra of  $\tilde{q}$  containing  $h \cap \tilde{q}$ . Since q and  $\overline{q}$  are ideals of  $\tilde{q}$ , the projections  $\pi_q$  and  $\pi_{\overline{q}}$  are Lie-epimorphisms. So,  $\pi_q k$  is an abelian subalgebra containing  $h \cap q$ . Hence,  $\pi_q k$  and  $k \cap q$  coincide. Finally, k coincides with  $h \cap \tilde{q}$  and this one is a Cartan subalgebra. The vice versa has an analogous proof.

The Proposition 4.2.2 shows that in the Definition 4.2.1, the third statement may be substituted with

3'.  $h \cap \tilde{q}$  is a Cartan subalgebra of  $\tilde{q}$ .

Let g be a generic Lie-algebra. Take an element x in g and denote with  $\lambda_0 = 0, \lambda_1, \dots, \lambda_k$  the eigenvalues of  $ad_x$ . Then, g is decomposed as  $\mathbf{g} = \sum_{i=0}^k \mathbf{g}(x, \lambda_i)$ , where

$$g(x, \lambda) \doteq \{y : (ad_x - \lambda I)^k y = 0, \text{ for some k}\}.$$

Remark, finally, that  $g(x, \lambda)$  is a subspace of  $\tilde{q}$ , whenever x is an element of  $\tilde{q}$  and  $\lambda \neq 0$ .

Lemma 4.2.3 Let g be a Lie-algebra, then

$$[g(H_0, \lambda), g(H_0, \mu)] \subseteq g(H_0, \lambda + \mu).$$

In particular,  $h \doteq g(H_0, 0)$  is a subalgebra,  $\forall H_0 \in g$ .

Remind that  $H_0$  is said to be a regular element when  $\dim g(H_0, 0)$  is the minimum of  $\dim(g(X, 0))$ .

Lemma 4.2.4 When  $H_0$  is regular, the subalgebra h is nilpotent. Moreover, if  $H_0$  is a real element, h is  $\tau$ -stable.

Now, the subspaces  $g(x, \lambda)$  will be used in the following Lemmas, to prove the

Theorem 4.2.5 Let g be a CR-semisimple LCR-algebra. Then there exists a Cartan sub-LCR-algebra h of g.

Since  $\mathbf{q}$  is semisimple, any element  $x \in \mathbf{g}$  is associated to a unique element  $\varphi x \in \mathbf{q}$  such that  $ad_x$  and  $ad_{\varphi x}$  coincide on  $\mathbf{q}$ . The map  $\varphi$  is a Lie-epimorphism and its restriction to  $\mathbf{q}$  is the identity. As well as we have constructed  $\varphi$  it is possible to define  $\tilde{\varphi}$  with respect to  $\tilde{\mathbf{q}}$ .

Let us recall a classical

**Proposition 4.2.6** Let  $f: g \to g'$  be a Lie-epimorphism. Then f sends regular elements of g in regular elements of g' and the rank of g is greater or equal to the one of g'. [BO2]

Corollary 4.2.7 The epimorphism  $\tilde{\varphi}$  maps  $g_{0r}$  in  $\tilde{q}_r$ .

Lemma 4.2.8 Let  $H_0$  be in  $g_{0r}$ , then  $h \cap \tilde{q}$  is a Cartan subalgebra for  $\tilde{q}$ . In particular h is a sub-LCR-algebra.

In fact  $g(H_0, 0) \cap \tilde{q}$  coincides with  $\{x \in \tilde{q} : ad_x^k H_0 = 0\}$ . But, when x is in  $\tilde{q}$ ,  $ad_x^k H_0 = ad_x^k \tilde{\varphi} H_0$  and  $\tilde{\varphi} H_0$  is in  $\tilde{q}_r$ . Hence,  $g(H_0, 0) \cap \tilde{q} = \tilde{q}(\tilde{\varphi} H_0, 0)$ , which is a Cartan subalgebra of  $\tilde{q}$ .

The Lie-epimorphism  $\tilde{\varphi}$  maps h onto  $h \cap \tilde{q}$ . In fact, the proof of Lemma 4.2.8 shows that  $\tilde{\varphi}h$  is included in  $h \cap \tilde{q}$ . While  $h \cap \tilde{q} = \tilde{\varphi}(h \cap \tilde{q}) \subseteq \tilde{\varphi}h$ .

Lemma 4.2.9 Let g be a CR-semisimple LCR-algebra, then h is CR-abelian.

Proof: take  $x \in g(H_0, \lambda)$  and  $y \in h$ . Then  $ad_x ad_y$  maps  $g(H_0, \mu)$  in  $g(H_0, \lambda + \mu)$ : so its trace vanishes. Otherwise, since h is nilpotent.  $B([H_1, H_2], H_3)$  vanishes, if each  $H_i$  is in h. Thus,  $\mathcal{D}h$  is contained in  $g_{\perp}$ .

Finally, let  $[H_1, H_2]$  be in  $\mathcal{D}\mathbf{h} \cap \mathbf{q}$ , then

$$B^{\psi}([H_1, H_2], x) = B([H_1, H_2], x) = 0,$$

for all x in g, and  $[H_1, H_2]$  is in  $g^{\perp_{\psi}}$  which vanishes.

Lemma 4.2.10 Let  $H_0$  be in  $g_{0r}$ , then h is maximal CR-abelian.

Proof: suppose there exists a CR-abelian sub-LCR-algebra k containing h. Since  $h \cap \tilde{q}$  is a Cartan subalgebra,  $k \cap \tilde{q}$  coincides with  $h \cap \tilde{q}$ . Take  $k_v \supseteq h_v$  such that  $k = k \cap \tilde{q} \oplus k_v$  and  $h = h \cap \tilde{q} \oplus h_v$ . Consider

a linear subspace l such that  $k_v = h_v \oplus l$ . Then  $k = h \oplus l$ . Trivially,  $ad_{H_0}|_{l}$  is invertible. Consider an element  $L \in l$ , then  $ad_{H_0}L \in h$  and there is an integer k such that  $ad_{H_0}^k L = 0$ . So L vanishes.

Lemma 4.2.11 Let  $H_0$  be in  $g_{0r}$ , then  $ad_x$  is a semisimple map of g, for any x in h.

Proof: let us consider the decomposition  $\mathbf{g} = \sum_{\lambda} \mathbf{g}(H_0, \lambda)$  and the linear subspace  $V_{\beta} = \{x : (ad_H - \beta(H)I)^k x = 0, \forall H \in \mathbf{h}\}$ . Obviously, when  $\beta(H_0)$  is equal to  $\lambda$ ,  $V_{\beta}$  is included in  $\mathbf{g}(H_0, \lambda)$ . Hence, there exist some  $\beta_i$  such that  $\mathbf{g} = \sum_i V_{\beta_i}$ .

Take a generic element  $H \in \mathbf{h}$ . Then, there is given the canonical decomposition  $ad_H = S + N$ , where S is a semisimple derivation and N is a nilpotent one. Since S is a polinomial in  $ad_H$ , Sh is contained in  $\mathbf{h}$ . In a previous Lemma, we have shown that  $ad_H|_{\mathbf{h}}$  is nilpotent; so,  $S|_{\mathbf{h}}$  vanishes identically. Moreover, S is a derivation of  $\mathbf{g}$  and there is an element S in the centralizer of  $\mathbf{h}$ , such that  $S = ad_S$ .

Let us define the sub-LCR-algebras  $\mathbf{h}_Z \doteq \mathbf{h} \oplus \mathbf{C}ReZ$  and  $\mathbf{h}_Z' \doteq \mathbf{h} \oplus \mathbf{C}ImZ$ . Since,  $\mathcal{D}\mathbf{h}_Z = \mathcal{D}\mathbf{h}_Z' = \mathcal{D}\mathbf{h}$ , they are CR-abelian and Z is in  $\mathbf{h}$ .

Furthermore, S maps each  $V_{\beta}$  in itself and  $SX = \beta(H)X$ , for all X in  $V_{\beta}$ . Take, now, an eigenvector  $X' \in V_{\beta}$ . Then  $SX' = \beta(Z)X'$  and  $\beta(H) = \beta(Z)$ . Hence,  $B(H, H') = \sum_{i} \beta_{i}(H)\beta_{i}(H')dimV_{\beta_{i}}$ .

A direct computation shows that the subspace  $\tilde{\mathbf{q}} \cap \mathbf{g}(H_0, \lambda)$  coincide with  $\tilde{\mathbf{q}}(\tilde{\varphi}H_0, \lambda)$ ; while the map  $\psi(H) = ad_H|_{\tilde{\mathbf{q}}}$  maps  $V_\beta \cap \tilde{\mathbf{q}}$  in itself. Then, it is

$$B^{\psi}(H,H') = \sum_{i} \beta_{i}(H)\beta_{i}(H')dimV_{\beta_{i}} \cap \tilde{q}.$$

So  $B^{\psi}(Z-H,H')$  vanishes. Thus, since  $B^{\psi}(Z-H,x)=0$ , for all  $x\in g(H_0,\lambda)$ , with  $\lambda\neq 0$ , it follows that H=Z.

The existence of a Cartan sub-LCR-algebra will be used, in the next Section, to decompose the CR-semisimple LCR-algebra  $\mathbf{g}$  in its CR-root spaces. This decomposition will show directly the existence of a real form  $\mathbf{g}_0^*$  which admits a compact ideal  $\mathbf{p}^*$  which is a real form of  $\tilde{\mathbf{q}}$  (Theorem 4.5.4).

## 4.3 CR-root space decomposition.

Following the classical structure theory of semisimple Lie-algebras, [HE]. and via the existence of a Cartan Sub-LCR-algebra h, we study the structure theory of CR-semisimple LCR-algebras.

Let  $\alpha$  be a linear function on the complex vector space h. With  $g^{\alpha}$  we shall denote the linear subspace of g,

$$\mathbf{g}^{\alpha} \doteq \{x \in \mathbf{g} : [H, x] = \alpha(H)x, \forall H \in \mathbf{h}\}.$$

When  $g^{\alpha}$  does not vanish,  $\alpha$  is said to be a CR-root. In that case  $g^{\alpha}$  is a CR-root space. Obviously,  $g^{0}$  coincides with h and  $[g^{\alpha}, g^{\beta}] \subseteq g^{\alpha+\beta}$ , as a consequence of the Jacobi identity. The set of CR-roots is denoted by  $\Delta$ . In the terms of these notations, we give the

Theorem 4.3.1 Let h be a Cartan sub-LCR-algebra of g. Let  $\Delta$  and  $\tilde{\Delta}$  denote the set of CR-roots of g and the set of roots of  $\tilde{q}$ , respectively. The following statements are true:

- (i)  $g = h \oplus \bigoplus_{\alpha \in \Delta} g^{\alpha}$ .
- (ii) the CR-root spaces  $\mathbf{g}^{\alpha}$  and  $\mathbf{g}^{\beta}$  are orthogonal under B, whenever  $\alpha + \beta \neq 0$ .
- (iii) the restriction of  $B^{\psi}$  to  $\mathbf{h} \times \mathbf{h}$  is nonsingular. For each linear form  $\alpha$  on  $\mathbf{h}$  there exists a unique element  $H_{\alpha} \in \mathbf{h}$  such that  $B^{\psi}(H, H_{\alpha}) = \alpha(H)$ , for all  $H \in \mathbf{h}$ .
  - (iv) if  $\alpha \in \Delta$ , then  $-\alpha \in \Delta$ ,  $[\mathbf{g}^{\alpha}, \mathbf{g}^{-\alpha}] = \mathbf{C}H_{\alpha}$  and  $\alpha(H_{\alpha}) \neq 0$ .
  - (v)  $dim \mathbf{g}^{\alpha} = 1$ .

Proof: (i) if the subspaces h and  $\mathbf{g}^{\alpha}$ ,  $\alpha \in \Delta$ , were linearly dependents, there would be some  $H \in \mathbf{h}$  and  $X_{\alpha} \in \mathbf{g}^{\alpha}$  such that  $0 = H + \sum_{\alpha} X_{\alpha}$ . Choose  $H_1$  in h such that  $\alpha(H_1) \neq 0$ , for all  $\alpha \in \Delta$ . Then,

$$0 = [H_1, H] + \sum_{\alpha} [H_1, X_{\alpha}] = [H_1, H] + \sum_{\alpha} \alpha(H_1) X_{\alpha}.$$

Hence,  $[H_1, H]$  and  $\alpha(H_1)$  vanish: so there is a contradiction. Thus, the sum  $\mathbf{h} \oplus \bigoplus_{\alpha \in \Delta} \mathbf{g}^{\alpha}$  is direct.

Obviously,  $[\mathbf{h} \cap \tilde{\mathbf{q}}, \mathbf{h}] \subseteq \mathbf{h}$  and  $[\mathbf{h} \cap \tilde{\mathbf{q}}, \mathbf{g}^{\alpha}] \subseteq \mathbf{g}^{\alpha}$ . Furthermore,  $ad_{\mathbf{g}}(\mathbf{h} \cap \tilde{\mathbf{q}})$  is an abelian family of semisimple elements, so it is semisimple. In this hypothesis there exist some one-dimensional invariant subspaces  $\mathbf{g}_i$  such that  $\mathbf{g} = \sum_i \mathbf{g}_i$ ; whenever, for any i there exists an  $\alpha$  such that  $\mathbf{g}_i \subseteq \mathbf{g}^{\alpha}$ . This fact concludes the proof of (i).

- (ii) when X is in  $g^{\alpha}$  and Y is in  $g^{\beta}$ ,  $ad_X ad_Y$  maps h in  $g^{\alpha+\beta}$  and  $g^{\gamma}$  in  $g^{\alpha+\beta+\gamma}$ . In particular, its trace vanishes.
- (iii) let  $H_0$  be such that  $B^{\psi}(H_0, H) = 0$ , for all H in h. Consider the generic element of  $\mathbf{g}$ ,  $X = H + \sum_{\alpha} X_{\alpha}$ . Then, it is  $B^{\psi}(H_0, X) = \sum_{\alpha} B^{\psi}(H_0, X_{\alpha})$ . Let us compute the trace of  $\psi(H_0)\psi(X_{\alpha}) : \tilde{\mathbf{q}} \to \tilde{\mathbf{q}}$ . Remind that, since  $\tilde{\mathbf{q}}$  is semisimple, it is decomposed as

$$\tilde{q} = h \cap \tilde{q} \oplus \oplus_{\tilde{\alpha} \in \tilde{\Delta}} \tilde{q}^{\tilde{\alpha}}.$$

Consider, now, the map  $j: \tilde{\Delta} \to \Delta : \tilde{\alpha} \mapsto \tilde{\alpha} \circ \tilde{\varphi}$ . Since  $\tilde{\varphi}|_{\tilde{q}}$  is the identity,  $\mathbf{g}^{j\tilde{\alpha}} \supseteq \tilde{\mathbf{q}}^{\tilde{\alpha}}$ . Hence,  $j\tilde{\alpha}$  is a CR-root of  $\mathbf{g}$ . By direct calculation, we show the following inclusions

$$\psi(H_0)\psi(X_{\alpha}) \begin{cases} h \cap \tilde{\mathbf{q}} \subseteq \mathbf{g}^{\alpha} \\ \tilde{\mathbf{q}}^{\tilde{\alpha}} = \{0\} \\ \tilde{\mathbf{q}}^{\tilde{\alpha}} \subseteq \mathbf{g}^{\alpha+j\tilde{\alpha}}. \end{cases}$$

Remark that  $\tilde{\mathbf{q}}^{\tilde{\alpha}} \cap \mathbf{g}^{\alpha+j\tilde{\alpha}} \subseteq \mathbf{g}^{j\tilde{\alpha}} \cap \mathbf{g}^{\alpha+j\tilde{\alpha}} = \{0\}$ . So, the trace of  $\psi(H_0)\psi(X_{\alpha})$  vanishes and  $H_0$  must be zero, since  $B^{\psi}$  is nondegenerate.

(iv) let  $X_{\alpha}$  be in  $\mathbf{g}^{\alpha}$ , while  $\mathbf{g}^{-\alpha}$  vanishes. Then  $B^{\psi}(X_{\alpha}, X)$  should vanish, for all  $X \in \mathbf{g}$ , which is false. Now, compute

$$B^{\psi}([X_{\alpha}, X_{-\alpha}], H) = B^{\psi}(X_{\alpha}, [X_{-\alpha}, H]) = B^{\psi}(X_{\alpha}, X_{-\alpha})B^{\psi}(H_{\alpha}, H).$$

Hence,  $[X_{\alpha}, X_{-\alpha}] = B^{\psi}(X_{\alpha}, X_{-\alpha})H_{\alpha}$ . Finally  $\alpha(H_{\alpha}) = B^{\psi}(H_{\alpha}, H_{\alpha}) \neq 0$ . And (iv) is proved.

The proof of (v) is the same as in the semisimple case, cf. [HE].

Corollary 4.3.2 The map  $j: \tilde{\Delta} \to \Delta$  is injective.

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In fact, since  $\mathbf{g}^{\alpha}$  is one-dimensional,  $\mathbf{g}^{j\tilde{\alpha}} = \tilde{\mathbf{q}}^{\tilde{\alpha}}$ . Now, let us divide the set of the CR-roots as follows:  $\Delta = \Delta_0 \cup \Delta_1$ , where  $\Delta_0 \doteq \{\alpha : \mathbf{h} \cap \tilde{\mathbf{q}} \subseteq Ker\alpha\}$  and  $\Delta_1$  is its complement. It is not difficult to see that the map  $j_1 : \Delta_1 \to \tilde{\Delta} : \alpha \mapsto \alpha|_{\mathbf{h} \cap \tilde{\mathbf{q}}}$  is injective; and that  $\tilde{\mathbf{q}}^{j_1\alpha} = \mathbf{g}^{\alpha}$ . Furthermore, there is the

**Proposition 4.3.3** The sets  $\Delta_1$  and  $\tilde{\Delta}$  have the same cardinality. Moreover  $j_1 \circ j$  (resp  $j \circ j_1$ ) is the identity of  $\tilde{\Delta}$  (resp.  $\Delta_1$ ).

Proof: an easy computation shows that

$$j_{1} \circ j\tilde{\alpha} = j_{1}\tilde{\alpha} \circ \tilde{\varphi} = \tilde{\alpha} \circ \tilde{\varphi}|_{\tilde{\mathbf{q}}} = \tilde{\alpha}$$
$$\mathbf{g}^{j \circ j_{1} \alpha} = \tilde{\mathbf{q}}^{j_{1} \alpha} = \mathbf{g}^{\alpha} \quad \blacksquare$$

The following Proposition 4.3.4 and Lemma 4.3.5 will be useful to give a decomposition in real subalgebras of the Cartan sub-LCR-algebra h.

**Proposition 4.3.4** Let  $\alpha$  be in  $\Delta$  and  $\beta$  be any CR-root. Define the  $\alpha$ -series containing  $\beta$  as the set of all roots of the form  $\beta + n\alpha$  where n is an integer. Then

(i) the  $\alpha$ -series containing  $\beta$  is an uninterrupted string of the form  $\beta + n\alpha$  ( $p \le n \le q$ ). The integers p and q satisfy the condition

$$-2\frac{\beta(H_{\alpha})}{\alpha(H_{\alpha})} = p + q.$$

(ii) let  $X_{\alpha}$  be in  $g^{\alpha}$ ,  $X_{-\alpha}$  in  $g^{-\alpha}$ , and  $X_{\beta}$  in  $g^{\beta}$ . Then,

$$[X_{-\alpha}, [X_{\alpha}, X_{\beta}]] = \frac{q(1-p)}{2} \alpha(H_{\alpha}) B^{\psi}(X_{\alpha}, X_{-\alpha}) X_{\beta}.$$

- (iii) the only roots proportional to  $\alpha$  are  $-\alpha, 0, \alpha$ .
- (iv) suppose  $\alpha + \beta \neq 0$ . Then,  $[g^{\alpha}, g^{\beta}] = g^{\alpha+\beta}$ .

Since the Killing CR-form is nonvanishing, it is possible to consider a family of elements  $\{E_{\alpha} \in \mathbf{g}^{\alpha}\}_{\alpha \in \Delta}$  such that  $B^{\psi}(E_{\alpha}, E_{-\alpha}) = 1$ . This fact is the foundation of the proof of Proposition 4.3.4. The complete computations coincide with the ones of the semisimple case (in which the Killing form B replaces the CR-one  $B^{\psi}$ ) as developed in [HE].

Lemma 4.3.5 An element  $H \in \mathbf{h}$  such that  $\alpha(H) = 0$ , for all  $\alpha \in \Delta_1$ . is in the centralizer  $c(\tilde{\mathbf{q}})$ .

In fact, since any CR-root  $\alpha$  of  $\Delta_1$  is of the form  $\tilde{\alpha} \circ \tilde{\varphi}$ , with  $\tilde{\alpha}$  in  $\tilde{\Delta}$ . then  $\tilde{\varphi}H$  vanishes.

A direct consequence of Proposition 4.3.4 is that on the real subspace  $\mathbf{h}_{\mathbf{R}} \doteq \sum_{\alpha \in \Delta} \mathbf{R} H_{\alpha}$ , the Killing CR-form  $B^{\psi}$  is real and positive definite. Moreover,  $\mathbf{h}_{\mathbf{R}}$  is a real form of  $\mathbf{h}$ :  $\mathbf{h} = \mathbf{h}_{\mathbf{R}} \oplus i\mathbf{h}_{\mathbf{R}}$ .

In the last part of the present Section we shall prove that both  $\tilde{\mathbf{q}}$  and  $\mathbf{q}$  may be seen as sums of their intersection with  $\mathbf{h}$  and some CR-root spaces.

Lemma 4.3.6 Let  $\alpha$  be a CR-root in  $\Delta_1$ . Then  $H_{\alpha} = \tilde{H}_{j_1\alpha}$ , where  $H_{\alpha}$  and  $\tilde{H}_{\tilde{\alpha}}$  are defined by

$$B^{\psi}(H_{\alpha}, H) = \alpha(H), \forall H \in \mathbf{h};$$
  
$$B_{\tilde{\mathbf{q}}}(\tilde{H}_{\tilde{\alpha}}, H) = \tilde{\alpha}(H), \forall H \in \mathbf{h} \cap \tilde{\mathbf{q}}.$$

*Proof:* a direct computation shows that, if  $\tilde{\alpha} = j_1 \alpha$ ,

$$B^{\psi}(\tilde{H}_{\tilde{\alpha}},H)=B_{\tilde{\mathbf{q}}}(\tilde{H}_{\tilde{\alpha}},\tilde{\varphi}H)=\tilde{\alpha}\tilde{\varphi}(H)=\alpha(H)=B^{\psi}(H_{\alpha},H)\blacksquare$$

Finally, recall the following notations: let  $\Gamma$  be a subset of  $\Delta$ . We denote with  $\mathbf{h}_{\Gamma}$  the subspace  $\sum_{\alpha \in \Gamma} \mathbf{C} H_{\alpha}$  and with  $\mathbf{g}^{\Gamma}$ , the subspace  $\bigoplus_{\alpha \in \Gamma} \mathbf{g}^{\alpha}$ . Remark that  $[\mathbf{h}, \mathbf{g}^{\Gamma}] \subseteq \mathbf{g}^{\Gamma}$  and  $[\mathbf{g}^{\Gamma}, \mathbf{g}^{\Gamma_{1}}] \subseteq \mathbf{g}^{(\Gamma+\Gamma_{1})\cap\Delta} \oplus \mathbf{h}_{\Gamma\cap(-\Gamma_{1})}$ . In particular we shall write  $\mathbf{h}_{j}$  and  $\mathbf{g}^{j}$  for the subspaces  $\mathbf{h}_{\Delta_{j}}$  and  $\mathbf{g}^{\Delta_{j}}$ , respectively. In these terms, Lemma 4.3.6 says that  $\mathbf{q} = \mathbf{h}_{1} \oplus \mathbf{g}^{1}$ .

Let  $\alpha_j$  be in  $\Delta_j$ . By definition of  $\Delta_0$ ,  $\alpha_0(H_{\alpha_1})$  vanishes. In fact  $H_{\alpha_1}$  is in  $\mathbf{h} \cap \tilde{\mathbf{q}}$ . This means that  $B(H_{\alpha_0}, H_{\alpha_1}) = 0$ . In particular, there is the

Proposition 4.3.7 The bilinear forms  $B^{\psi}|_{\mathbf{h}_0 \times \mathbf{h}_0}$  and  $B^{\psi}|_{\mathbf{h}_1 \times \mathbf{h}_1}$  are non-singular. Moreover,  $\mathbf{h}_1 = \cap_{\alpha_0 \in \Delta_0} Ker\alpha_0$  and  $\mathbf{h}_0 = \cap_{\alpha_1 \in \Delta_1} Ker\alpha_1$ .

Proof: the first part is a consequence of the fact that  $B^{\psi}|_{\mathbf{h} \times \mathbf{h}}$  is nonsingular. Then, the above computations show that  $\mathbf{h}_{\Delta_1}$  is a subset of  $\bigcap_{\alpha_0 \in \Delta_0} Ker\alpha_0$ . Finally, take,  $H = h^{\alpha_1}H_{\alpha_1} + h^{\alpha_0}H_{\alpha_0}$  in  $\bigcap_{\alpha_0 \in \Delta_0} Ker\alpha_0$ . By definition, it is  $\beta_0(H) = h^{\alpha_0}\beta_0(H_{\alpha_0})$ . Decompose  $h^{\alpha_0}$  as  $a^{\alpha_0} + ib^{\alpha_0}$  and define  $A \doteq a^{\alpha_0}H_{\alpha_0}$  and  $B \doteq b^{\alpha_0}H_{\alpha_0}$ . Then  $B(H_{\beta}, A) = B(H_{\beta}, B) = 0$ ,  $\forall \beta \in \Delta$ . Thus A and B vanish.

Let us recall that when h' is a subspace of h and  $\Gamma$  is a subset of  $\Delta$ . then the linear space  $h' \oplus g^{\Gamma}$  is a subalgebra if and only if  $\Gamma$  is closed and  $h' \supseteq h_{\Gamma \cap (-\Gamma)}$ .

Define, now, the subsets

$$\Delta_1(\mathbf{q}) \doteq \{\alpha \in \Delta_1 : Ker\alpha \text{ does not contain } \mathbf{h} \cap \mathbf{q}\}$$
  
$$\Delta_1(\overline{\mathbf{q}}) \doteq \{\alpha \in \Delta_1 : Ker\alpha \text{ does not contain } \mathbf{h} \cap \overline{\mathbf{q}}\}.$$

Since  $\mathbf{q}$  and  $\overline{\mathbf{q}}$  are ideals of the semisimple Lie-algebra  $\tilde{\mathbf{q}}$ , they are  $ad_{\mathbf{h}\cap\tilde{\mathbf{q}}}$ -stable. Hence, we may apply the

Lemma 4.3.8 Let h be a Cartan subalgebra of a semisimple Lie-algebra g and V a linear subspace of g. Define the set  $\Delta(V)$  of the roots  $\alpha \in \Delta$  such that  $g^{\alpha} \subseteq V$ . Then the greatest linear subspace of V which is  $ad_h$ -stable is  $V \cap h + g^{\Delta(V)}$ . [BO2].

So, we obtain that  $q = h \cap q \oplus g^{\Delta_1(q)}$  Since,  $\tilde{q} = h \cap q \oplus h \cap \overline{q} \oplus g^1$ . the following relations are true:

$$(i) h \cap \tilde{q} = h \cap q \oplus h \cap \overline{q};$$

(ii) 
$$\Delta_1 = \Delta_1(\mathbf{q}) \cup \Delta_1(\overline{\mathbf{q}}).$$

In particular, when  $\alpha$  is in  $\Delta_1(\mathbf{q})$ ,  $-\alpha$  is in it, too. And the Cartan subalgebra  $\mathbf{h} \cap \mathbf{q}$  coincides with  $\mathbf{h}_{\Delta_1(\mathbf{q})}$ .

Remark 4.3.9 The above decomposition gives a construction for different CR-structures of g. Let  $\Delta^* \subseteq \Delta$  be a closed subset such that

1. 
$$\Delta^* \cap \overline{\Delta}^* = \{0\}$$

2. 
$$[H_{\alpha}, H_{\beta}] = 0, \forall \alpha, \beta \in \Delta^*$$
.

Then, the subspace  $q^* \doteq h_{\Delta^*} \oplus g^{\Delta^*}$  is a CR-structure.

**Proposition 4.3.10** The closed set  $\Delta^{\alpha} = \{\pm \alpha, 0\}$  satisfies the two conditions of Remark 4.3.9.

In fact, the first one is trivial. For the second, let us compute

$$B^{\psi}([H_{\alpha}, H_{-\alpha}], H) = B^{\psi}(H, [H_{\alpha}, H_{-\alpha}]) = B^{\psi}([H, H_{\alpha}], H_{-\alpha}) =$$

$$= B^{\psi}([H_{\alpha}, H], H_{\alpha}) = B^{\psi}(H_{\alpha}, [H_{\alpha}, H]) =$$

$$= B^{\psi}([H_{\alpha}, H_{\alpha}], H) = 0. \quad \blacksquare$$

## 4.4 A decomposition of g.

Recall that a Cartan subalgebra h is a nilpotent subalgebra which coincides with its normalizer n(h). In this Section, we proof that a Cartan sub-LCR-algebra is an abelian Cartan subalgebra. Hence, we make use of the abelianity to decompose the CR-semisimple LCR-algebra g. The final result is based on some facts about  $ad_h$ -stability proved in [BO2].

Theorem 4.4.1 Let h be a Cartan sub-LCR-algebra. Then h is a Cartan subalgebra of g.

*Proof:* by Lemma 3.2.6, the subalgebra h is nilpotent. Moreover, take an element  $X = H + \sum_{\alpha \in \Delta} X_{\alpha}$  of  $\mathbf{n}(\mathbf{h})$ . Then, by definition  $[X, H'] = [H, H'] + \sum_{\alpha \in \Delta} \alpha(H') X_{\alpha}$  is in h, for all  $H' \in \mathbf{h}$ . Hence,  $X_{\alpha}$  vanishes, for all  $\alpha$  in  $\Delta$ .

Even the converse is true. In fact,

Proposition 4.4.2 Let h be a  $\tau$ -stable Cartan subalgebra of g such that  $h \cap q$  is a Cartan subalgebra of q. Then h is a Cartan sub-LCR-algebra of g

Proof:  $ad_H g \to g$  is a semisimple map and h is a CR-abelian sub-LCR-algebra. The maximality of h is shown as in Lemma 4.2.10.

Proposition 4.4.3 Let h be a Cartan subalgebra of g which is a sub-LCR-algebra. then h is a Cartan sub-LCR-algebra if and only if  $h \cap q$  is a Cartan subalgebra of q.

Moreover, h has the same properties as the Cartan subalgebra of a semisimple Lie-algebra.

Proposition 4.4.4 The Cartan subalgebra h is a maximal abelian subalgebra of g.

Proof: let us compute

 $B^{\psi}([H_1, H_2], H_3) = B_{\tilde{\mathbf{q}}}(\tilde{\varphi}[H_1, H_2], \tilde{\varphi}H_3) = B_{\tilde{\mathbf{q}}}([\tilde{\varphi}H_1, \tilde{\varphi}H_2], \tilde{\varphi}H_3).$ 

Finally,  $[\tilde{\varphi}H_1, \tilde{\varphi}H_2]$  vanishes, by the abelianity of  $\mathbf{h} \cap \tilde{\mathbf{q}}$ . Hence, since  $B^{\psi}$  is nondegenerate on  $\mathbf{h} \times \mathbf{h}$ ,  $[H_1, H_2]$  vanishes, too. The maximality follows by the definition.

Since h is abelian, the  $ad_h$ -stable linear subspaces are described by the

Lemma 4.4.5 Let V be a linear subspace of g and  $\Delta(V)$  the set  $\{\alpha \in \Delta : g^{\alpha} \subseteq V\}$ . Then, the greatest  $ad_h$ -stable linear subspace of V is  $V \cap h + g^{\Delta(V)}$ .

As a consequence of Lemma 4.4.5, we describe the  $ad_{\rm h}$ -stable subalgebras.

**Proposition 4.4.6** The  $ad_h$ -stable subalgebras of g are the linear subspaces  $h' \oplus g^{\Gamma}$ , where  $\Gamma \subseteq \Delta$  is a closed subset and  $h' \subseteq h$  is a linear subspace including  $h_{\Gamma \cap (-\Gamma)}$ .

Proposition 4.4.7 Let  $k \subseteq g$  be an  $ad_h$ -stable subalgebra, h' a subspace of h and  $\Gamma$  a subset of  $\Delta$  such that  $k = h' \oplus g^{\Gamma}$ . Then, k is reductive if and only if  $\Gamma = -\Gamma$ .

Now, we have all the elements to give the main result of the Section. In the previous Section we have decomposed g as  $g = \tilde{q} \oplus h_0 \oplus g^0$ . Let us pose  $q_0 \doteq h_0 \oplus g^0$ . Since  $\Delta_0$  is a closed set such that  $\Delta_0 = -\Delta_0$ ,  $q_0$  is an  $ad_h$ -stable complex subalgebra of g. Moreover  $h_1 \subseteq c(q_0)$  and  $q_0 \subseteq n(g^1)$ . Finally, remark that  $g = \tilde{q} \oplus_{ad} q_0$ , and we have proved the

Theorem 4.4.8 Let g be CR-semisimple. Then, there exists a reductive subalgebra  $q_0$  such that  $g = \tilde{q} \oplus_{ad} q_0$ . The subalgebra  $h_0$  is a Cartan subalgebra of  $q_0$ .

To give a deeper description of  $\mathbf{g} = \tilde{\mathbf{q}} \oplus_{ad} \mathbf{q}_0$ , let us study a Liealgebra  $\mathbf{g}$  decomposed as  $\mathbf{g} = \mathbf{h} \oplus_{\delta} \mathbf{k}$ , where the first factor is semisimple and the second is reductive.

As we have remarked in Chapter 1, since h is semisimple, there exists a Lie-homomorphism  $B: \mathbf{k} \to \mathbf{h}$  such that  $\delta(K) = ad_{BK}, \forall K \in \mathbf{k}$ .

Consider now the decompositions in simple ideal  $\mathbf{h} = \mathbf{h}_1 \odot \ldots \odot \mathbf{h}_h$  and  $\mathbf{k} = \mathbf{k}_0 \odot \mathbf{k}_1 \odot \ldots \odot \mathbf{k}_k$ , where  $\mathbf{k}_0$  is the centre  $\zeta(\mathbf{k})$ . Thus, via a permutation, the ideal KerB may be seen as  $KerB = \mathbf{k}_{\beta_0} \odot \ldots \odot \mathbf{k}_{\beta_b}$  and  $\mathbf{k} = KerB \odot \mathbf{k}_{\beta_{b+1}} \odot \ldots \odot \mathbf{k}_{\beta_k}$ . Remind that, when KerB coincides with  $\mathbf{k}$ ,  $\delta$  vanishes and the sum is direct.

Moreover, define  $h^B = h \odot KerB$  and  $k^B = k_{\beta_{b+1}} \odot ... \odot k_{\beta_k}$ . Then  $h^B$  is an ideal of g,  $k^B$  is an its subalgebra and one of them is semisimple. Furthermore, the map  $\hat{B} : k^B \to h : K \mapsto B(K)$  is injective and  $k^B$  is isomorphic to the subalgebra  $Bk^B$  of h. Finally, remark that the following decompositions of g are given

$$g = h \oplus_{\delta} k = h^B \oplus_{\delta} k^B \simeq h^B \oplus_{ad} Bk^B.$$

Theorem 4.4.9 Let g be a CR-semisimple not semisimple LCR-algebra. Then there exist an ideal h containing  $\tilde{q}$  and a subalgebra k contained in  $\tilde{q}$  such that  $g = h \oplus_{ad} k$ . Moreover, if h is decomposed as  $h = \tilde{q} \odot h_1 \odot \ldots h_l$ , then  $q_0$  coincides with  $h_1 \odot \ldots \odot h_l \odot k$ .

## 4.5 Real CR-forms.

Let g and g' be two Lie-algebras endowed with two semisimple LCR-structures q and q'. Since  $\tilde{q}$  and  $\tilde{q}'$  are semisimple Lie-algebras, any one-to-one R-linear map  $f_1: h_{1R} \to h'_{1R}$  such that  $f_1^t$  maps  $\Delta'_1$  onto  $\Delta_1$  can be extended to a Lie-isomorphism  $\tilde{f}_1: \tilde{q} \to \tilde{q}'$ . Such an isomorphism is defined by

$$\tilde{f}_1 H_{\alpha} = H_{\alpha'}$$

$$\tilde{f}_1 E_{\alpha} = E_{\alpha'},$$

where  $\alpha = f_1^t \alpha'$  and the  $E'_{\alpha}s$  satisfy  $B(E_{\alpha}, E_{-\alpha}) = 1$ .

The same construction may be done with a map  $f_0: \mathbf{h}_{0\mathbf{R}} \to \mathbf{h}'_{0\mathbf{R}}$  (with the same hypothesis), whose extension  $\tilde{f}_0$  maps  $\mathbf{q}_0$  onto  $\mathbf{q}'_0$ .

Theorem 4.5.1 Let (g, q) and (g', q') be CR-semisimple LCR-algebras, h and h' their Cartan sub-LCR-algebra. Let  $\Delta$  and  $\Delta'$  denote the corresponding CR-root systems. Suppose  $f: h_R \to h'_R$  be a R-linear one-to-one map such that  $fh_{jR} \subseteq h'_{jR}$  and  $f^t$  maps  $\Delta'_j$  onto  $\Delta_j$ . Then f can be extended to a Lie-isomorphism  $\tilde{f}: g \to g'$ , which sends  $\tilde{q}$  in  $\tilde{q}'$  and  $q_0$  in  $q'_0$ .

Proof: consider the restrictions  $f_j = f|_{\mathbf{h}_{j\mathbf{R}}}$ , j = 0, 1. Both of them admits an extension  $\tilde{f}_j$ . Define  $\tilde{f} \doteq \tilde{f}_1 \oplus \tilde{f}_0$ . A direct computation shows that  $\tilde{f}$  is a Lie-homomorphism.

Theorem 4.5.2 For each nonvanishing CR-root  $\alpha$ , there is a vector  $X_{\alpha}$  such that

$$[H, X_{\alpha}] = \alpha(H)X_{\alpha}$$

$$[X_{\alpha}, X_{\beta}] = \begin{cases} H_{\alpha} & \text{if } \beta = -\alpha \\ 0 & \text{if } \alpha + \beta \notin \Delta \\ N_{\alpha, \beta} X_{\alpha + \beta} & \text{if } \alpha + \beta \in \Delta. \end{cases}$$

where  $N_{\alpha,\beta} = -N_{-\alpha,-\beta}$ .

Consider now a generic complex Lie-algebra g. It may be thought as a real Lie-algebra  $g^{R}$  endowed with a complex structure  $J_{R}$  given by the multiplication by i.

Definition 4.5.3 A real form  $g_0$  of g is a real subalgebra of  $g^R$  such that  $g^R = g_0 \oplus J_R g_0$ . A real CR-form of the LCR-algebra g is a pair  $(g_0, p_0)$  such that  $g_0$  is a real form of g and  $g_0$  is a real form of g. A real CR-form  $(g_0, p_0)$  is said to be CR-compact if  $g_0$  is a compact subalgebra.

**Theorem 4.5.4** Every CR-semisimple LCR-algebra admits a CR-compact real CR-form.

*Proof:* the real subspaces

$$\mathbf{g}_0^* = \sum_{\alpha \in \Delta} \mathbf{R} i H_{\alpha} \oplus \sum_{\alpha \in \Delta} \mathbf{R} (X_{\alpha} - X_{-\alpha}) \oplus \sum_{\alpha \in \Delta} i \mathbf{R} (X_{\alpha} + X_{-\alpha})$$

$$\mathbf{p}^* = \sum_{\alpha \in \Delta_1} \mathbf{R}iH_\alpha \oplus \sum_{\alpha \in \Delta_1} \mathbf{R}(X_\alpha - X_{-\alpha}) \oplus \sum_{\alpha \in \Delta_1} i\mathbf{R}(X_\alpha + X_{-\alpha})$$

are Lie-subalgebras, since  $N_{\alpha,\beta} = -N_{-\alpha,-\beta}$ . By construction, the pair  $(\mathbf{g}_0^*, \mathbf{p}^*)$  is a real CR-form. Finally, we may compute, with respect of  $(H_\alpha, X_\alpha)$ , that  $B|_{\mathbf{p}^* \times \mathbf{p}^*}$  is negative definite. So,  $\mathbf{p}^*$  is compact.

Thus, in the real terms, the classification of the LCR-structures  $(\mathbf{g}_0, \mathbf{p}, \mathbf{J})$  given on a semisimple ideal is equivalent to the classification

of the real Lie-algebras  $\mathbf{g}_0^*$  which admit an even-dimensional compact semisimple ideal  $\mathbf{p}^*$ . In fact, if  $\mathbf{p}^*$  is a compact semisimple ideal of  $\mathbf{g}_0$ ,  $\tilde{\mathbf{q}} \doteq \mathbf{p}^* \otimes_{\mathbf{R}} \mathbf{C}$  is a semisimple ideal of  $\mathbf{g} = \mathbf{g}_0 \otimes_{\mathbf{R}} \mathbf{C}$  which admits  $\mathbf{p}^*$  as compact real form. So, if J denotes the multiplication by i,  $\tilde{\mathbf{q}}$  is equal to  $\mathbf{p}^* \oplus J\mathbf{p}^*$ . Hence, the subspace  $\mathbf{q}$  of the elements x - iJx is a complex ideal of  $\mathbf{g}$  which does not intersect  $\overline{\mathbf{q}}$ . Then, the set of CR-semisimple LCR-algebras and the one of real Lie-algebras with an even-dimensional semisimple compact ideal, are bijective.

## 4.6 Appendix.

1. Let us remind that g is CR-simple if any nontrivial LCR-ideal contains q. In particular, q is simple. The vice versa is also true. In fact, whenever q is simple, any LCR-ideal h of g contains q. thus, g is CR-simple. Obviously, a CR-simple LCR-algebra is CR-semisimple.

Theorem. Let g be a CR-semisimple LCR-algebra and q be decomposed as  $q = q_1 \odot ... \odot q_k$ . then, there exist some LCR-ideal  $g_1$  such that

- 1.  $g = g_1 \odot \ldots \odot g_k$ ;
- 2.  $\mathbf{g}_i \cap \mathbf{q} = \mathbf{q}_i$ ;
- 3.  $g_i$  is CR-simple.

Furthermore, we link the CR-simplicity and the CR-maximality, via the following

Proposition. A CR-simple LCR-algebra is CR-maximal.

The proof is a direct consequence of Theorem 3.7.4.

Thus, a CR-simple LCR-algebra g satisfies the following properties:

- 1. g is reductive;
- 2. its center  $\zeta(g)$  has dimension less then two;
- 3. its semisimple part  $\mathcal{D}g$  is the sum of two, three or four simple ideals. In particular,

$$\mathbf{g} = \left\{ \begin{array}{c} \mathbf{q} \odot \overline{\mathbf{q}} \\ \mathbf{q} \odot \overline{\mathbf{q}} \odot \mathbf{C} H \\ \mathbf{q} \odot \overline{\mathbf{q}} \odot \mathbf{C} H \odot \mathbf{C} \overline{H} \\ \mathbf{q} \odot \overline{\mathbf{q}} \odot \mathbf{h} \\ \mathbf{q} \odot \overline{\mathbf{q}} \odot \mathbf{h} \odot \overline{\mathbf{h}} \end{array} \right.$$

2. Take, now, a CR-semisimple LCR-algebra  ${\bf g}$  endowed with its CR-root set  $\Delta.$ 

Lemma. the set  $\Delta$  is a reduced root system of the Cartan sub-LCR-algebra h.

Proof: by definition,  $\Delta$  spans  $\mathbf{h}^*$ . Moreover, consider the reflection  $S_{\alpha}\beta \doteq \beta - 2\frac{\langle \alpha,\beta \rangle}{\langle \alpha,\alpha \rangle}\alpha$ , where  $\langle \alpha,\beta \rangle = \alpha(H_{\beta})$ . By Proposition 4.3.4,  $S_{\alpha}$  maps  $\Delta$  onto  $\Delta$  and the number  $a_{\alpha\beta} = -2\frac{\langle \alpha,\beta \rangle}{\langle \alpha,\alpha \rangle}$  is a integer. Finally, if  $m\alpha$  is a root, m = -1.

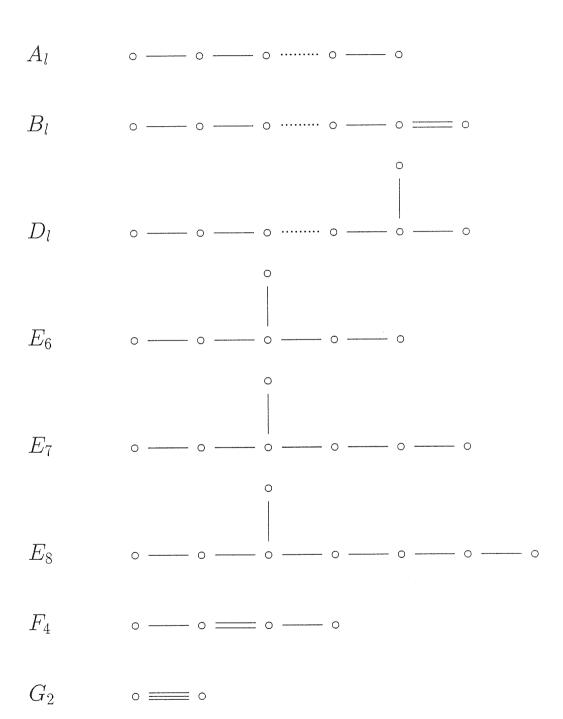
The root system  $\Delta$  is no irreducible, in fact

$$\Delta = \Delta_0 \cup \Delta_1$$
  
<  $\Delta_0, \Delta_1 >= 0.$ 

Moreover, 
$$\Delta_1 = \Delta_1(\mathbf{q}) \cup \Delta_1(\overline{\mathbf{q}})$$
 and  $\langle \Delta_1(\mathbf{q}), \Delta_1(\overline{\mathbf{q}}) \rangle = 0$ .

Then, we may consider a simple root system  $\Phi = \{\alpha_1, \ldots, \alpha_k\}$  endowed with its Cartan matrix  $a_{ij} = -2 \frac{\langle \alpha_i, \alpha_j \rangle}{\langle \alpha_i, \alpha \rangle_i}$ . Via the Cartan matrix, we construct the diagram of  $\mathbf{g}$ . It consists of a vertex for each  $\alpha_i$ , with  $a_{ij}a_{ji}$  lines betweew  $\alpha_i$  and  $\alpha_j$ ,  $i \neq j$ .

Remind that a diagram is connected when  $\Phi$  is irreducible; and  $\Phi$  is irreducible if and only if g is simple. The connected diagrams are



3. In this point, we describe the disconnected diagram of a CR-semisimple LCR-algebra. Let us stars with the Cr-simple case.

A CR-simple LCR-algebra **g** is either semisimple (if it is of the I of the III type) or reductive with center of dimension one or two. This means that the diagram has two connected components (if **g** is of type I or II); while the connected components are three or four, for the type III.

type	$\mathcal{D}$ g	$\zeta(\mathbf{g})$	number of components
I	60	{0}	2
II	$\mathbf{q}\odot\overline{\mathbf{q}}$	$\mathrm{C}H$	2
		$\mathrm{C} H\odot \mathrm{C} \overline{H}$	
III	ხე	{0}	3
			4

Finally, the disconnected diagram of a CR-semisimple LCR-algebra is the disjoint union of the diagram of its CR-simple LCR-ideals.

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